

# Improved Actions for Lattice QCD

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## Motivation

- **“Solve QCD”**: Calculate proton mass (all hadron masses, decay rates, etc) from **first principles**.
- **Test SM, Determine SM Par’s, Search for New Physics**
  - KM matrix, CP violation, SUSY
  - All involve **non-perturbative** matrix elements between hadronic states.
- **Problem**: Cost of (full) QCD simulation grows like  $1/a^{10}$ 
  - Instead of decreasing the lattice spacing, it is **much more efficient to improve the action**:  $O(a, a^2) \rightarrow O(a^2, \alpha^2 a^4)$
  - Using  $a=0.1 - 0.25$  fm instead of  $0.05 - 0.1$  fm saves factor of  $10^3 - 10^4$  !

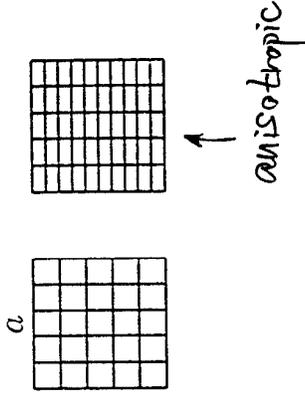


## Outline (History of Improved Actions)

- Recap of Lattice QCD
- Improvement of Lattice Actions (Symanzik, early 80's)  
*et al (on-shell)*
- Improvement of Pure QCD (Lüscher/Weisz, 1985)
  - and why its first incarnation did not work
- Perturbatively and Tadpole Improved Glue (1994)
- (Improved) Quarks on the Lattice
  - Solving the “doubler” and “ghost” problems: Wilson action (1975)
  - Improved quarks via field transf's: SW (1985) and D234 (1995) actions
- Non-Perturbative Improvement of Quark Actions at  $O(a)$  (1996/97)
- Simulation Results
- Conclusions and Outlook:
  - Solving quenched QCD; towards full QCD; anisotropic lattices



## Lattice QCD



- Space-time  $\rightarrow$  (hypercubic) lattice
- Fermion fields  $\psi(x), \bar{\psi}(x)$  live on **sites**.
- Only known way to preserve exact gauge invariance:

$$A_\mu(x) \rightarrow \underline{\text{link variable}}$$

$$U_\mu(x) = \text{P exp} \left[ -iag \int_x^{x+\mu} dx'_\mu A_\mu(x') \right] \in \text{SU}(N)$$

Parallel transporter from  $x + \mu$  to  $x$  ( $\rightarrow$  covariant derivative).

- Gauge transformations:

$$\psi(x) \rightarrow \Lambda(x) \psi(x), \quad \bar{\psi}(x) \rightarrow \bar{\psi}(x) \Lambda^{-1}(x)$$

$$U_\mu(x) \rightarrow \Lambda(x) U_\mu(x) \Lambda^{-1}(x + \mu)$$

## Wilson Gauge Action

- Simplest gauge inv't operator uses the plaquette variable:

$$U(\square) \equiv P_{\mu\nu}(x) \equiv \prod_{\text{links}} \quad \begin{array}{c} \text{---} \rightarrow \\ \uparrow \quad \downarrow \\ \text{---} \leftarrow \end{array}$$

- In a small  $a$  expansion:

$$P_{\mu\nu} = 1 - ig a^2 F_{\mu\nu}(x) - \frac{1}{2} g^2 a^4 F_{\mu\nu}^2 + \dots$$

- So:

$$\underbrace{\frac{2N}{g^2} \sum_{\square}}_{\beta} \left( 1 - \frac{1}{N} \text{Re Tr } U(\square) \right) = \int d^4x \frac{1}{2} \text{Tr } F_{\mu\nu}^2 + \mathcal{O}(a^2) \uparrow$$

## Improved Actions (classical)

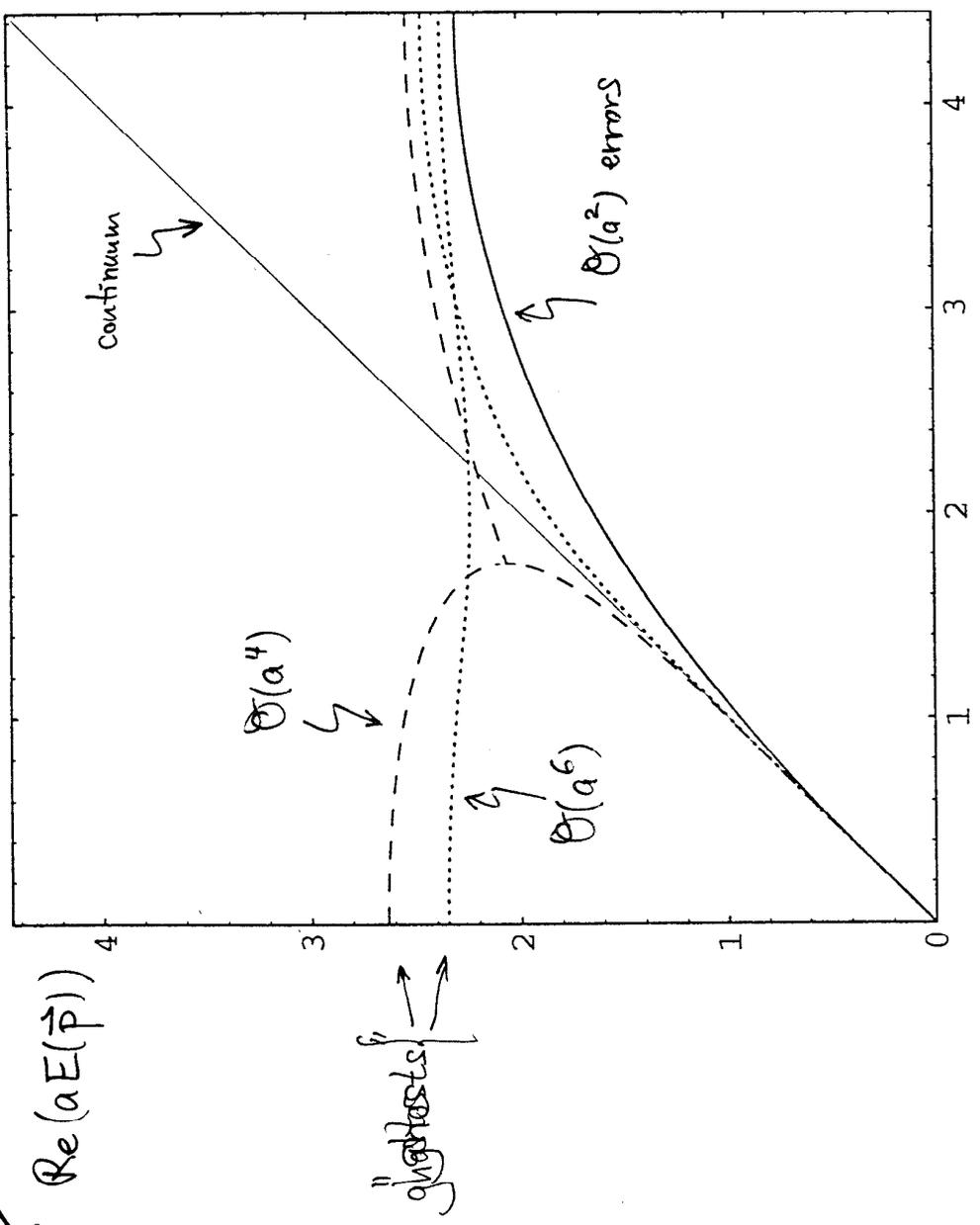
- Symanzik: Add higher dimensional operators to the naive lattice action to cancel its  $a^2$  (bosons) discretization errors.
- Classical improvement
  - For bosons: Just calculus
  - For fermions: Technical complication due to doublers  $\rightarrow$  later...
- Example: Classically improving free scalar field ( $d = 1$ ):
  - Naive lattice laplacian:

$$\begin{aligned}\Delta\phi(x) &= \frac{1}{a^2} [\phi(x+a) + \phi(x-a) - 2\phi(x)] \\ &= [e^{a\partial} + e^{-a\partial} - 2] \phi(x) = \partial^2 \phi(x) + \mathcal{O}(a^2)\end{aligned}$$

- Improve:  $\partial^2 = \Delta - \frac{a^2}{12} \Delta^2 + \frac{a^4}{90} \Delta^3 + \dots \equiv P(\Delta)$
- Actions are **on- and off-shell improved**, i.e. lattice field  $\phi(x)$  is improved to same order as observables (spectrum  $\rightarrow$  plot).



Dispersion relation of  
(improved) massless  
free scalar field



$$\vec{p} \propto (1,1,0)$$

Figure 1: (Real part of the) energy  $aE = aE(\mathbf{p})$  versus  $a|\mathbf{p}|$ , with  $\mathbf{p} \propto (1,1,0)$ , for free scalar field improved to have  $\mathcal{O}(a^2)$  (solid),  $\mathcal{O}(a^4)$  (dashed), and  $\mathcal{O}(a^6)$  (dotted) errors.



## Improved Actions (quantum)

- Organize improved action (and fields) by dim of operators. Makes sense at least for asymptotically free theories.
- Quantum effects will generate all operators of allowed symmetries; they mimick effects of UV modes beyond cut-off.
- For **on-shell** improvement can use field transformations to set coefficients of certain operators ("redundant") to zero, or achieve some other aim, like eliminate doublers. *Points to note:*
  - Any interpolating fields will give correct spectral quantities (masses, decay rates, etc).
  - With improved composite fields get matrix elements between physical states.

improve to  
finite dim  
(order in  $\alpha$ )

So, can get all we need!



## Improved Actions (quantum) cont'd

- **Classically** it is easy to achieve on- and off-shell improvement (at worst: keep track of field transformations).
- On quantum level demanding only **on-shell** improvement is tremendous **simplification**.
- Fix improvement coefficients by matching to suitable set of on-shell conditions. In practice:
  - Lattice PT (is complicated, especially for improved actions).
  - If possible, impose **non-perturbative** improvement conditions: **Rotational** and **chiral** symmetry.
- In many cases: At  $O(a^2)$  classical + tadpole improvement works well ( $\rightarrow$  later).

examples  
later.

E.g. ptcl  
in connection  
with non-pert  
theory of rotll  
Symmetry!



## Improvement of Pure QCD (Weisz/Lüscher)

- Wilson:  $\beta \sum_{\square} (1 - \frac{1}{N} \text{Re Tr } U(\square)) = \int \frac{1}{2} \text{Tr } F_{\mu\nu}^2 + \mathcal{O}(a^2)$

- Classical improvement of gluon action

$$\text{Re Tr } U(\square) \propto \sum_{\mu\nu} \text{Tr } F_{\mu\nu} F_{\mu\nu} + \# a^2 \underbrace{\sum_{\mu\nu} \text{Tr } D_{\mu} F_{\mu\nu} D_{\mu} F_{\mu\nu}}_{\text{violates rotational sym (good: easy to break)}} + \mathcal{O}(a^4)$$

violates rotational sym (good: easy to break)

- At quantum level two more operators can appear at  $\mathcal{O}(a^2)$ :

$$\sum_{\mu\nu\sigma} \text{Tr } D_{\mu} F_{\nu\sigma} D_{\mu} F_{\nu\sigma}$$

$$\sum_{\mu\nu\sigma} \text{Tr } D_{\mu} F_{\mu\sigma} D_{\nu} F_{\nu\sigma} \leftarrow \text{redundant: } A_{\sigma} \rightarrow A_{\sigma} + \# a^2 \sum_{\nu} D_{\nu} F_{\nu\sigma}$$



## Improvement of Pure QCD (cont'd)

- For  $\mathcal{O}(a^2)$  on-shell quantum improvement it suffices to add two terms to  $\square$ , for example (there are many other possibilities):

$$\begin{aligned}
 S_g[U] &= \beta_{\text{pl}} \sum \square + \beta_{\text{rt}} \sum \square + \beta_{\text{pg}} \sum \square \\
 &\stackrel{!}{=} \frac{1}{2} \int \text{Tr} F_{\mu\nu}^2 + \mathcal{O}(a^4)
 \end{aligned}$$

- At tree level only have to add  $\square$  to  $\square$ , with  $\frac{\beta_{\text{rt}}}{\beta_{\text{pl}}} = -\frac{1}{20}$ .  
Then the action is also off-shell improved.
- Dispersion Relation  $\rightarrow$  plot.



Improved vs  
Wilson glue  
dispersion relation  
(isotropic)

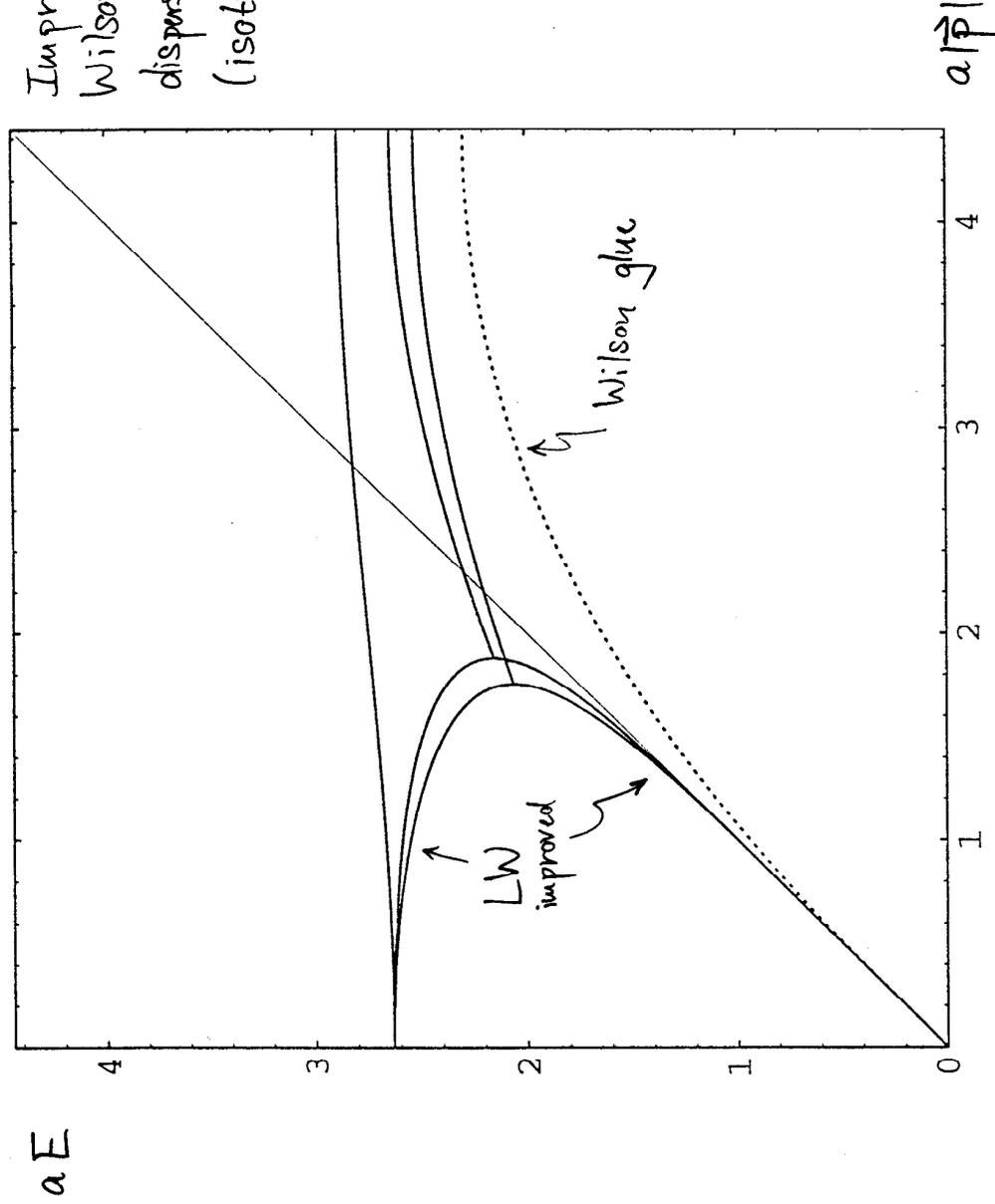


Figure 2: Energy  $aE(\mathbf{k})$  of the two gluon polarizations as a function of  $a|\mathbf{k}|$ , with  $\mathbf{k} \propto (1, 1, 0)$ , for the Wilson (dotted) and Lüscher-Weisz improved (solid) actions on an isotropic lattice.



## Improvement of Pure QCD (cont'd)

- Lüscher/Weisz (1985) for SU(3) (from on-shell scattering amplitudes  
in twisted, compactified universe)

$$\frac{\beta_{\text{rt}}}{\beta_{\text{pl}}} = -\frac{1}{20} \left( 1 + 2.0146 \alpha_0 \right) + \mathcal{O}(\alpha^2) \quad \alpha_0 \equiv \frac{g^2}{4\pi}$$

$$\frac{\beta_{\text{pg}}}{\beta_{\text{pl}}} = \underbrace{0}_{\text{classical}} - 0.03325 \alpha_0 + \mathcal{O}(\alpha^2)$$

- This action was tried in MC simulations — and did **not** seem to give significant improvements (relative to cost) !?
- The basic reason became clear through the work of Lepage/Mackenzie (1992) as part of the solution to the puzzle of why **lattice PT did not seem to work**.



## The Bare Coupling is Bad

- For pure SU(3)

$$\alpha_0 = \alpha_{\overline{\text{MS}}}(28.81/a) + \mathcal{O}(\alpha^3)$$

- $\alpha_0$  is anomalously small; PT in  $\alpha_0$  underestimates quantum effects and is badly convergent ( $\rightarrow$  plot).
- Reason:  $U_\mu = e^{iagA_\mu}$  has all powers of  $A$  in its expansion. **Tadpole** diagrams in lattice PT are often dominant (75 – 90%), destroying contact with continuum-like PT where such diagrams are absent.
- Always use “physical” coupling like  $\alpha_{\overline{\text{MS}}}(q)$ ,  $\alpha_V(q)$  at appropriate scale  $q = q^*$  ( $\rightarrow$  Lepage/Mackenzie).



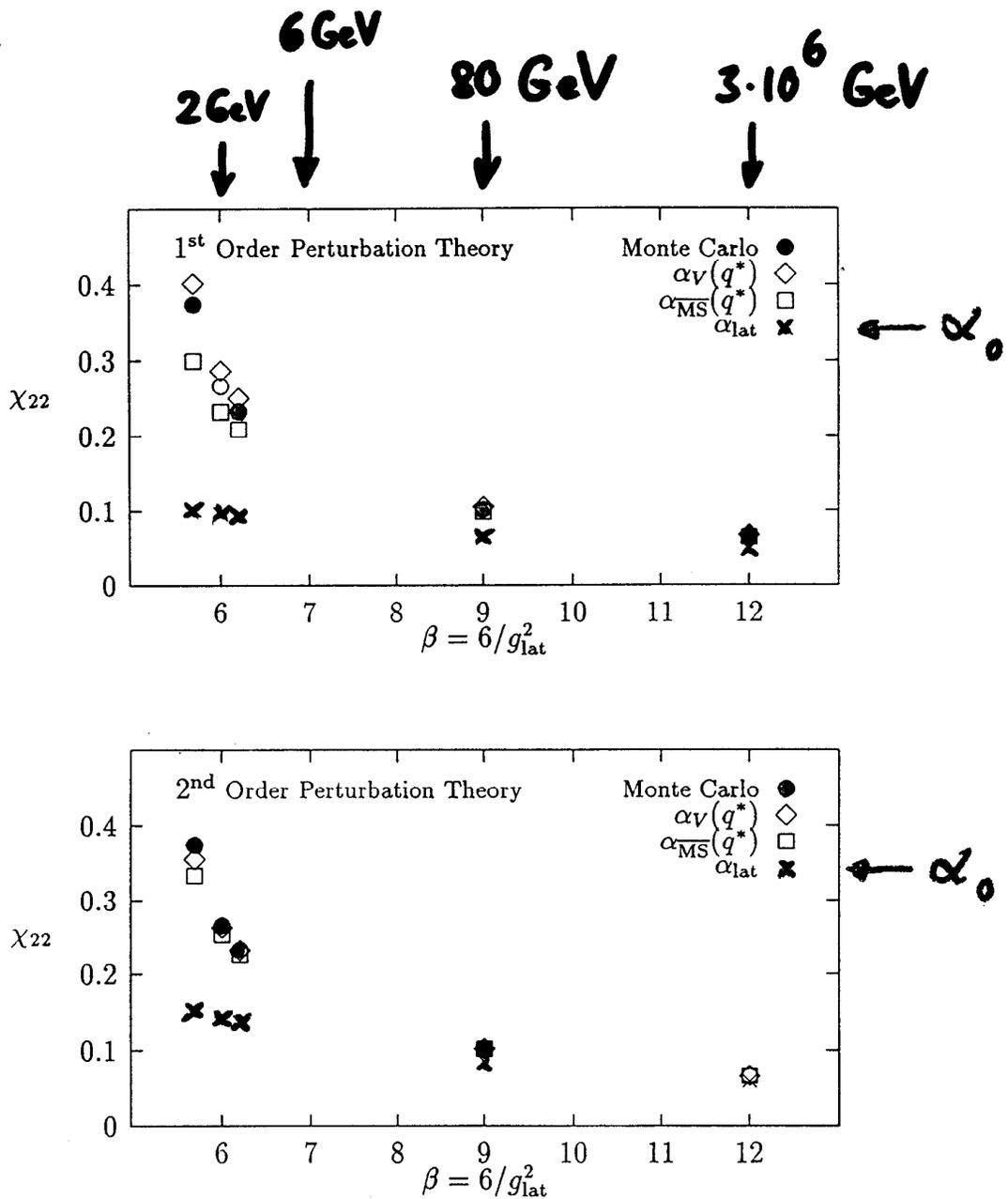
$\chi_{22}$ 

Figure 1: Results for Creutz ratio  $\chi_{22}$  at different couplings  $\beta$  from Monte Carlo simulations (circles), and from perturbation theory (using  $\alpha_V(q^*)$  (diamonds),  $\alpha_{\overline{MS}}(q^*)$  (boxes), and  $\alpha_{\text{lat}}$  (crosses)). The first plot shows perturbation theory through one-loop order, and the second through two-loop order. Statistical errors in the Monte Carlo results are negligible.

## Tadpole Improvement of Improved Glue

- Coefficients in action become at one-loop

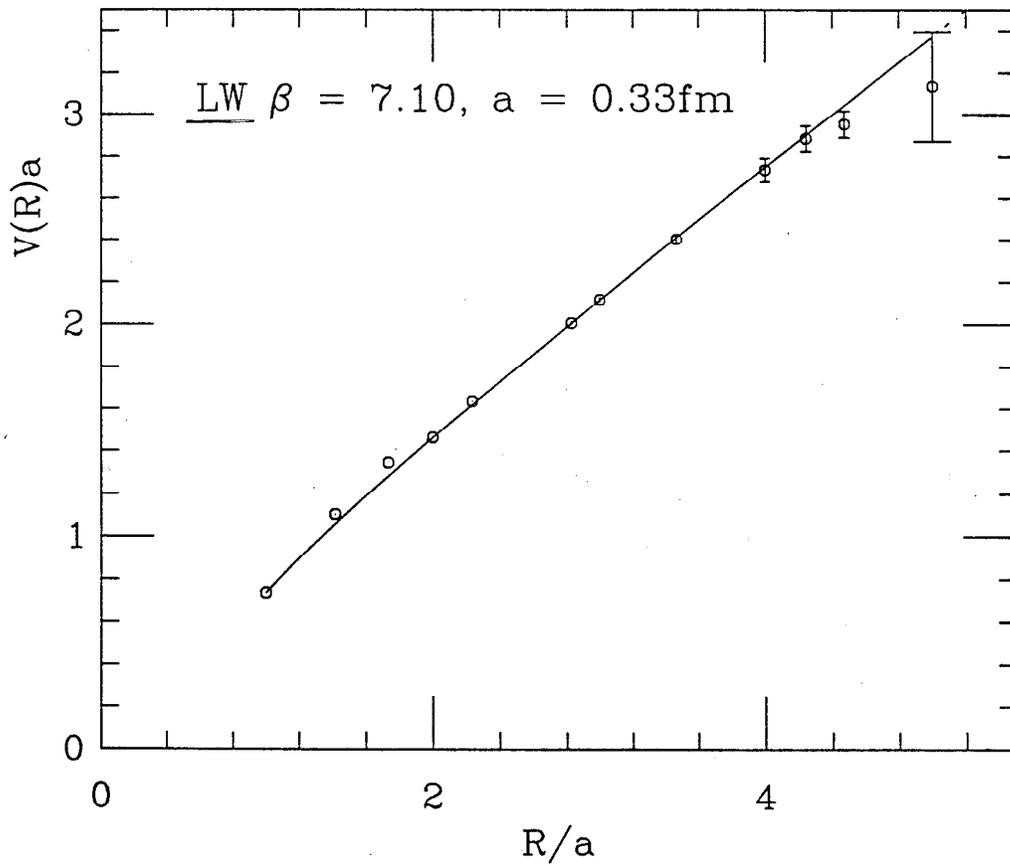
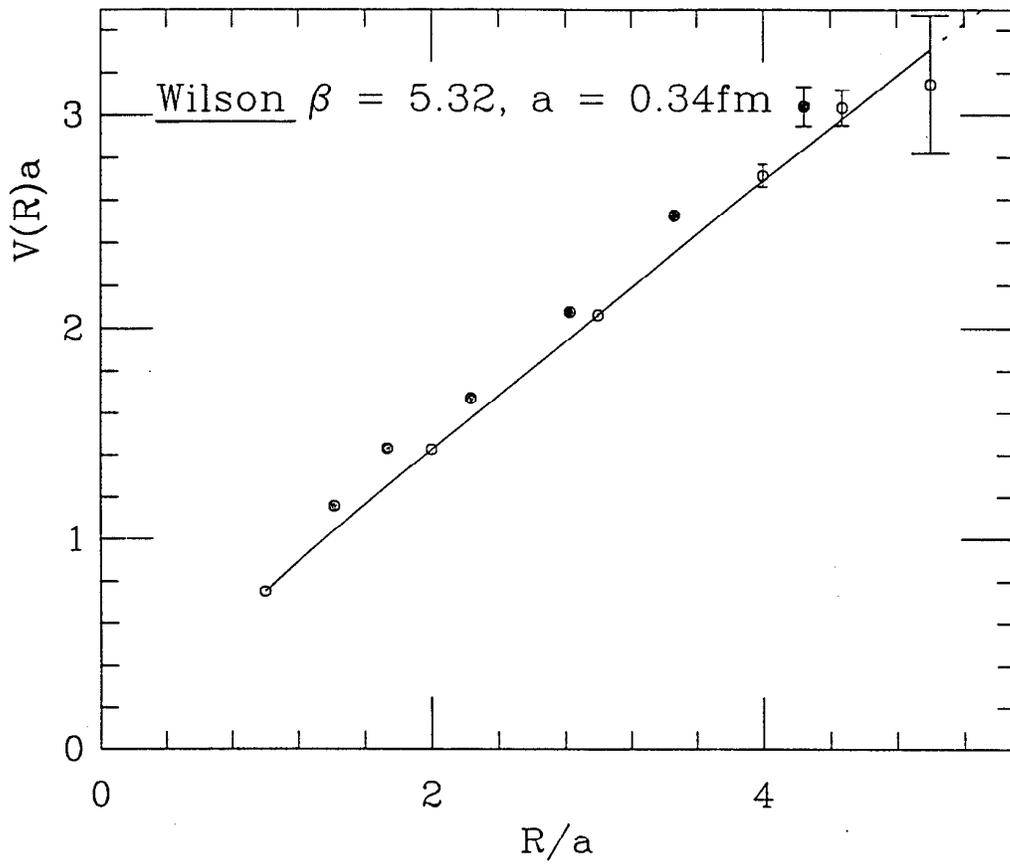
$$\frac{\beta_{\text{rt}}}{\beta_{\text{pl}}} = -\frac{1}{20} \left( 1 + 2.0146 \alpha_0 \right) = -\frac{1}{20} u_0^2 \left( 1 + \underbrace{0.4805 \alpha} \right)$$

$$\frac{\beta_{\text{pg}}}{\beta_{\text{pl}}} = -0.03325 \alpha_0 = -\frac{1}{u_0^2} 0.03325 \alpha$$

- Tadpole improvement gives large enhancement of correction terms (factors of up to  $\approx 2$  on coarse lattices).



# Static Potential $V(\vec{R})$ for pure QCD



Could use this as non-pert improvement condition....

# Glueballs with improved, anisotropic gauge actions

Morningstar  
Peardon

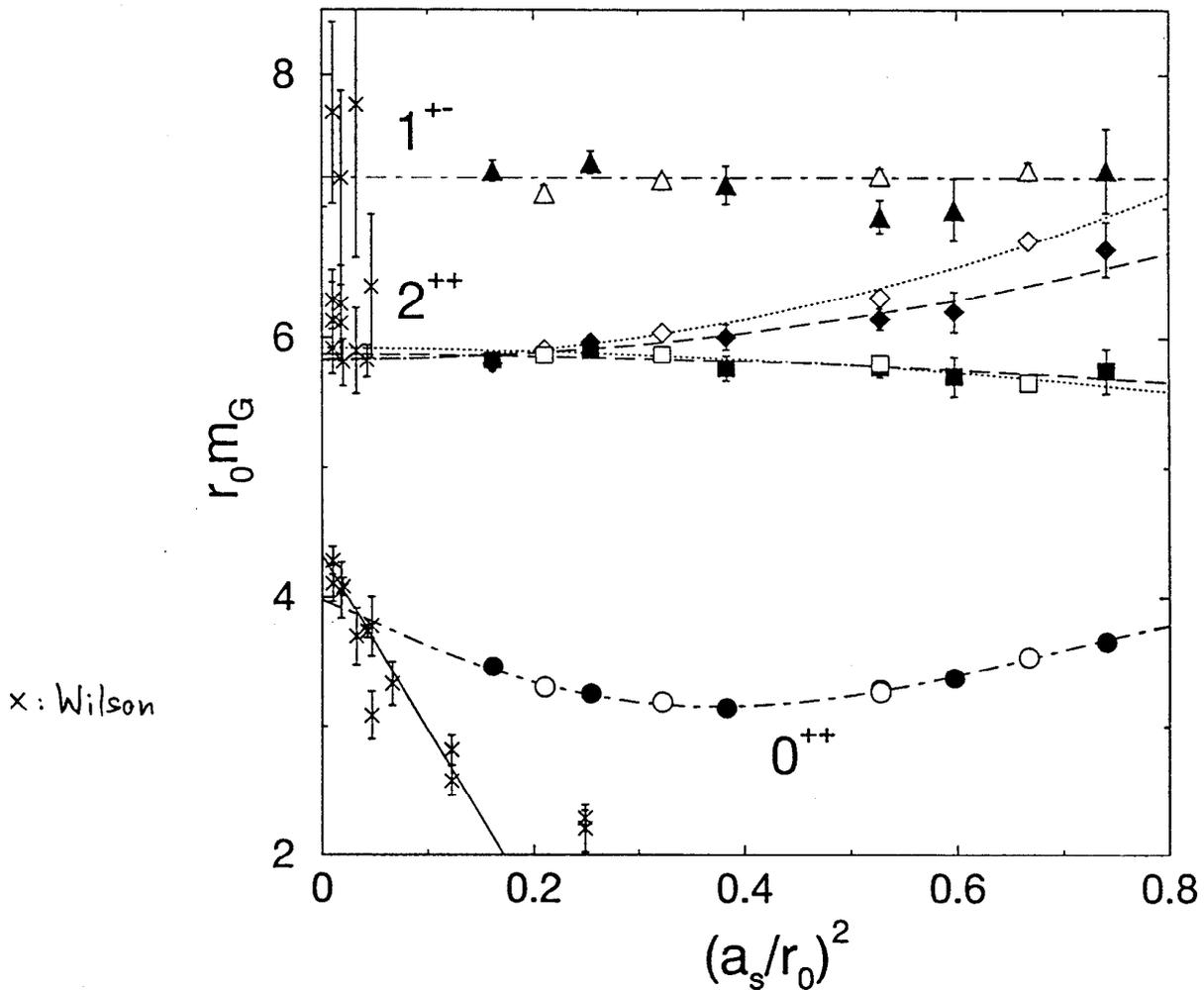


FIG. 12. Glueball mass estimates in terms of  $r_0$  against the lattice spacing  $(a_s/r_0)^2$ . Results from the  $\xi = 5$  simulations for the lattice irreps  $A_1^{++}$ ,  $E^{++}$ ,  $T_2^{++}$  and  $T_1^{+-}$  are labeled  $\circ$ ,  $\square$ ,  $\diamond$ , and  $\triangle$ , respectively. The corresponding solid symbols indicate the results from the  $\xi = 3$  simulations. Data from Wilson action simulations taken from Refs. [19, 22, 20, 23] are shown using crosses. The dashed, dotted, and dash-dotted curves indicate extrapolations to the continuum limit obtained by fitting to the  $\xi = 3$  data, the  $\xi = 5$  data, and all data, respectively. The solid line indicates the extrapolation of the Wilson action data to the continuum limit.

## Fermions (non-chiral) on the Lattice

- Aim: Discretize Dirac eqn  $(\not{D} + m)\psi(x) = 0$ .
- It's much harder to discretize Fermions than Bosons: Consider (anti-)hermitean first and second order lattice derivatives ( $A_\mu \equiv 0$ )

$$\nabla_\mu \psi(x) \equiv \frac{1}{2a_\mu} [\psi(x + \mu) - \psi(x - \mu)]$$

$$\Delta_\mu \phi(x) \equiv \frac{1}{a_\mu^2} [\phi(x + \mu) + \phi(x - \mu) - 2\phi(x)]$$

- In  $p$ -space

$$\nabla_\mu \leftrightarrow i\bar{p}_\mu, \quad \bar{p}_\mu \equiv \frac{1}{a_\mu} \sin(a_\mu p_\mu)$$

$$\Delta_\mu \leftrightarrow -\hat{p}_\mu^2, \quad \hat{p}_\mu \equiv \frac{2}{a_\mu} \sin(a_\mu p_\mu/2)$$

- The effective lattice spacing of  $\bar{p}$  is twice that of  $\hat{p}$  —  $\nabla_\mu$  decouples even and odd sites ( $\rightarrow$  doublers). The  $a^2$  error of  $\bar{p}$  is  $4\times$  that of  $\hat{p}$ .



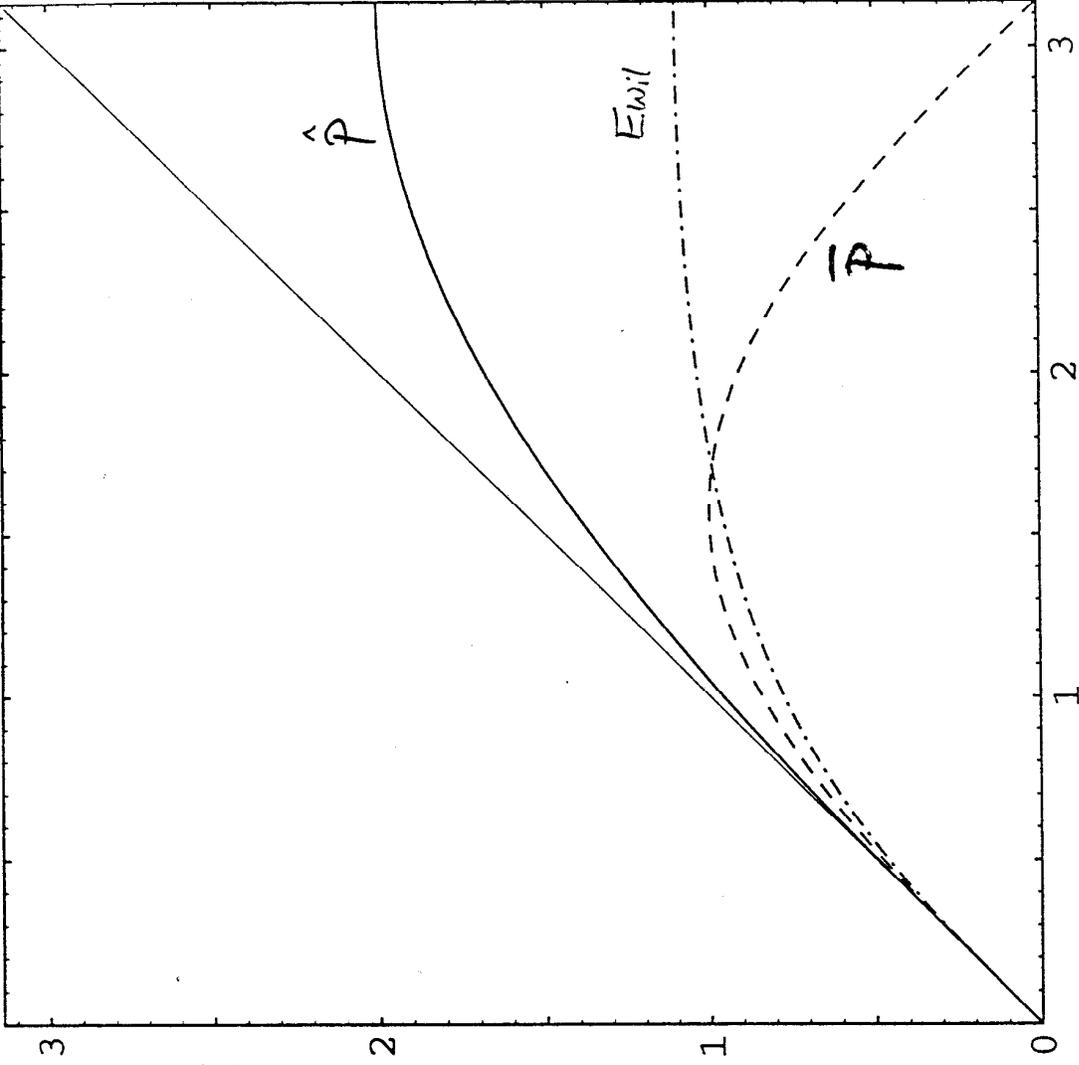


Figure 1:  $\hat{p}$  (solid),  $\bar{p}$  (dashed) and  $E_{wil}$  (dot-dashed).

## Lattice Covariant Derivative Operators

- Conceptual building blocks of our lattice quark actions are the (anti-) hermitean first and second order derivatives:

$$\nabla_{\mu} \psi(x) \equiv \frac{1}{2a_{\mu}} \left[ U_{\mu}(x) \psi(x + \mu) - U_{-\mu}(x) \psi(x - \mu) \right]$$

$$\Delta_{\mu} \psi(x) \equiv \frac{1}{a_{\mu}^2} \left[ U_{\mu}(x) \psi(x + \mu) + U_{-\mu}(x) \psi(x - \mu) - 2\psi(x) \right]$$

- $\nabla_{\mu}$  has a “doubler problem”.
- $\Delta_{\mu}$  does not (it’s like a mass term).  
But it does break chiral symmetry.

## Eliminating Doublers

- Any chirally symmetric action of the form

$$M = \sum_{\mu} \gamma_{\mu} \nabla_{\mu} \left( 1 + b_{\mu} a_{\mu}^2 \Delta_{\mu} + d_{\mu} a_{\mu}^4 \Delta_{\mu}^2 + \dots \right)$$

will have doublers (Nielsen-Ninomiya).

- Adding a  $\sum_{\mu} \Delta_{\mu}$  term to the action eliminates them (Wilson), but:
  - Adding  $\sum_{\mu} \Delta_{\mu}$  naively wrecks improvement at  $O(a)$ .
  - These  $O(a)$  errors break chiral symmetry.
- Solution:
  - **Classically:** Introduce  $\sum_{\mu} \Delta_{\mu}$  by a field transformation.
  - To eliminate all  $O(a)$  errors tune the quark action **non-perturbatively** via the demand that the PCAC relation hold at zero (small) quark masses.



## Deriving Doubler-Free Improved Quark Actions

(Alford/TK/Lepage: hep-lat/9611010)

- Start with the continuum action
- Our “canonical” field transformation eliminating doublers is

$$\bar{\psi}_c M_c \psi_c \equiv \sum_x \bar{\psi}_c(x) (\not{D} + \underline{m}_c) \psi_c(x)$$

$$\psi_c = \Omega \psi$$

$$\bar{\psi}_c = \bar{\psi} \bar{\Omega}$$

$$\bar{\psi}_c M_c \psi_c = \bar{\psi} M_\Omega \psi, \quad M_\Omega \equiv \bar{\Omega} M_c \Omega$$

$$\bar{\Omega} = \Omega, \quad \bar{\Omega} \Omega = 1 - \frac{1}{2} \underbrace{ra_0}_{\text{convention}} (\not{D} - \underline{m}_c)$$

- $r$  is a free parameter (“redundant”); will be tuned later.



- This gives the transformed action

$$M_\Omega = \mathcal{D} + m_c - \frac{1}{2} r a_0 (\mathcal{D}^2 - m_c^2)$$

- Note:  $\mathcal{D}^2 = \sum_\mu D_\mu^2 + \frac{1}{2} \sigma \cdot \vec{F}$   $\longleftarrow \sum_{\mu\nu} \sigma_{\mu\nu} F_{\mu\nu}$

- To obtain a doubler-free quark action improved to any order, just express  $D_\mu$ ,  $D_\mu^2$  and  $F_{\mu\nu}$  in terms of lattice quantities to the desired order:

$$D_\mu = \nabla_\mu \left( 1 - \frac{a_\mu^2}{6} \Delta_\mu + \frac{a_\mu^4}{30} \Delta_\mu^2 + \dots \right)$$

$\swarrow$  leading correction (of rotational symm)  
classical

$$D_\mu^2 = \Delta_\mu - \frac{a_\mu^2}{12} \Delta_\mu^2 + \frac{a_\mu^4}{90} \Delta_\mu^3 + \dots$$

- Final step: To classically also improve **off-shell** quantities, **undo** the field transformation (now on the lattice).
- Jacobian of field transformation only effects  $\mathcal{O}(g^2)$ .

as for  
glue

<sup>3</sup>  
a error  
only



## Sheikholeslami-Wohlert Action

- Expanding to 0-th order,  $D_\mu = \nabla_\mu + \mathcal{O}(a_\mu^2)$ ,  
 $D_\mu^2 = \Delta_\mu + \mathcal{O}(a_\mu^2)$ , gives the lattice action:

$$M_{\text{SW}} = m_c(1 + \frac{1}{2}ra_0m_c) + \nabla - \frac{1}{2}ra_0 \left( \sum_\mu \Delta_\mu + \frac{1}{2}\sigma \cdot F \right)$$

- Has  $\mathcal{O}(a^2)$  classical errors, e.g.  $E(0) = m_c + \mathcal{O}(a^2)$ .
- No doublers for any  $r > 0$ , no ghosts for  $r=1$ .
- For  $F_{\mu\nu}$  we can use the clover representation; has  $\mathcal{O}(a^2)$  errors.
- To obtain Wilson action, one must by hand set  $\sigma \cdot F$  to zero, inducing  $\mathcal{O}(a)$  errors.



Set that up  
earlier ~

Should mention  
Wilson's

## D234 Actions

- Expanding to next order gives:

$$M_{D234} = m_c(1 + \frac{1}{2}ra_0m_c) + \sum_{\mu} \gamma_{\mu} \nabla_{\mu} (1 - b_{\mu}a_{\mu}^2 \Delta_{\mu}) - \frac{1}{2}ra_0 \left( \sum_{\mu} \Delta_{\mu} + \frac{1}{2} \sigma \cdot F \right) + \sum_{\mu} c_{\mu} a_{\mu}^3 \Delta_{\mu}^2$$

where

$$b_{\mu} = \frac{1}{6}, \quad c_{\mu} = \frac{ra_0}{24a_{\mu}}$$

- Has only  $O(a^4)$  classical errors, if one uses an improved  $F_{\mu\nu}$ .
- For generic  $r$ , there will be three ghost branches.
- At the expense of  $O(a^3)$  errors can arrange for only one ghost.



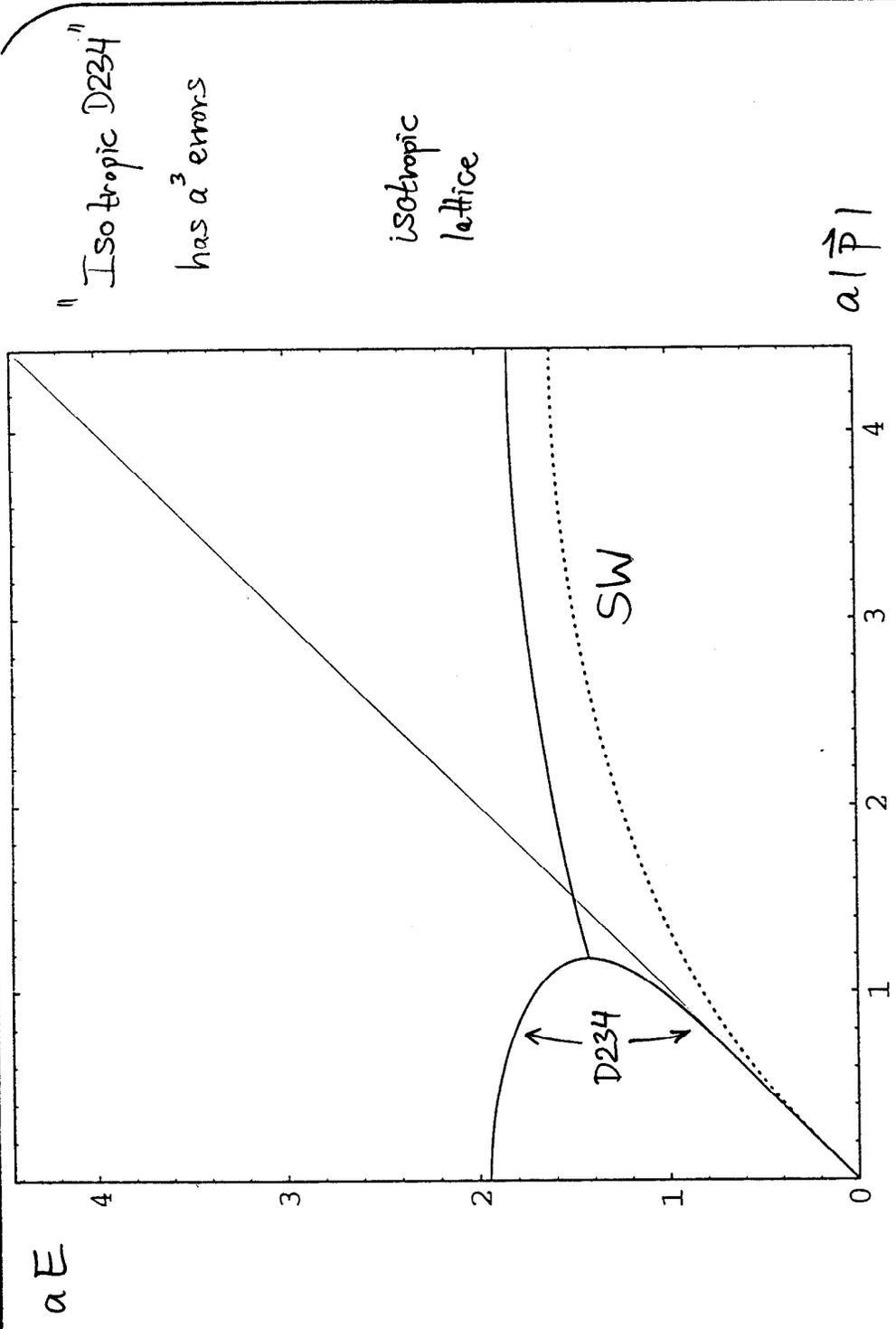


Figure 3: The energy  $a_s E(\mathbf{p})$  as a function of  $a_s |\mathbf{p}|$ , with  $\mathbf{p} \propto (1, 1, 0)$ , for the massless isotropic D234 (solid) and SW (dotted) actions ( $r = 1$ ). Continuum fermions (thin solid) are shown for comparison.

## Simulation Results for Dispersion Relations

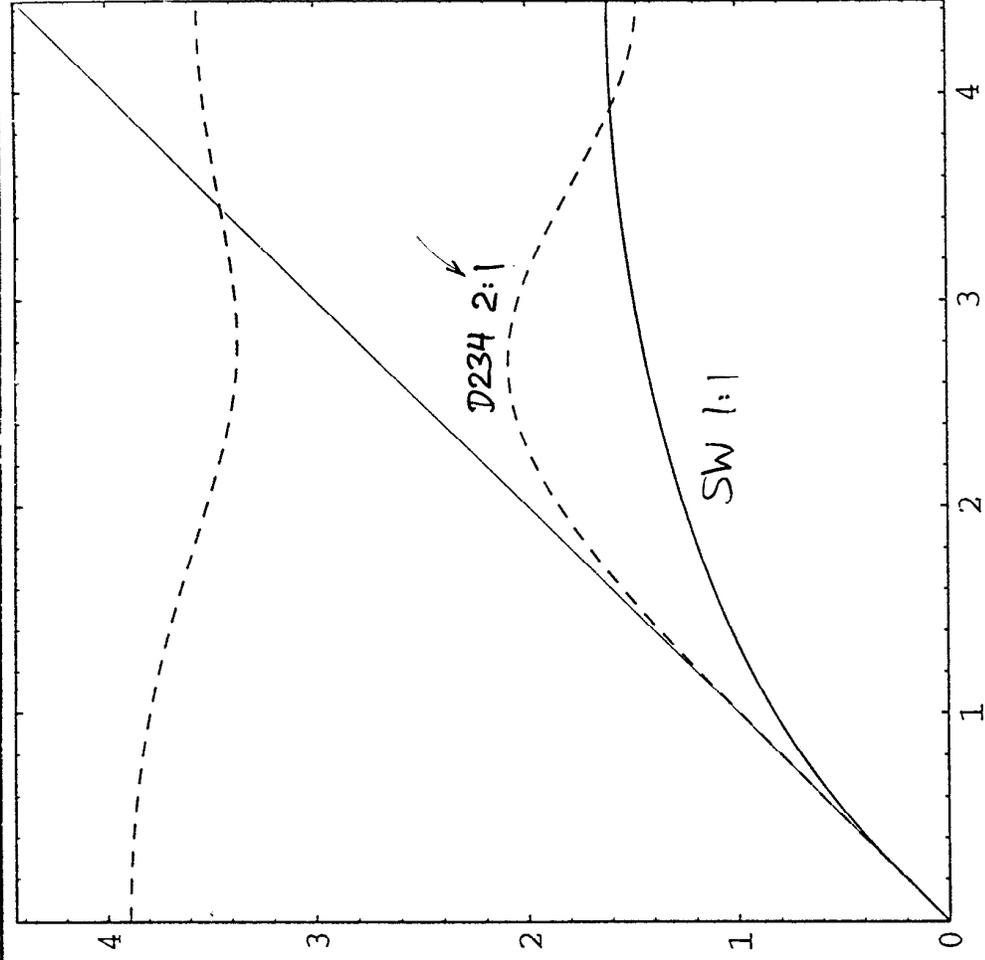
- For a given hadron define an “effective velocity of light”,

$$c(\mathbf{p}) : \quad c(\mathbf{p})^2 \mathbf{p}^2 = E(\mathbf{p})^2 - E(0)^2 .$$

- For mesons with  $|\mathbf{p}| = 2\pi/aL$ ,  $aL \approx 2.0$  fm at  $m_\pi/m_\rho \approx 0.70$  we find on an isotropic lattice with 1-loop and tadpole improved LW glue (Alford/TK/Lepage '95):

$\beta_{LW}$	$a$ (fm)	$c^2(\vec{p})$		$c^2(\vec{p})$	
		$\pi$	$\rho$	D234 Action	SW Action
6.8	0.40	0.95(2)	0.93(3)	0.63(2)	0.48(3)
7.1	0.33	0.94(3)	0.96(5)	0.74(3)	0.55(4)
7.4	0.24	0.99(4)	1.00(6)	0.88(2)	0.73(3)

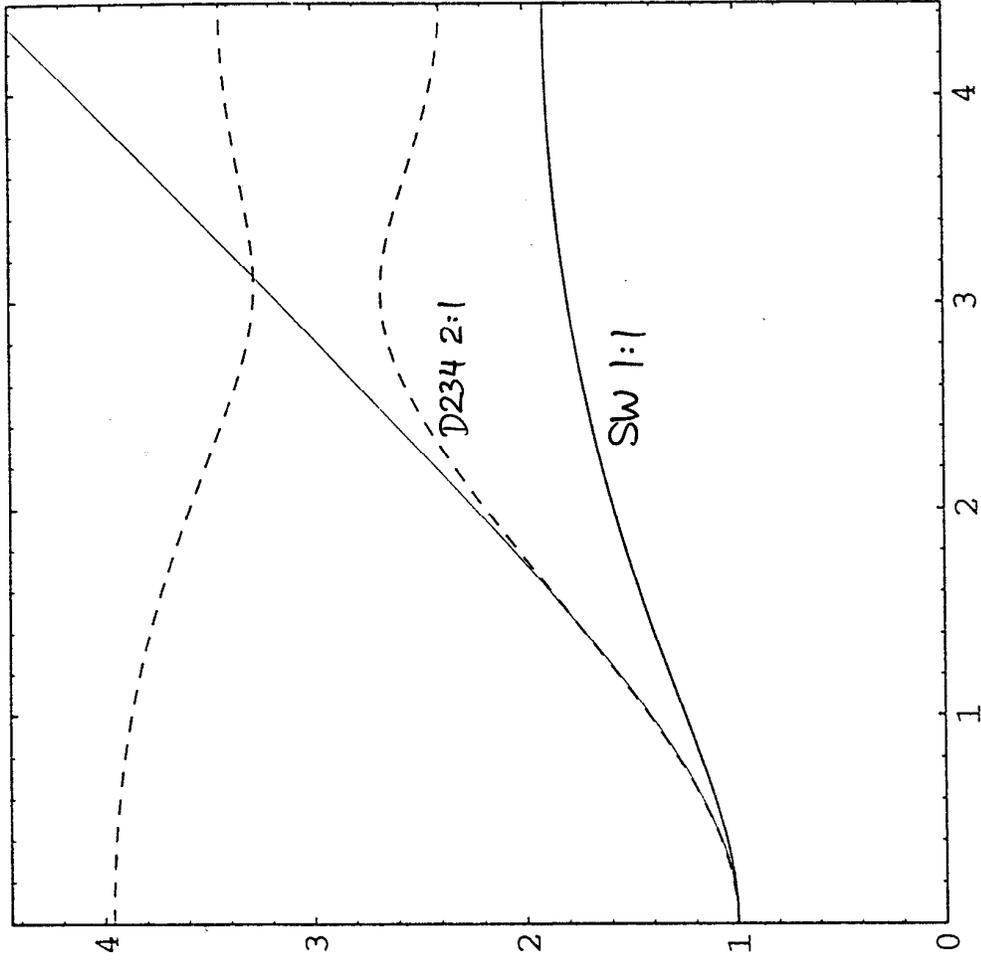




"Anisotropic D234"  
has  $\mathcal{O}(a_0^3, a^4)$  errors

Figure 4: The energy  $a_s E(\mathbf{p})$  as a function of  $a_s |\mathbf{p}|$ , with  $\mathbf{p} \propto (1, 1, 0)$ , for the massless, free SW action ( $r = 1$ ) on a 1:1 lattice (solid), and the D234( $\frac{2}{3}$ ) action on a 2:1 lattice (dashed).





"Anisotropic D234"

massive case

$$a_s m = 1$$

Figure 5: The energy  $a_s E(\mathbf{p})$  as a function of  $a_s |\mathbf{p}|$ , with  $\mathbf{p} \propto (1, 1, 0)$ , for the massive, free SW action ( $r = 1$ ) on a 1:1 lattice (solid), and the  $D234(\frac{2}{3})$  action on a 2:1 lattice (dashed).



Tim Klassen

(for details  $\rightarrow$  Alford/TK/LePage: hep-lat/9611010)  
 Replace  $H_{\text{vis}}$  with  $D234_i(\frac{2}{3})$  2  
 here or later.

"Dispersion Relations  
at charm mass"

(Alford/TK/Lepage:  
Lattice '96,  
hep-lat/9608113)

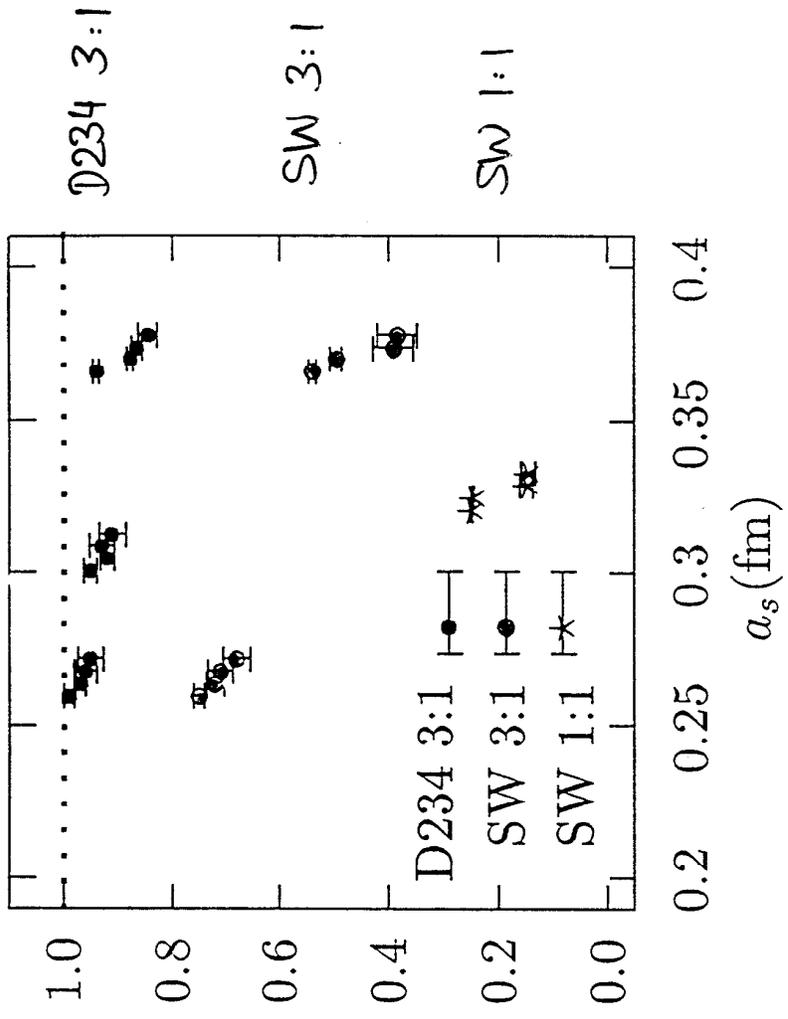


Figure 7:  $c(\mathbf{p})$  of various hadrons for the D234( $\frac{2}{3}$ ) and SW actions on various lattices at the charm mass,  $|\mathbf{p}| \approx 670$  MeV. Each quadruplet represents, from left to right, the  $\eta_c$  ("pion"),  $J/\psi$  ("rho"), "nucleon" and "delta".



## Quantum Improved Quark Actions

- Gluons: Errors are  $O(a^2, a^4, \dots)$ , no  $O(a)$ .
- Wilson-type Quarks: Have  $O(a)$  and  $O(a^2)$  errors, which are qualitatively very different:
  - $O(a)$  errors break **chiral** but not rotational symmetry.
  - Leading  $O(a^2)$  break **rotational** but not chiral symmetry.
- MC data show: The **only** quantities that have strong scaling violations on coarse lattices with both SW and D234 tadpole improved actions are those that depend strongly on  $O(a)$  term:  $m_{\rho, \phi} / \sqrt{\sigma}$ , HFS! ( $\rightarrow$  hep-lat/9612005, Bielefeld '96).
- Need **non-perturbative** method to tune  $O(a)$  term!



## **O(a)-Tuning of Wilson-type Quarks**

- Write the  $O(a)$  terms of an isotropic action as

$$-\frac{ar}{2} \left( \sum_{\mu} \Delta_{\mu} + \frac{\omega}{2} \sigma \cdot F \right) \quad (\text{CSW} = r\omega)$$

- The coefficient  $r$ , say, can be adjusted at will by a field transformation (“redundancy”). *can be ignored for on-shell improvement*
- Classically,  $\omega = 1$ , independent of  $r$ .
- To eliminate  $O(a)$  quantum errors,  $\omega$  must be tuned.
- How?



## Quark Actions on Anisotropic Lattices

An  $O(a)$  on-shell improved Wilson-type quark action can always be written as a discretization of an effective continuum actions of the form:

$$\bar{\psi}(x) \left[ m_0 + D_0 + c\mathcal{D} - \frac{1}{2}ra_0 \left( \sum_{\mu} D_{\mu}^2 + \omega_0 \sum_k \sigma_{0k} F_{0k} + \omega \sum_{k<l} \sigma_{kl} F_{kl} \right) \right] \psi(x)$$

Proof: Using field redefinition at  $O(a_0, a)$  can eliminate  $[\mathcal{D}, D_0]$  and  $D_0^2 - D^2$ .

- Parameters to be tuned at quantum level (all 1 classically):
  - $c$  = bare velocity of light
  - $\omega_0$  = temporal clover coefficient
  - $\omega$  = spatial clover coefficient
- Isotropic lattice:  $c = 1$ , only  $\omega \equiv \omega_0$  has to be tuned (or  $csw \equiv r\omega$ ).
- Tune  $c$  by demanding **renormalized** velocity of light = 1.
- Tune  $\omega_0, \omega$  using a background electric, resp, magnetic field.

details to be worked out.  
not completely trivial but doable...

In following restrict to isotropic .....



## Chiral Symmetry and Non-Perturbative Tuning

- Idea (Lüscher et al, ALPHA collab): The PCAC relation

$$\partial_\mu A_\mu^b = 2mP^b$$

for the pseudo-scalar density and the iso-vector axial current

$$P^b(x) \propto \bar{\psi}(x)\gamma_5\frac{1}{2}\tau^b\psi(x) \quad \tau: \text{flavor matrices}$$
$$A_\mu^b(x) \propto \bar{\psi}(x)\gamma_\mu\gamma_5\frac{1}{2}\tau^b\psi(x) + \underline{\underline{a c_A \partial_\mu P^b(x)}}.$$

will hold up to  $O(a^2)$  errors for small quark masses only if chiral symmetry is restored.

- Tune  $\omega$  and  $c_A$  by demanding the (unrenormalized) mass  $m$  defined by matrix elements between states to be independent of states and kinematic parameters ( $x$ , BCs, volume, etc).
- $\omega$  and  $c_A$  have  $O(a)$  ambiguity. Instead of assigning error to tuned parameters due to different improvement conditions, choose a **specific**, “reasonable” one: Errors are guaranteed to extrapolate away in the continuum limit!



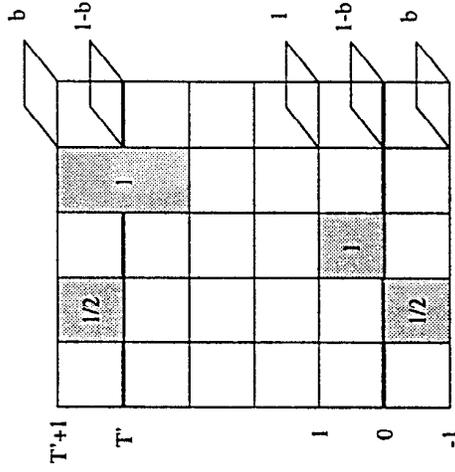
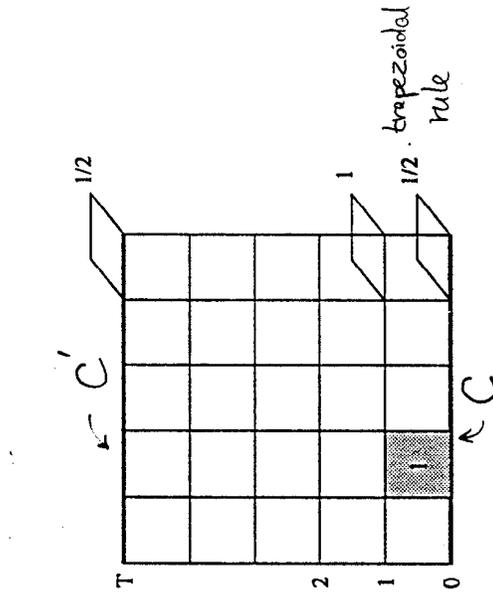
## Why we need the Schrödinger Functional

- Need to work at zero (small) quark masses.
- Impossible in practice with periodic boundary conditions (BCs), due to zero modes.
- Impose **fixed** BCs in time direction (say)  $\Rightarrow$  no zero modes!
- Furthermore, fixed BCs allow us to generate a **background** color(-electric) field that couples to  $\sigma \cdot F$  (even classically).
- SF = quantum/lattice field theory with Dirichlet BCs.



## The Schrödinger Functional on the Lattice

- Have to figure out how to impose fixed BCs on the lattice, in particular for improved actions. For details see hep-lat/9705025; here we just give a flavor of the issues:
- **Gauge fields:** Modify coefficients of loops in action at boundary:



- **Fermions:** Can define **upper and lower boundary fields** as functional derivatives (within the path integral) with respect to the boundary values of the fermion fields.



## Matrix Elements of the PCAC Relation

- Boundary fields are very useful as “test states”.
- Using suitable matrix elements with upper and lower boundary fields (and averaging over space), one obtains two different estimates of the current mass,  $\underline{M}(x_0)$  and  $\underline{M}(x'_0)$ , respectively, in addition to an estimate of  $c_A$ .
- Remember: Due to the background field there is an asymmetry between the upper and lower parts of the “universe” — which is of order  $a^2$  (classical/quantum for Wilson/improved) if we have properly improved the action and axial current.
- Impose the **improvement condition**

$$\Delta M(x_0) \equiv M(x_0) - M'(x_0) \stackrel{!}{=} \text{tree level}$$

for suitable  $x_0$  to determine  $\omega$ .

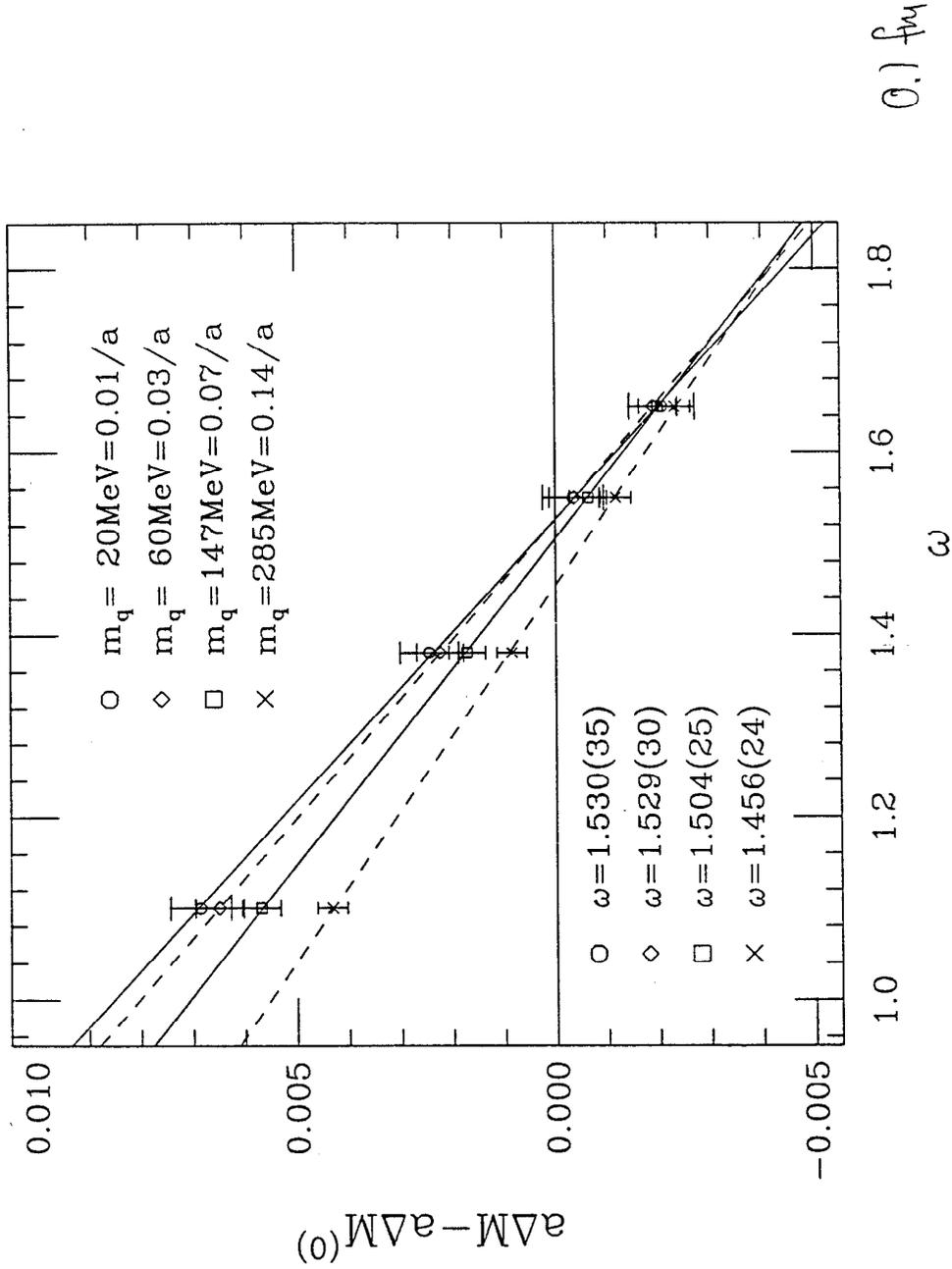


## **O(a) Tuning of $\omega$ in Practice**

- At SCRI (Edwards/Heller/TK) investigated so far:  
**SW** quarks on isotropic Wilson or improved glue.
- Choose appropriate BCs, volume, and  $x_0, y_0$  in improvement condition  $\Delta M(x_0, y_0) \stackrel{!}{=} \text{tree level.} = O(a^2) = \text{tiny}$
- For various fixed  $\omega$  measure  $\Delta M$ .
- Due to problems with **exceptional configurations** at small quark masses and large  $\omega$  (for coarse lattices), it is important to study:
  - How large a quark mass can be used?
  - How linear is  $\Delta M$  as a function of  $\omega$ ?

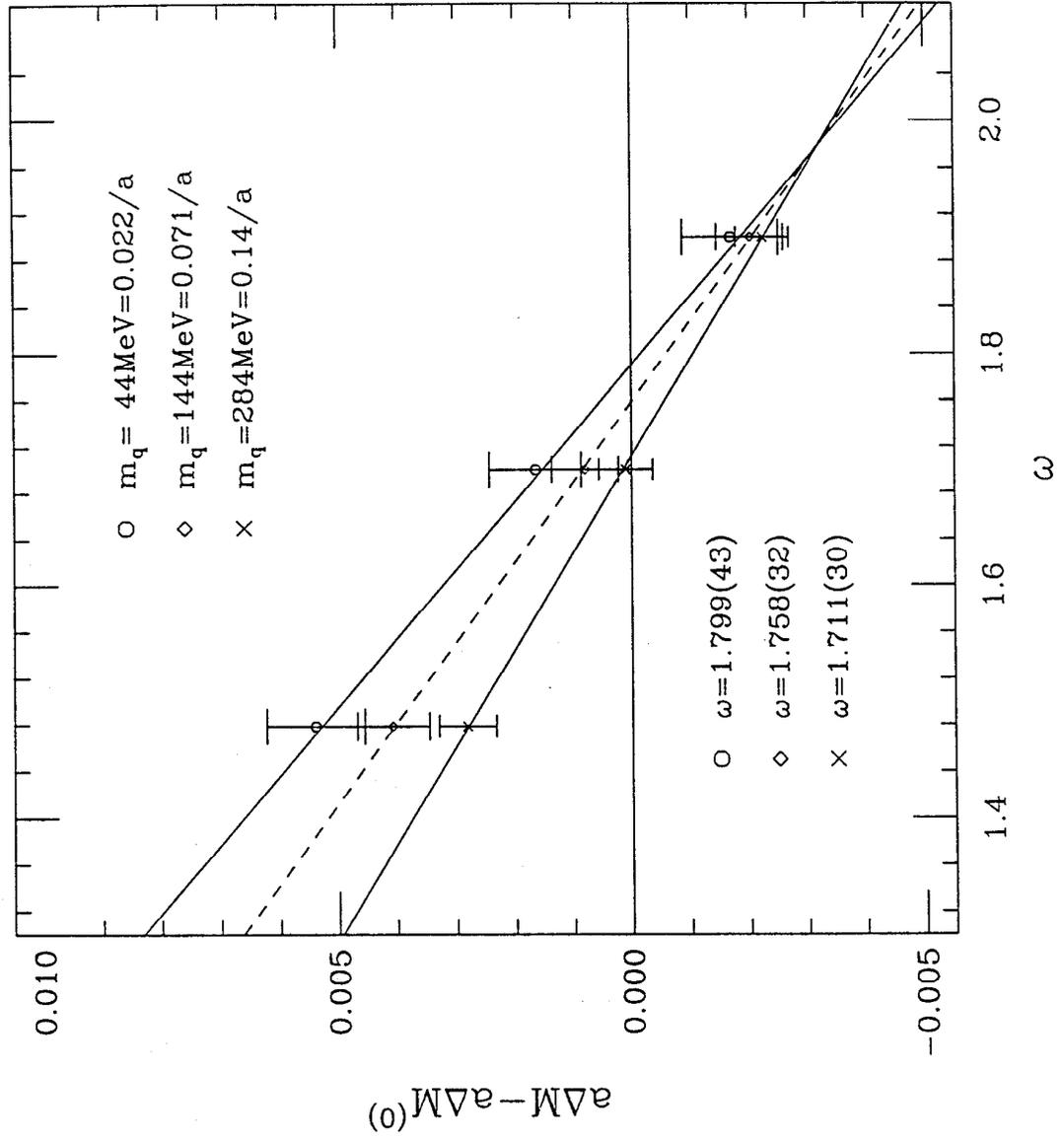


O(a) tuning of SW on  $\beta=8.4$  LW glue

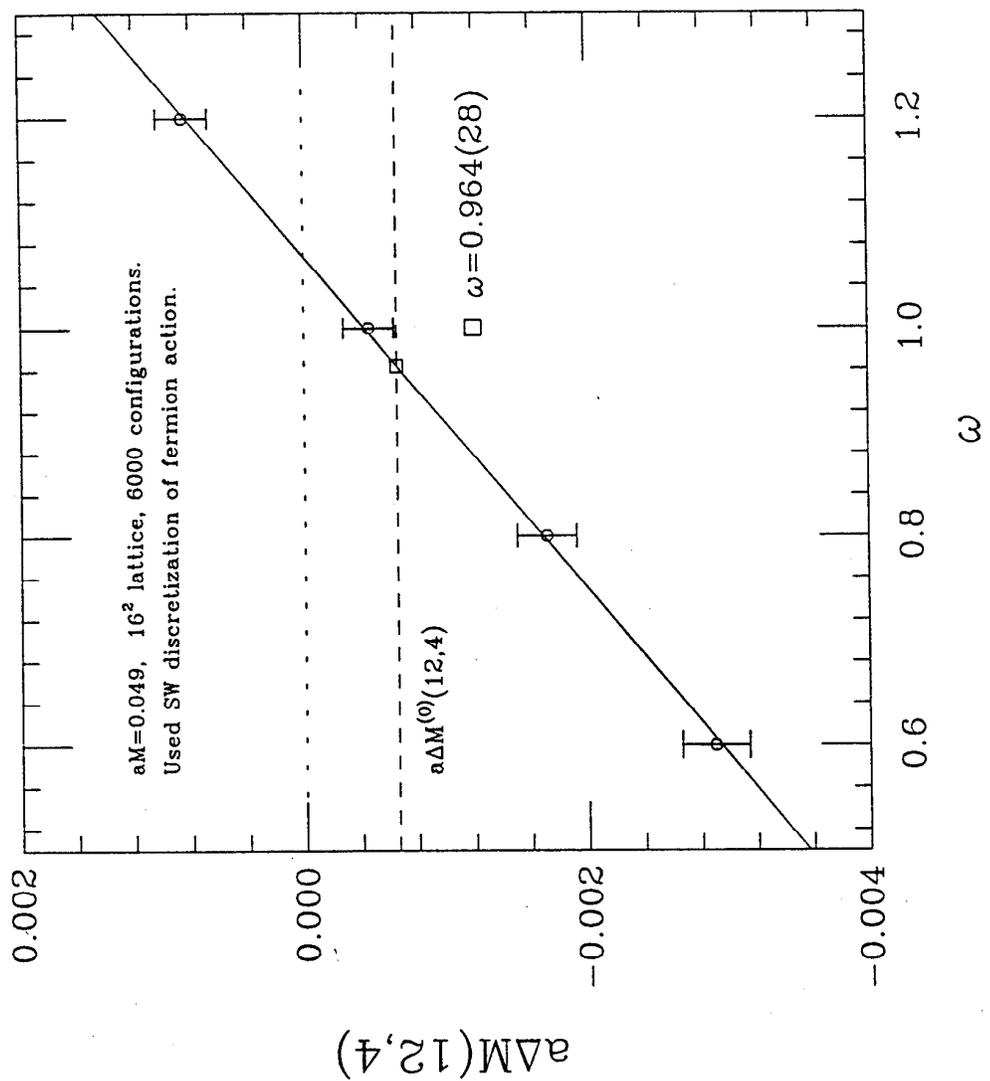


Now to  
actual  
results...

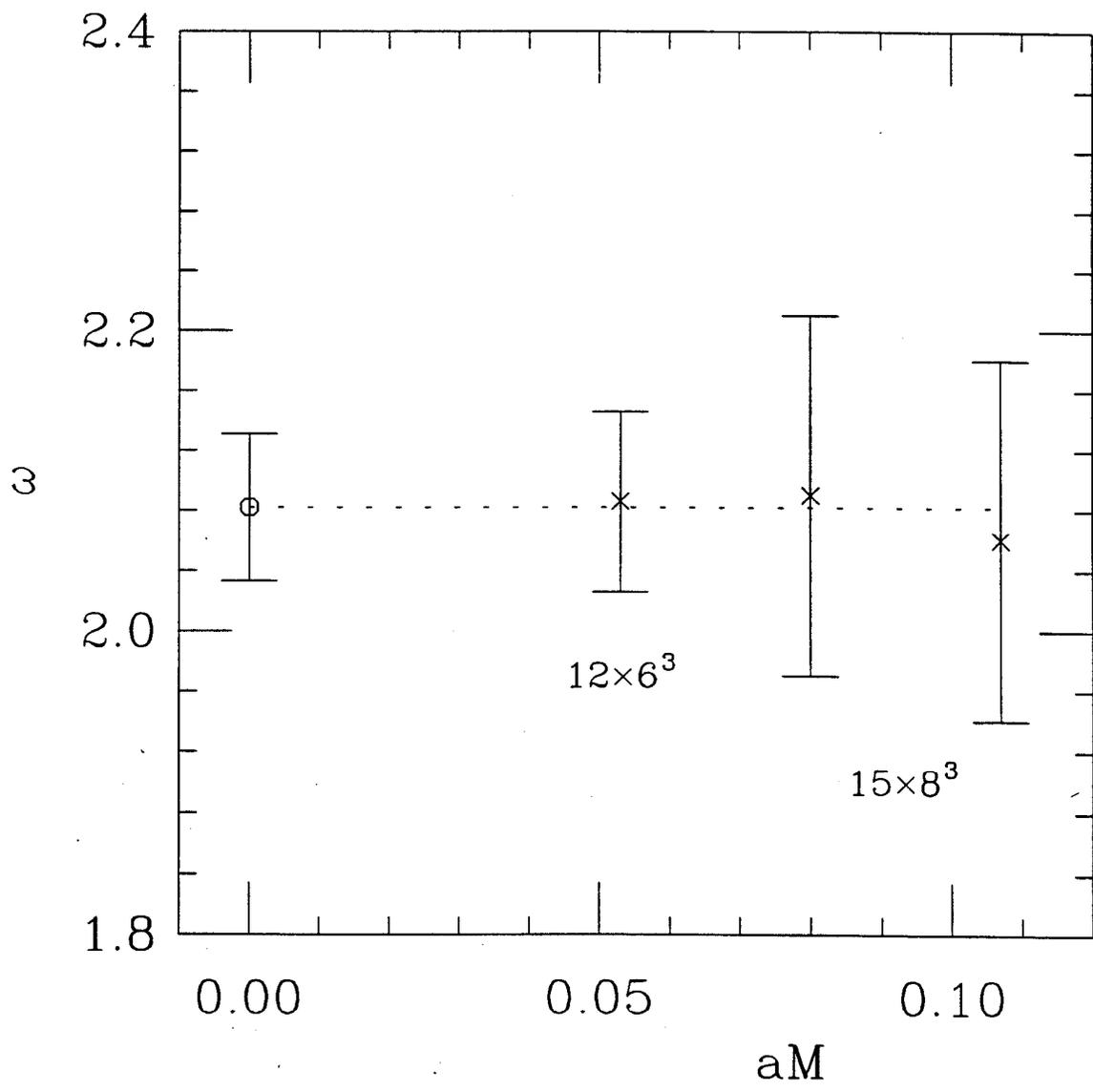
O(a) tuning of SW on  $\beta=6.0$  Wilson glue



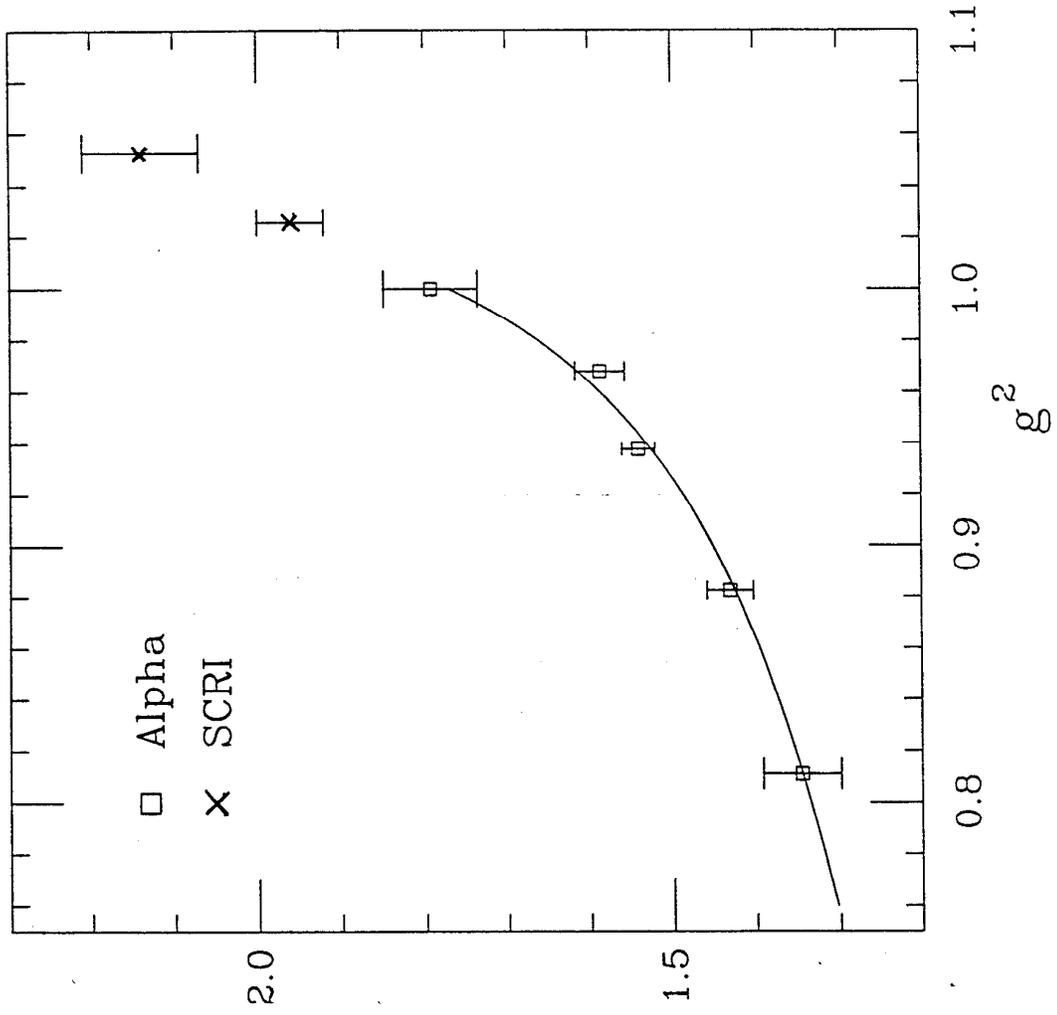
0(a) tuning of quenched QED<sub>2</sub> on  $\beta=10$  Wilson glue



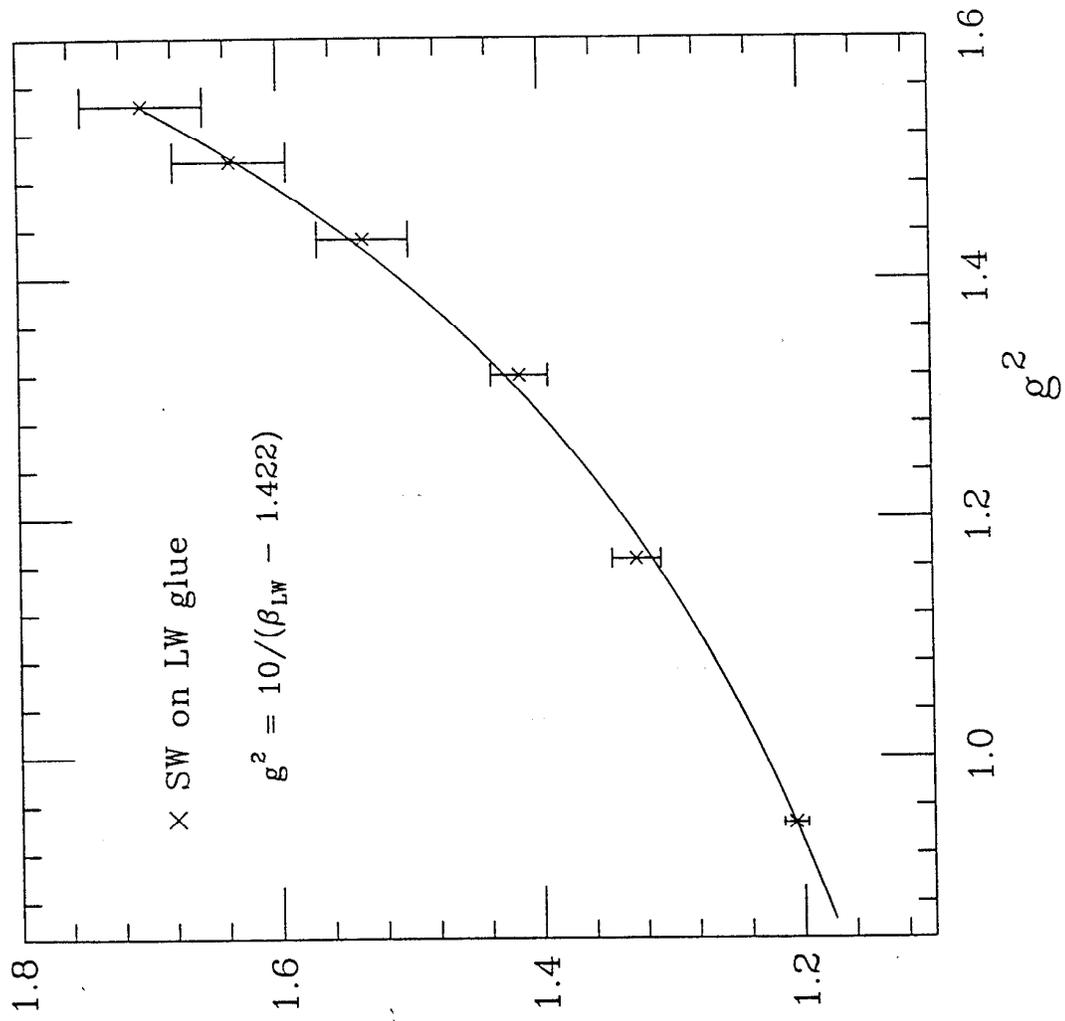
Mass (in)dependence for  $\beta=5.7$  Wilson glue



Non-perturbative clover coefficient for Wilson glue



Non-perturbative clover coefficient for LW glue



3

## Fitting Improvement Coefficients as Function of Coupling

- To parameterize numerical results as a smooth curve Pade fits of the form

$$c \frac{1 + c_1 g^2 + \dots}{1 - c_0 g^2}$$

work very well.

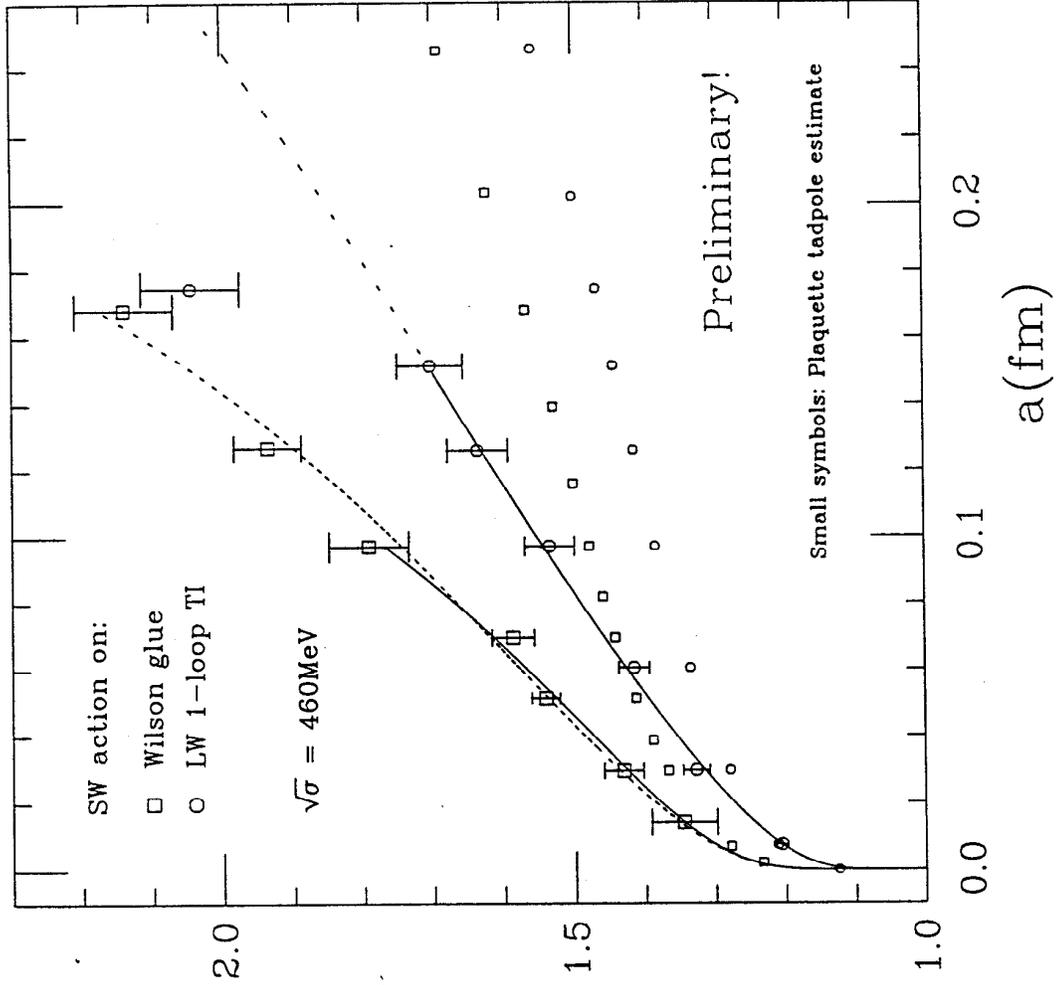
- Build in perturbative coefficients, if known (presently only for SW + Wilson glue).
- For improved glue we find excellent representations with

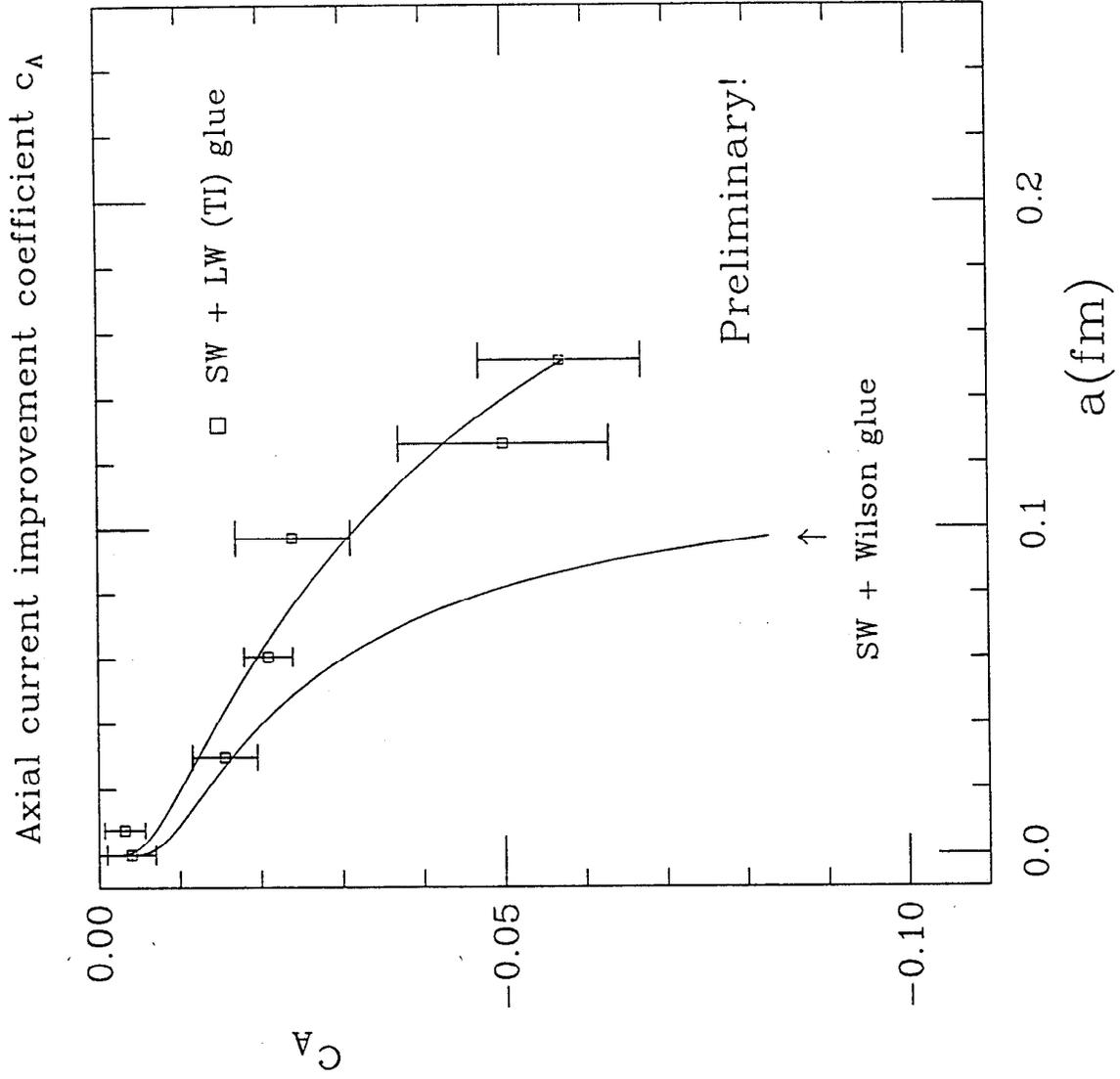
$$\omega(g^2) = \frac{1 - c_1 g^2}{1 - c_0 g^2}$$

$$c_A(g^2) = \frac{c g^2}{1 - c_0 g^2}.$$

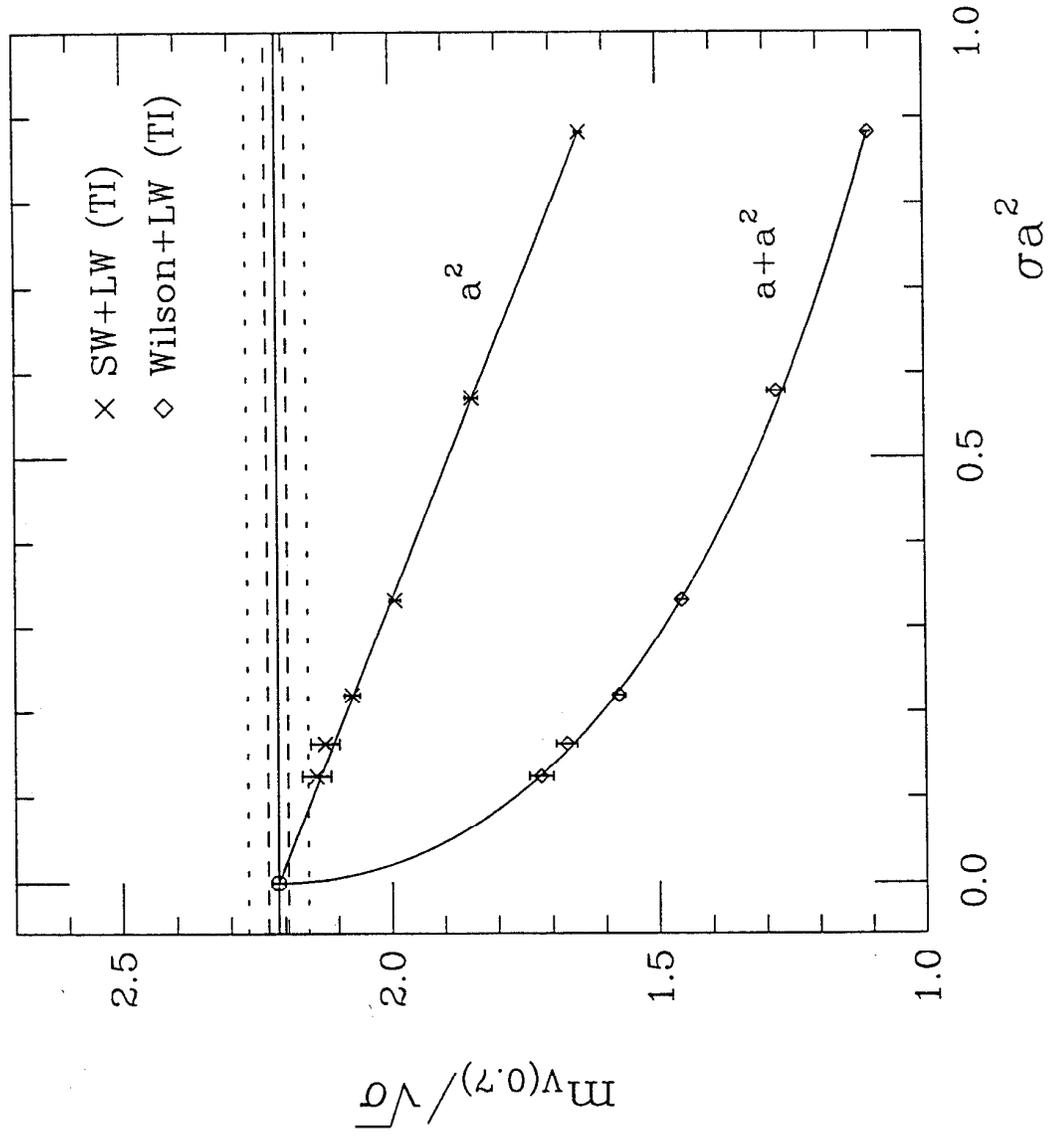


# Non-perturbative and tadpole clover coefficients



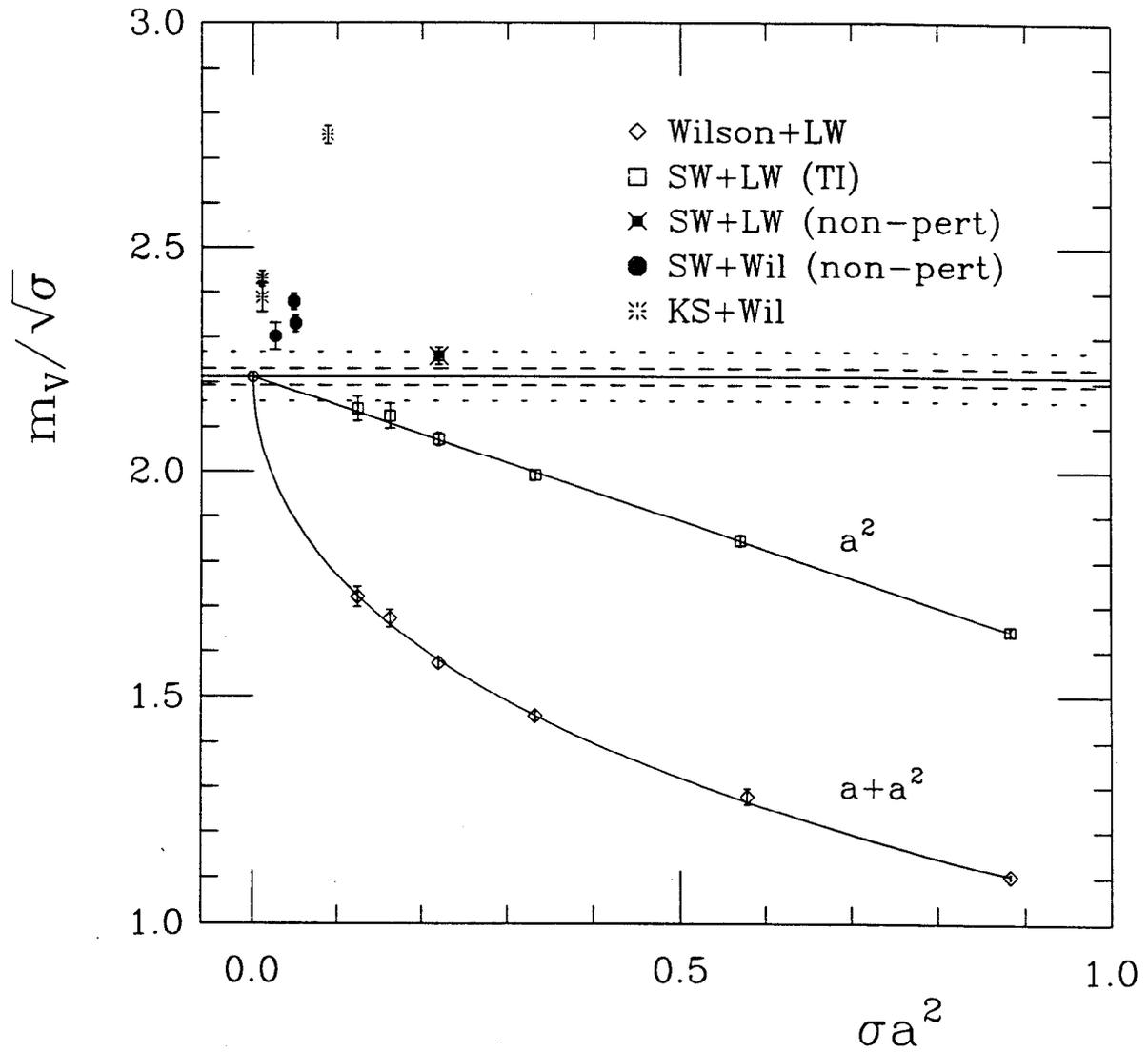


The vector meson mass at fixed  $m_p/m_v=0.7$

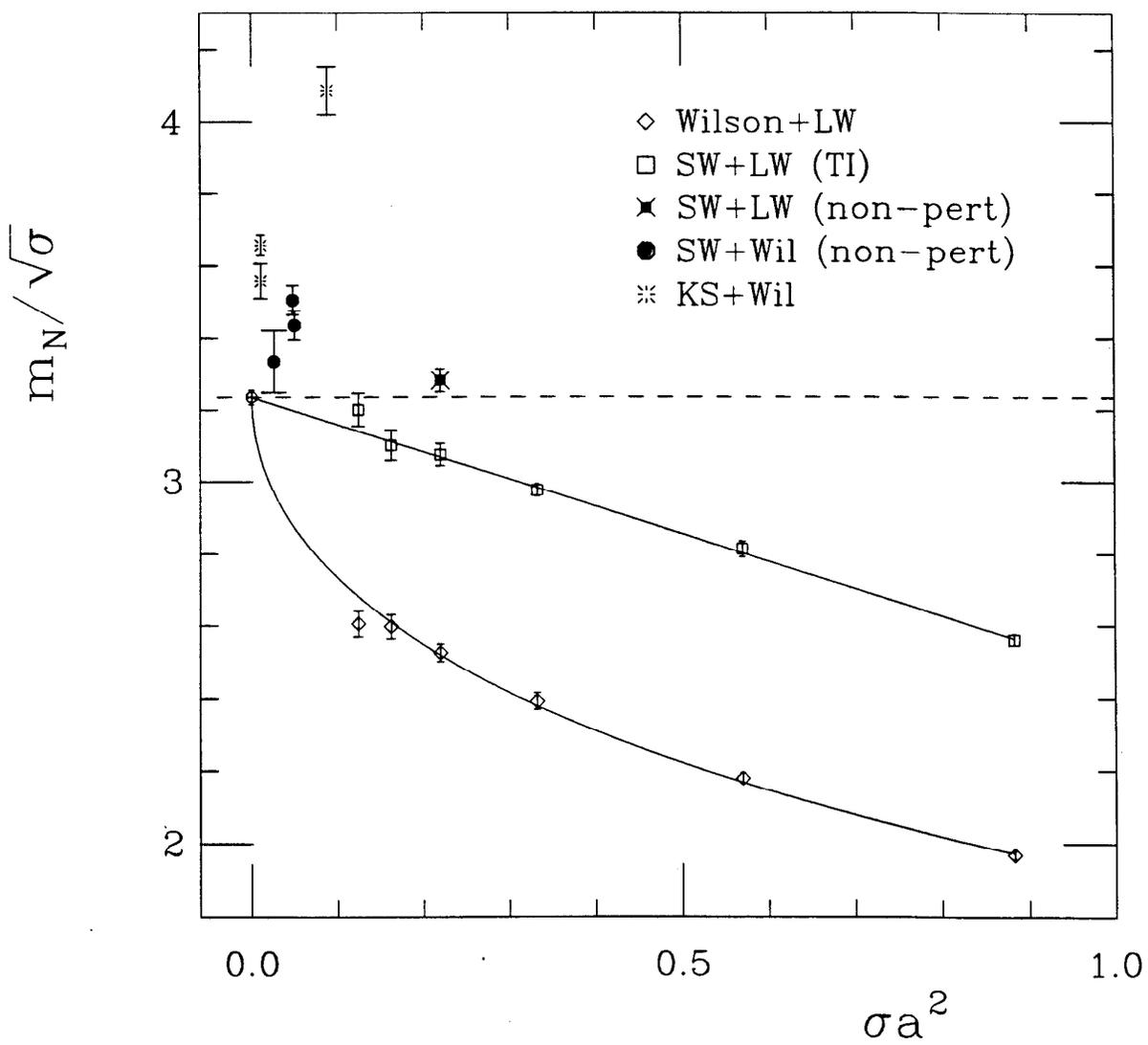


Collins  
Edwards  
Heller  
Sloan

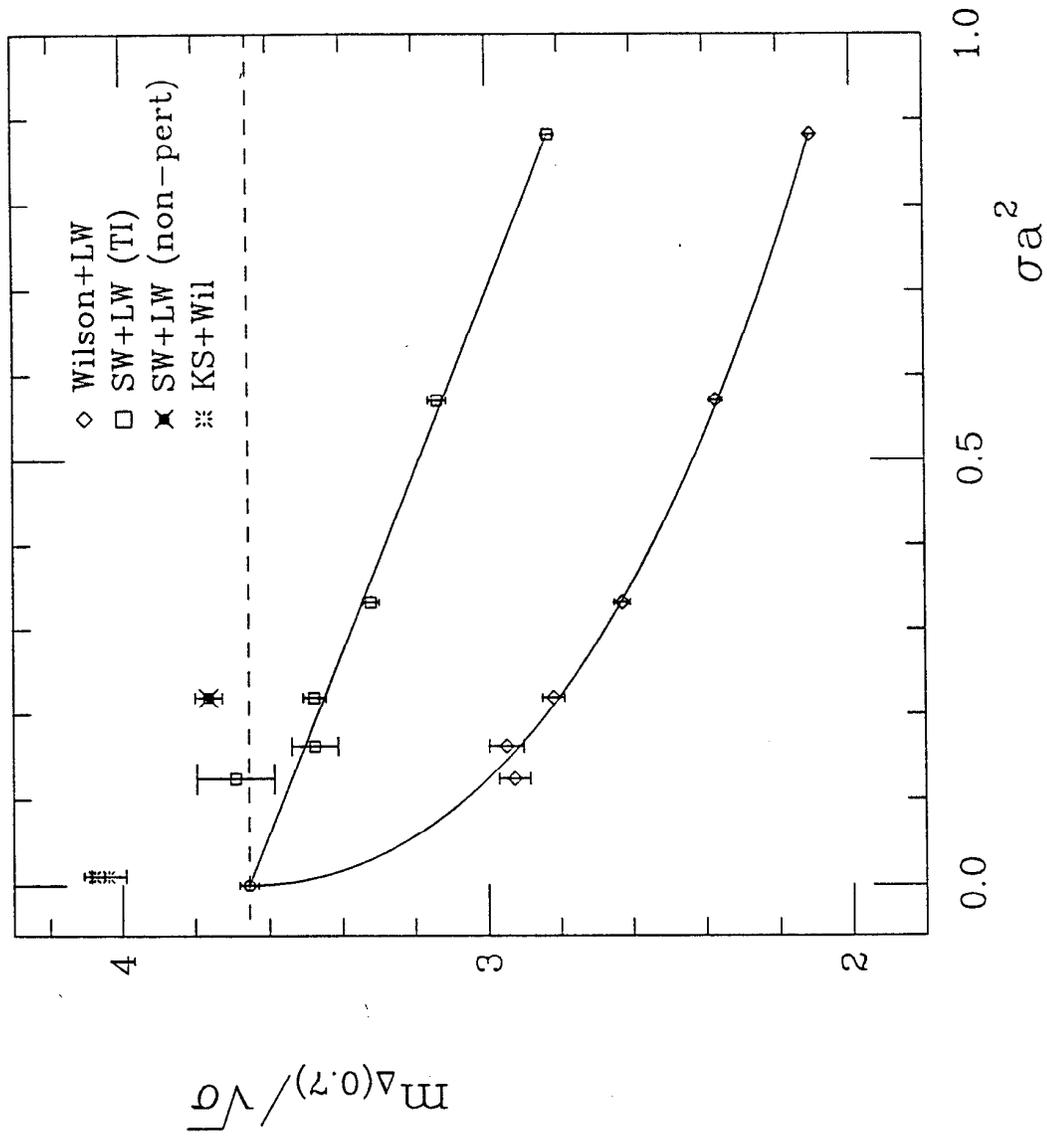
The vector meson mass at fixed  $m_P/m_V=0.7$



The nucleon mass at fixed  $m_p/m_v=0.7$



The Delta mass at fixed  $m_P/m_V=0.7$



## Conclusions and Outlook

Several major developments have revitalized lattice QCD:

- Rotational errors at  $O(a^2)$  are relatively easy to eliminate.
  - Were leading errors for gauge fields; can now use “coarse glue”.
- For Wilson-type fermion actions, the chiral symmetry violations at  $O(a)$  can now be eliminated non-perturbatively, using the SF.
  - Done so far for SW on Wilson and improved glue (almost finished).
  - Improved glue will allow the use of coarser lattices (smaller  $\omega$ ,  $c_A$ ).
- Major parts of quenched QCD should be “solved” within a year.
  - Calculate  $Z_A, Z_V, c_V$  etc yet for improved glue.
  - Further work on 4-fermi currents is needed for weak matrix elements.
- Can finally attack “big” problems:
  - Full QCD (Wilson started, do so for improved glue, tune  $\omega, c_A, \dots$ ).
  - Heavy quarks, glueballs, hybrids (use anisotropic lattices, D234).

