

# Effective quarks and gluons forming hadrons in the Fock space

María Gómez-Rocha  
Universidad de Granada &  
Instituto Carlos I de Física Teórica y Computacional



in collaboration with:

S. D. Glazek (U. Warsaw), K. Serafin (Tufts U., Boston),  
J. More (IIT Bombay), and Juan José Gálvez Viruet (UCM)

**ILCAC Seminar, March 20th, 2024**



# Motivation

1. Hadrons in terms of quarks and gluons and their dynamics

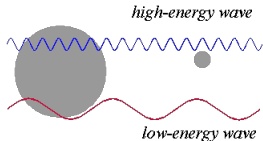


# Motivation

1. Hadrons in terms of quarks and gluons and their dynamics



2. QCD at different scales: from asymptotic freedom to confinement

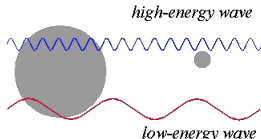


# Motivation

1. Hadrons in terms of quarks and gluons and their dynamics



2. QCD at different scales: from asymptotic freedom to confinement



3. Hamiltonian of QCD is complicated
  - It needs regularization
  - How to deal with an infinite number of particles??
  - Starting from QCD, derive an effective Hamiltonian

# Hadronic states in QCD

Every state in QCD is a superposition of infinitely many Fock components

$$|\Psi_{\text{meson}}\rangle = c_1|q\bar{q}\rangle + c_2|q\bar{q}g\rangle + c_3|q\bar{q}gg\rangle + c_4|q\bar{q}q\bar{q}gg\rangle + \dots$$

$$P_{|q\bar{q}\rangle} = |\langle q\bar{q}|\Psi_{\text{meson}}\rangle|^2 = c_1^2$$

Masses are given by the eigenvalues of Hamiltonian operator acting on the Fock space

$$H_{QCD}|\Psi_{\text{meson}}\rangle = E_n|\Psi_{\text{meson}}\rangle$$

$E_n$  are the energy levels of the system, i.e. **masses of hadrons**

**Problem:**

In practice it is not feasible!

# The method of calculation

Renormalization Group Procedure for Effective Particles

# Main ingredients of the method

## Hamiltonian approach

Connection with non-rel. physics: Schrödinger equation  
Space of operators  
Compatible with quantum simulations

## Front-form dynamics

Connection with high-energy physics  
Helps with vacuum problem  
Helps with changes for reference frames

## Renormalization GROUP

Connection between low- and high-energy physics  
Reduce d.o.f.: define *effective particles*

# The method of calculation

Originally Similarity Renormalization Group  
[S.D. Glazek, K.G. Wilson, PRD49, PRD57]

Lagrangian density of QCD  $\mathcal{L}_{\text{QCD}} = \bar{\psi}(i\not{D} - m)\psi - \frac{1}{2}\text{tr}F^{\mu\nu}F_{\mu\nu}$

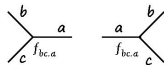
1. **Canonical Hamiltonian** Use front-form dynamics:

- $\mathcal{L}_{\text{QCD}} \rightarrow \mathcal{T}_{\text{QCD}}^{\mu\nu} \rightarrow H_{\text{QCD}} = \int_{x^+=0} \mathcal{H}_{\text{QCD}}(x)dx, \quad A^+ = 0$   
 $k^+ = k^0 + k^3, \quad k^- = k^0 - k^3, \quad \vec{k}^\perp = (k^1, k^2); \quad x = k^+ / P^+,$   
x

2. **Regularization** Interaction vertices and counterterms:

- UV- and small- $x$  divergences

$$\mathcal{M}_{bc}^2 = \frac{\kappa_{bc}^2 + m^2}{x_b x_c} \quad f_{bc,a,t_r} = e^{-t_r(\mathcal{M}_{bc}^2 - m^2)^2}$$



3. **Renormalization**

Scale parameter  $t$  introduced by RGPEP

$$H_0 \rightarrow H_t = U_t H_0 U_t^\dagger$$



# The method of calculation: RGPEP

## Renormalization group procedure for effective particles

Originally Similarity Renormalization Group  
[S.D. Glazek, K.G. Wilson, PRD49, PRD57]

Change of basis through a similarity transformation

$$H_t = U_t H_0 U_t^\dagger, \quad U_t |\psi_0\rangle = |\psi_t\rangle, \quad H_0 |\psi_0\rangle = H_t |\psi_t\rangle = E |\psi_0\rangle$$

$U_t$  depends on an *energy-scale* parameter  $t$ , and preserves the eigenvalues  
 $H_t$  satisfies

$$\frac{dH_t}{dt} = [G_t, H_t], \text{ where } G_t \text{ is a generator}$$

# The method of calculation: RGPEP

## Renormalization group procedure for effective particles

Originally Similarity Renormalization Group  
[S.D. Glazek, K.G. Wilson, PRD49, PRD57]

Change of basis through a similarity transformation

$$H_t = U_t H_0 U_t^\dagger, \quad U_t |\psi_0\rangle = |\psi_t\rangle, \quad H_0 |\psi_0\rangle = H_t |\psi_t\rangle = E |\psi_0\rangle$$

$U_t$  depends on an *energy-scale* parameter  $t$ , and preserves the eigenvalues  
 $H_t$  satisfies

$$\frac{dH_t}{dt} = [G_t, H_t], \text{ where } G_t \text{ is a generator}$$

Generator:

$$U_t = T \exp \left( - \int_0^t d\tau G_\tau \right), \quad G_t = [\mathcal{H}_f, \mathcal{H}_{Pt}]$$

# The method of calculation: RGPEP

## Renormalization group procedure for effective particles

Originally Similarity Renormalization Group

[S.D. Glazek, K.G. Wilson, PRD49, PRD57]

Change of basis through a similarity transformation

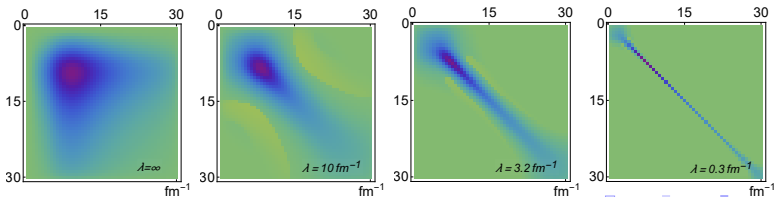
$$H_t = U_t H_0 U_t^\dagger, \quad U_t |\psi_0\rangle = |\psi_t\rangle, \quad H_0 |\psi_0\rangle = H_t |\psi_t\rangle = E |\psi_0\rangle$$

$U_t$  depends on an *energy-scale* parameter  $t$ , and preserves the eigenvalues  
 $H_t$  satisfies

$$\frac{dH_t}{dt} = [G_t, H_t], \text{ where } G_t \text{ is a generator}$$

**Example:** [MGR, Arriola, PLB 800 (2020), APP Sup.14 (2021)]

Evolution of a model potential  $V(p, p')$ ,  $t = 1/\lambda^4$



# The method of calculation: RGPEP

## Renormalization group procedure for effective particles

Originally Similarity Renormalization Group

[S.D. Glazek, K.G. Wilson, PRD49, PRD57]

Change of basis through a similarity transformation

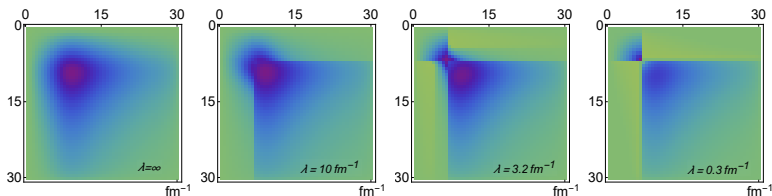
$$H_t = U_t H_0 U_t^\dagger, \quad U_t |\psi_0\rangle = |\psi_t\rangle, \quad H_0 |\psi_0\rangle = H_t |\psi_t\rangle = E |\psi_0\rangle$$

$U_t$  depends on an *energy-scale* parameter  $t$ , and preserves the eigenvalues  
 $H_t$  satisfies

$$\frac{dH_t}{dt} = [G_t, H_t], \text{ where } G_t \text{ is a generator}$$

**Example:** [MGR, Arriola, PLB 800 (2020), APP Sup.14 (2021)]

Evolution of a model potential  $V(p, p')$ ,  $t = 1/\lambda^4$



# The method of calculation

## Effective quanta

Creation and annihilation operators become *effective*

$$a^\dagger|0\rangle = |g\rangle \quad \rightarrow \quad a_\lambda^\dagger|0\rangle = |g_\lambda\rangle \quad a_\lambda^\dagger = U_\lambda a^\dagger U_\lambda^\dagger$$

They create/annihilate particles of type  $\lambda = t^{-1/4}$  or of *size*  $s = 1/\lambda$

$[\lambda] = \text{energy} \sim \text{scale}$	$[s = 1/\lambda] = \text{length} \sim \text{size}$	$t = s^4$
---	--	-----------

## Hadrons in terms effective particles

Hadrons can be described in terms of effective particles of type  $\lambda$

$$|\Psi_{\text{meson } \lambda}\rangle = c_{1,\lambda}|q\bar{q}\rangle_\lambda + c_{2,\lambda}|q\bar{q}g\rangle_\lambda + c_{3,\lambda}|q\bar{q}gg\rangle_\lambda + c_{4,\lambda}|q\bar{q}q\bar{q}gg\rangle_\lambda + \dots$$

and describe from asymptotic freedom to bound states

# The method of calculation

## Effective quanta

Creation and annihilation operators become *effective*

$$a^\dagger|0\rangle = |g\rangle \quad \rightarrow \quad a_s^\dagger|0\rangle = |g_s\rangle \quad a_s^\dagger = U_s a^\dagger U_s^\dagger$$

They create/annihilate particles of type  $\lambda$  or of *size*  $s = 1/\lambda$

$$[\lambda] = \text{energy} \sim \text{scale} \quad [s = 1/\lambda] = \text{length} \sim \text{size} \quad t = s^4$$

## Hadrons in terms effective particles

Hadrons can be described in terms of effective particles of size  $s$

$$|\Psi_{\text{meson } s}\rangle = c_{1,s}|q\bar{q}\rangle_s + c_{2,s}|q\bar{q}g\rangle_s + c_{3,s}|q\bar{q}gg\rangle_s + c_{4,s}|q\bar{q}q\bar{q}gg\rangle_s + \dots$$

and describe from asymptotic freedom to bound states

Solve the RGPEP equation perturbatively

$$H'_\lambda = [[H_f, H_{P\lambda}], H_\lambda] \quad a_\lambda = U_\lambda a U_\lambda^\dagger$$

perturbatively, order by order

$$H_\lambda = H_f + gH_{1,\lambda} + g^2H_{2,\lambda} + g^3H_{3,\lambda} + \dots$$

$$\mathcal{H}'_f = 0,$$

$$g\mathcal{H}'_{\lambda 1} = [[\mathcal{H}_f, g\mathcal{H}_{1P\lambda}], \mathcal{H}_f],$$

$$g^2\mathcal{H}'_{\lambda 2} = [[\mathcal{H}_f, g^2\mathcal{H}_{2P\lambda}], \mathcal{H}_f] + [[\mathcal{H}_f, g\mathcal{H}_{1P\lambda}], g\mathcal{H}_{1\lambda}],$$

$$g^3\mathcal{H}'_{\lambda 3} = [[\mathcal{H}_f, g^3\mathcal{H}_{3P\lambda}], \mathcal{H}_f] + [[\mathcal{H}_f, g^2\mathcal{H}_{2P\lambda}], g\mathcal{H}_{1\lambda}] + [[\mathcal{H}_f, g\mathcal{H}_{1P\lambda}], g^2\mathcal{H}_{2\lambda}],$$

⋮

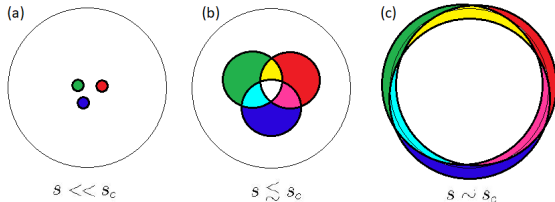
→ Integration produces functions with *form factors*

$$e^{-(\mathcal{M}_a^2 - \mathcal{M}_b^2)^2 / \lambda^4}$$

# The concept of effective particles

*Effective particles of type  $\lambda$  can change their relative motion kinetic energy through a single effective interaction by no more than about  $\lambda$*

$$s_c \sim 1/\Lambda_{QCD}$$



$$s = 1/\lambda$$

$$f_\lambda = e^{-(\mathcal{M}_1^2 - \mathcal{M}_2^2)^2 / \lambda^4}$$

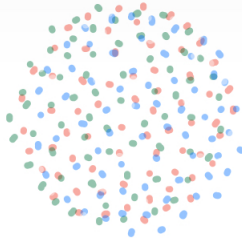
Figure adapted from Patryk Kubiczek



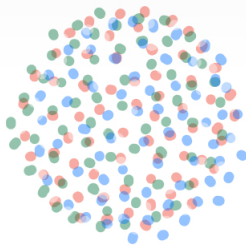
# The concept of effective particles



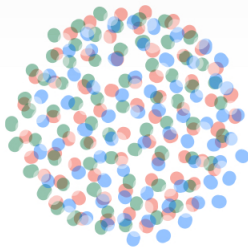
# The concept of effective particles



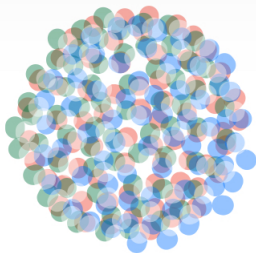
# The concept of effective particles



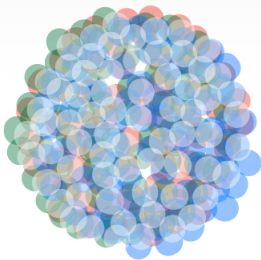
# The concept of effective particles



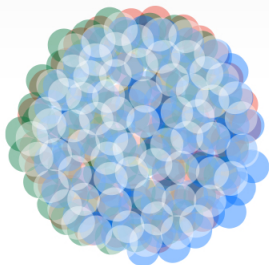
# The concept of effective particles



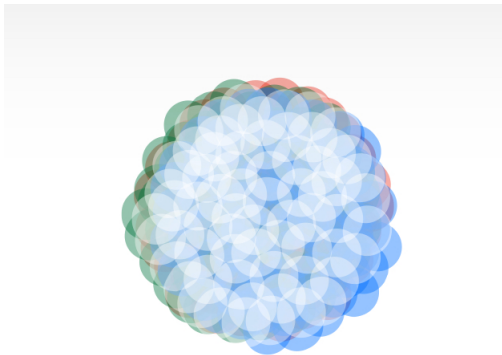
# The concept of effective particles



# The concept of effective particles

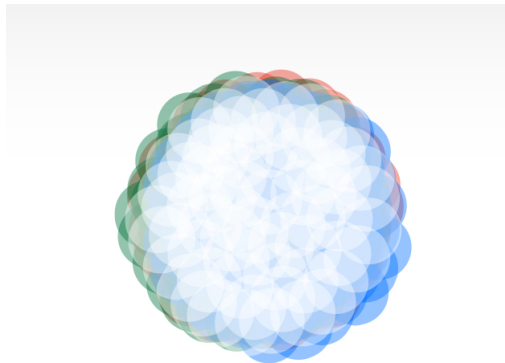


# The concept of effective particles

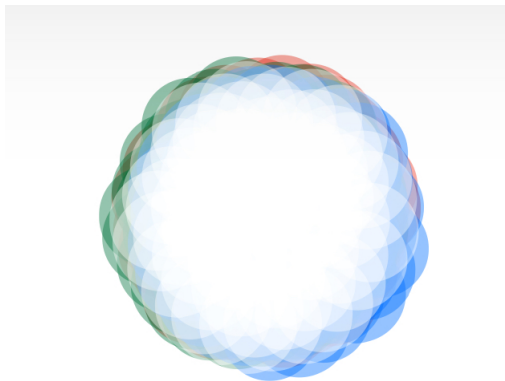




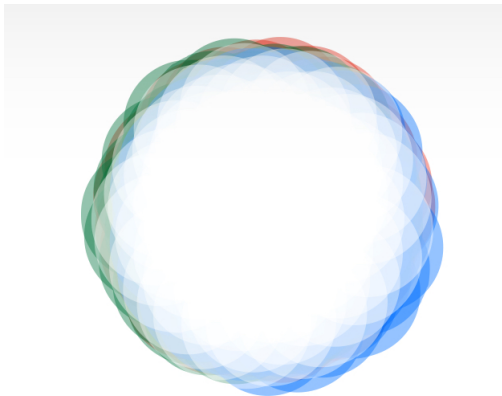
# The concept of effective particles



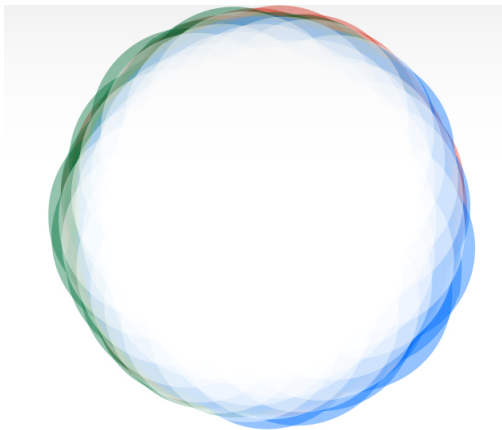
# The concept of effective particles



# The concept of effective particles



# The concept of effective particles



# Asymptotic freedom

Example of third-order calculation

# Example of 3rd-order calculation:

→ The three-gluon vertex:

$$Y_\lambda = gH_{1\lambda} + g^3 H_{3\lambda}$$

$$g_s \text{ (blue circle)} = g_0 \text{ (tree)} + g_0^3 \text{ (loop)} + O(g_0^4)$$

$$g_s^3 \text{ (blue circle)} = \left\{ \begin{array}{l} \text{(a)} + \text{(b)} + \text{(c)} + \text{(d)} + \text{(e)} \\ \text{(f)} + \text{(g)} + \text{(h)} + \text{(i)} + \text{(j)} \end{array} \right\}$$

$$Y_\lambda = \sum_{123} \int [123] \tilde{Y}_\lambda(k_1, k_2, k_3, \sigma) a_{1,\lambda}^\dagger a_{2,\lambda}^\dagger a_{3,\lambda} + H.c.$$

→ We obtain the running coupling with the correct AF behavior in  $\kappa_{12} \rightarrow 0$ :

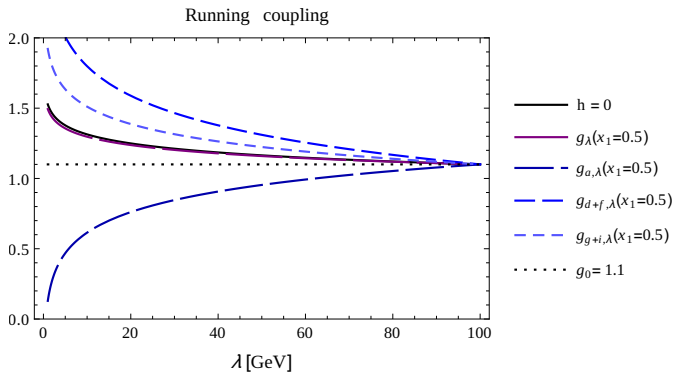
$$\Rightarrow g_\lambda = g_0 - \frac{g_0^3}{48\pi^2} N_c 11 \ln \frac{\lambda}{\lambda_0},$$

[MGR, Glazek, PRD 92], [Galvez-Viruet, MGR, PRD 108 (2023)]

# Example of 3rd-order calculation:

## The running coupling

Cutoff dependences cancel in  $m_g \rightarrow 0$   
even when every contribution diverge in this limit



[Galvez-Viruet, MGR, PRD 108 (2023)]

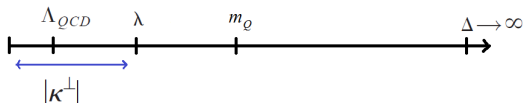
# Bound states

Example of second-order calculation



# Effective theory for heavy quarks

## Assumptions



- ★ QCD with only quarks of heavy mass  $m_b$  (4.18 GeV/c<sup>2</sup>),  $m_c$  (1.5 GeV/c<sup>2</sup>)
- ★ No  $Q\bar{Q}$  pair production (too heavy)
- ★ 2nd-order perturbative RGPEP:

$$H_{QCD\lambda} = H_f + gH_{1,\lambda} + g^2H_{2,\lambda} \quad |\Psi_\lambda\rangle = |Q_\lambda\bar{Q}_\lambda\rangle + |Q_\lambda\bar{Q}_\lambda g_\lambda\rangle$$

- ★  $k/m_Q \rightarrow 0$  simplifies the equations

# Structure of the eigenvalue problem

## Gluon-mass ansatz

$$\begin{bmatrix} \dots & \dots & \dots \\ \dots & H_f + g^2 H_{2,\lambda} & g H_{1,\lambda} \\ \dots & g H_{1,\lambda} & H_f + g^2 H_{2,\lambda} \end{bmatrix} \begin{bmatrix} \dots \\ |Q_\lambda \bar{Q}_\lambda G_\lambda\rangle \\ |Q_\lambda \bar{Q}_\lambda\rangle \end{bmatrix} = E \begin{bmatrix} \dots \\ |Q_\lambda \bar{Q}_\lambda G_\lambda\rangle \\ |Q_\lambda \bar{Q}_\lambda\rangle \end{bmatrix}$$
$$\downarrow$$
$$\begin{bmatrix} H_f + g^2 H_2 + m_G^2 & g H_1 \\ g H_1 & H_f + g^2 H_2 \end{bmatrix} \begin{bmatrix} |Q\bar{Q}G\rangle \\ |Q\bar{Q}\rangle \end{bmatrix} = E \begin{bmatrix} |Q\bar{Q}G\rangle \\ |Q\bar{Q}\rangle \end{bmatrix}$$

## Reduction to the $|Q_\lambda \bar{Q}_\lambda\rangle$ component

We follow [Wilson PRD 2 (1970) 1438]

$$H_{Q\bar{Q}\text{ eff},\lambda} |Q_\lambda \bar{Q}_\lambda\rangle = E |Q_\lambda \bar{Q}_\lambda\rangle$$

**Remember:**  $m_g \neq m_G$

$m_g$ : canonical gluon mass ( $m_g > 0$ ,  $m_g \rightarrow 0$ )

$m_G$ : Gluon-mass ansatz ( $m_G \neq 0$ )

# The effective eigenvalue equation

$$H_{Q\bar{Q}\text{ eff } \lambda} |Q_\lambda \bar{Q}_\lambda\rangle = E |Q_\lambda \bar{Q}_\lambda\rangle$$

$$H_{\text{eff } Q\bar{Q}} =$$

mass terms
gluon exch. terms
inst. int.

$$|Q_t \bar{Q}_t\rangle = \int [1'2'] P^+ \tilde{\delta}(P - k'_1 - k'_2) \psi_{1'2'}(\kappa_{1'2'}^\perp, x_{1'}) \frac{\delta_{c_{1'} c_{2'}}}{\sqrt{3}} b_{1',\lambda}^\dagger d_{2',\lambda}^\dagger |0\rangle$$

mass terms  $\rightarrow$  + logarithmic divergence

potential terms  $\rightarrow$ : - logarithmic divergence

# The effective eigenvalue equation

The eigenvalue equation in the NR limit (in the limit  $m_g \rightarrow \infty$ ) is

$$\left[ \frac{|\vec{k}_{12}|^2}{m} - B + \frac{\delta m_t^2}{2m} + \frac{\delta m_{\bar{t}}^2}{2m} \right] \psi_{12}(\kappa_{12}^\perp, x_1) + \int \frac{d^3 \vec{k}_{1'2'}}{(2\pi)^3} V_{Q\bar{Q}}(\vec{k}_{12} - \vec{k}_{1'2'}) \psi_{1'2'}(\kappa_{1'2'}^\perp, x_{2'}) = 0$$

where

$$V_{Q\bar{Q}}(\vec{q}) = V_{C,BF}(\vec{q}) + W(\vec{q})$$

with

$$\begin{array}{c} \text{---} \\ \text{---} \\ \text{---} \end{array} \Rightarrow V_{C,BF}(\vec{q}) = -\frac{4}{3} \frac{4\pi\alpha}{|\vec{q}|^2} + BF$$

$$\begin{array}{c} \text{---} \\ \text{---} \end{array} \cdot \begin{array}{c} \text{---} \\ \text{---} \end{array} \cdot \dots \Rightarrow W(\vec{q}) = \frac{4}{3} 4\pi\alpha \left[ \frac{1}{\vec{q}^2} - \frac{1}{q_z^2} \right] \frac{m_G^2}{m_G^2 + \vec{q}^2} e^{-2m^2 \frac{|\vec{q}^\perp|^2}{q_z^2 \lambda^4}}$$

**Remarks:** If  $m_G^2 = 0$ ,  $W = 0 \Rightarrow$  QED

If  $m_G^2$  large  $\Rightarrow$  the possible divergence  $\vec{q}^2 \rightarrow \infty$  cancels

## Remarks

- ★ Eigenvalue equation for a single particle

$$H_{\text{eff}Q}|Q\rangle = \infty|Q\rangle$$

$$H_{\text{eff}\bar{Q}}|\bar{Q}\rangle = \infty|\bar{Q}\rangle$$

- ★ The divergence is canceled when the quarks are bound  
→ result compatible with *confinement*

# The effective eigenvalue equation

## Coulomb + Harmonic Oscillator

$$\left[ \frac{\vec{k}^2}{m} - B \right] \psi(\vec{k}) + \int \frac{d^3 q}{(2\pi)^3} V_{C, BF}(\vec{q}) \psi(\vec{k} - \vec{q}) - \frac{4}{3} \frac{\alpha}{2\pi} b^{-3} \sum_i \tau_i \frac{\partial^2}{dk_i^2} \psi(\vec{k}) = 0$$

$$b = \frac{\sqrt{2m}}{\lambda_0^2}$$

The gluon-mass Ansatz term yields an additional interaction:

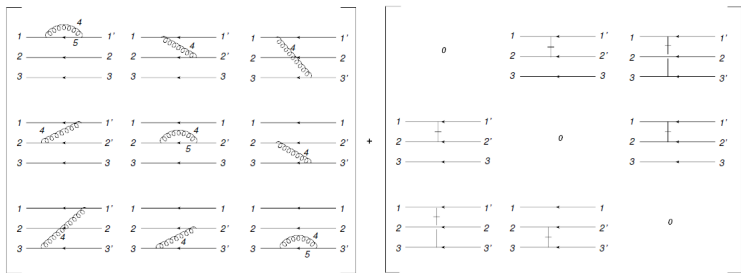
harmonic oscillator

Position space

$$\left[ 2m - \frac{\Delta_{\vec{r}}}{m} - \frac{4}{3} \alpha \left( \frac{1}{r} + BF \right) + \frac{1}{2} \tilde{\kappa} r^2 \right] \psi(\vec{r}) = (2m + B) \psi(\vec{r}) = M \psi(\vec{r}) .$$

[Serafin, Gomez-Rocha, More, Glazek, EPJ C78 (2018)],  
and [2023, in preparation]

# The effective eigenvalue equation. Baryons

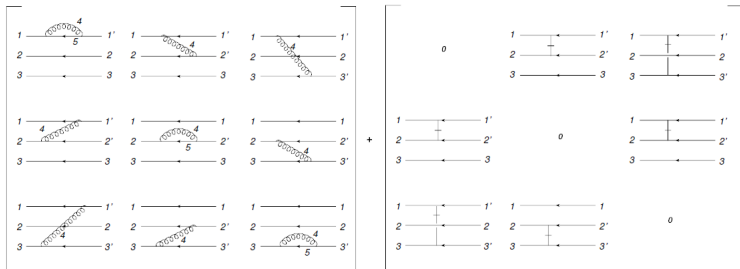


Eigenvalue equation for three quarks

$$H_{\text{eff}t} |3Q_t\rangle = \frac{M^2 + (P^\perp)^2}{P^+} |3Q_t\rangle$$

$$|3Q_t\rangle = \int_{123} P^+ \tilde{\delta}_{P,123} \psi_t(123) \frac{\epsilon^{c_1 c_2 c_3}}{\sqrt{6}} b_{t1}^\dagger b_{t2}^\dagger b_{t3}^\dagger |0\rangle$$

# The effective eigenvalue equation. Baryons



Harmonic oscillator term

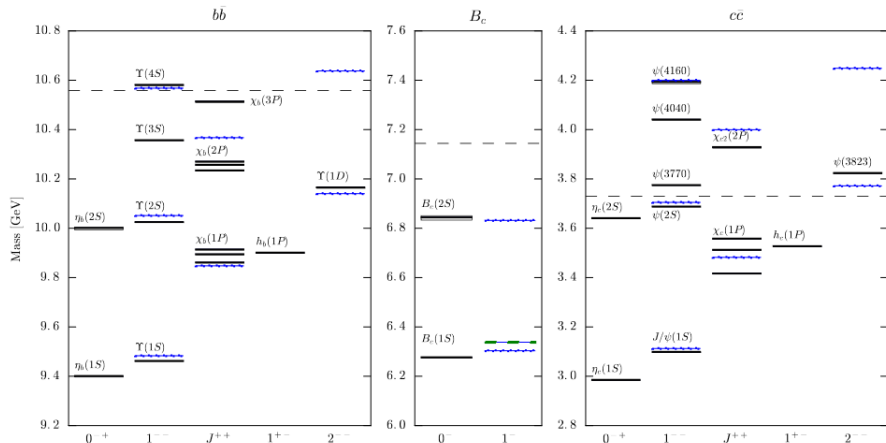
$$\int \frac{d^3 K'_{12}}{(2\pi)^3} W^{12} [\psi(1'2'3) - \psi(123)] \approx -w^n \frac{\partial^2 \psi(123)}{\partial (K'_{12})^2}$$

$$\left( \frac{\partial}{\partial \mathbf{K}_{12}} \right)^2 + \left( \frac{\partial}{\partial \mathbf{K}_{23}} \right)^2 + \left( \frac{\partial}{\partial \mathbf{K}_{31}} \right)^2 = \frac{3}{2} \left( \frac{\partial}{\partial \mathbf{K}_{12}} \right)^2 + 2 \left( \frac{\partial}{\partial \mathbf{Q}_3} \right)^2$$

$$\omega_{\text{baryon}} = \frac{\sqrt{3}}{2} \sqrt{\frac{\alpha}{18\sqrt{2\pi}}} \frac{\lambda^3}{m^2}$$



# Some numerical results: heavy mesons

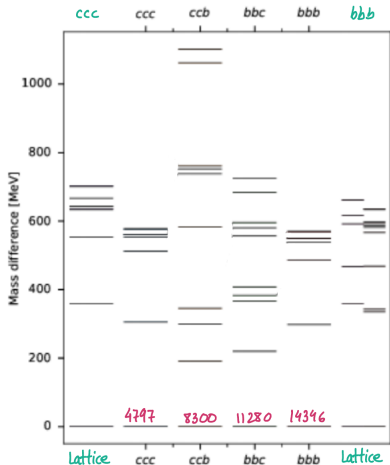


[Serafin, Gomez-Rocha, More, Głazek, EPJ C78 (2018)]

**Black:** PDG masses, **Blue:** Our calculation

**Green:** average of many different approaches [MGR, Hilger, Krassnigg, PRD 93 (2016)]

# Some numerical results: baryons



Lattice ccc: Padmanath et al.  
PRD 90 (2014)

Lattice bbb: Mainel, PRD 85 (2012)  
with/without spin int.

The ground state differs from lattice  
in 0.6% for ccc  
and 0.2% for bbb

## Summary and Conclusions

1. RGPEP is a Hamiltonian approach to QCD that connects phenomena at different energy regimes
2. Individual-term divergences cancel each other in physical problems within the formalism
3. Effective potential for quarkonium acquires a simple form in terms of effective particles
4. Even in this crude approximation  $\rightarrow$  reasonable spectra

## Other applications

For example

- **Scattering:**  $\pi\pi$ ,  $NN$   
MGR, Arriola, PLB 800 (2020), PRD 101 (2020)
- **Tetraquarks:** K. Serafin *et al.* PRD 105 (2022)
- **Proton** Structure in Collisions  
S.D. Glazek, P. Kubiczek [Few Body Syst. 57 (2016) 7, 509-513]
- **Structure functions** for heavy hadrons:  
K. Serafin, PhD Thesis (Warsaw U. 2019).

# Acknowledgment

Thank you for your attention

