



A next-generation of the heavy-ion experiment for the LHC: ALICE 3

MinJung Kweon
for the ALICE Collaboration
Inha University

APCTP Workshop on the Physics of Electron Ion Collider, Nov. 4. 2022

Milestones of the ALICE upgrade

High luminosity for ions

High luminosity LHC

Higher luminosity for ions

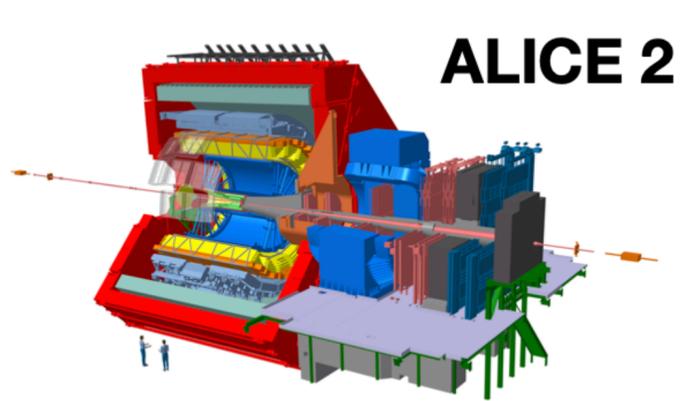
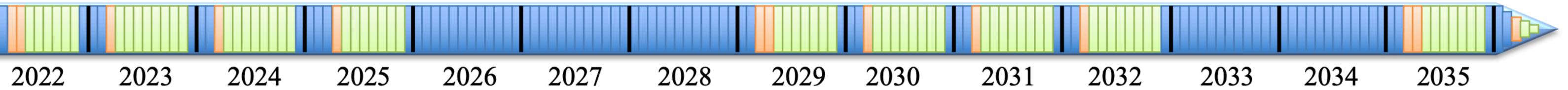
Run 3

LS3

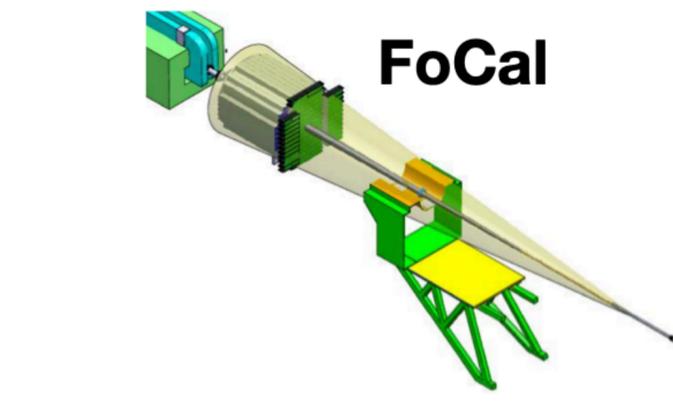
Run 4

LS4

Run 5

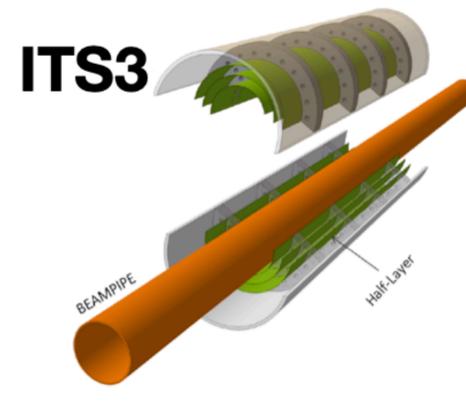


ALICE 2



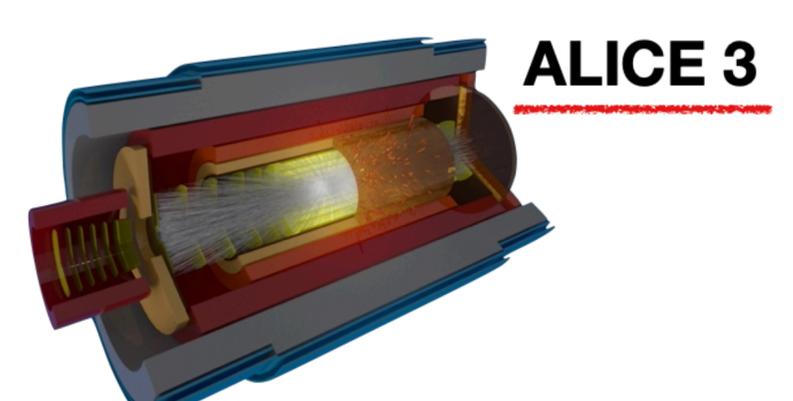
FoCal

[CERN-LHCC-2020-009](#)



ITS3

[CERN-LHCC-2019-018](#)



ALICE 3

[CERN-LHCC-2022-009](#)

Beyond Run 4

Address fundamental questions will remain **still open**:

- ✦ Fundamental QGP properties driving its constituents to equilibration
- ✦ Hadronisation mechanisms of the QGP
- ✦ Partonic equation of state and its temperature dependence
- ✦ Underlying dynamics of chiral symmetry restoration

Milestones of the ALICE upgrade



High luminosity for ions

High luminosity LHC

Higher luminosity for ions

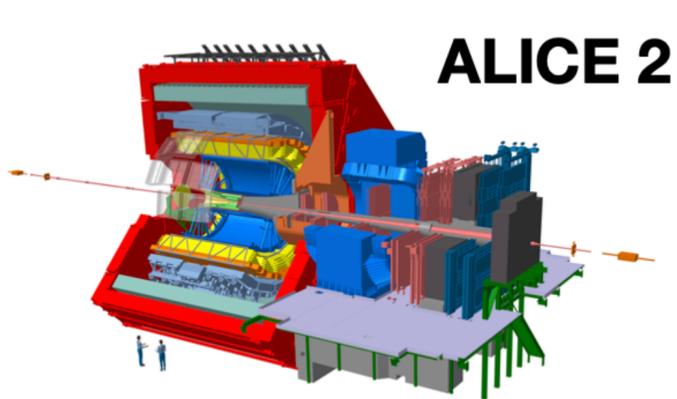
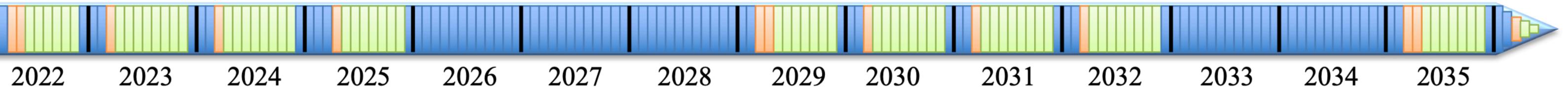
Run 3

Run 4

Run 5

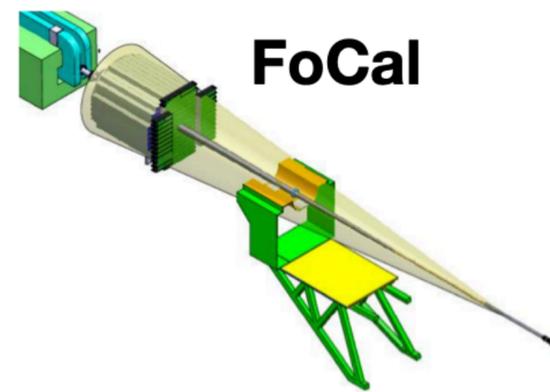
LS3

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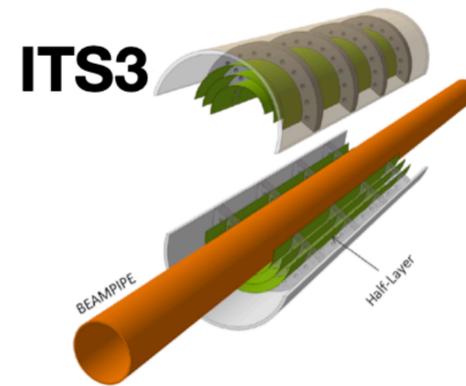
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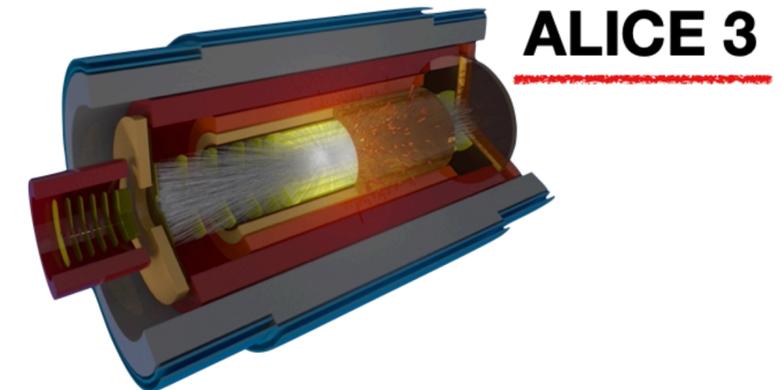


FoCal

[CERN-LHCC-2019-018](#)



ITS3



ALICE 3

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Beyond Run 4

Address fundamental questions will remain **still open**:

→ **Next-generation heavy-ion experiment** - First ideas at Heavy-Ion town meeting 2018 ([arXiv:1902.01211](#))

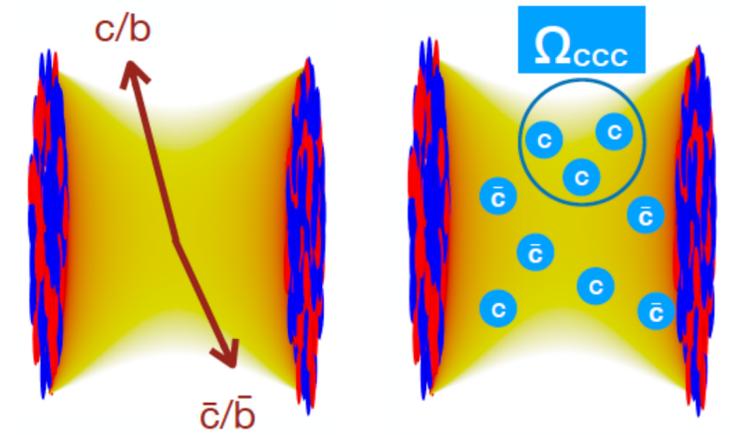
→ Letter of Intent for ALICE 3:

Review concluded with very positive feedback by the LHCC in March 2022, **recommendation to proceed with R&D** ([CERN-LHCC-2022-009](#))



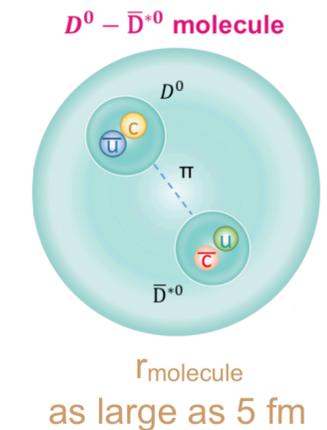
Systematic measurements of (multi-)heavy-flavoured hadrons down to low p_T

- **Transport properties in the QGP down to thermal scale**
- **Mechanisms of hadronisation from the QGP**



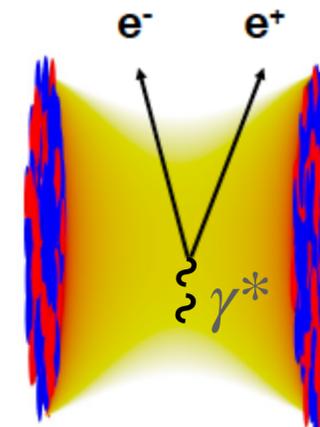
Hadron interaction and fluctuation measurements

- **Existence and nature of heavy-quark exotic bound states and interaction potential**
- **Search for super-nuclei (light nuclei with c)**
- **Search for critical behaviour in event-by-event fluctuations of conserved charges**



Precision differential measurements of dileptons

- **Evolution of the quark-gluon plasma**
- **Mechanisms of chiral symmetry restoration in the QGP**

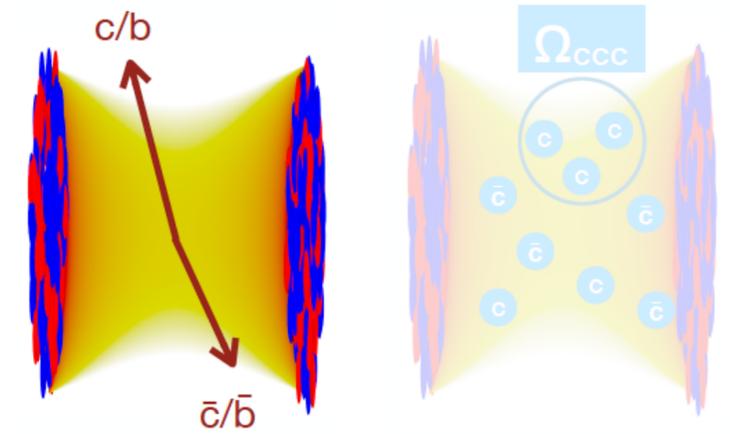


Many more...

Systematic measurements of (multi-)heavy-flavoured hadrons down to low p_T

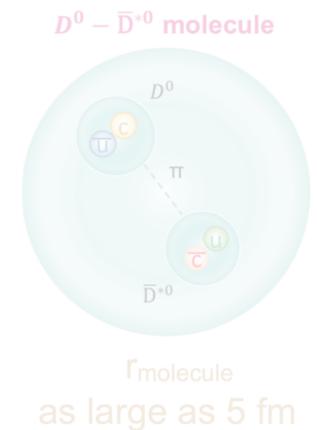
Heavy quark transport

- **Heavy quark transport**
- Mechanisms of hadronisation from the QGP



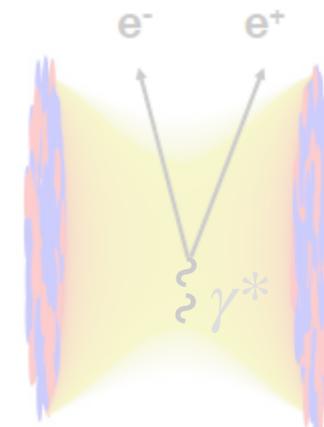
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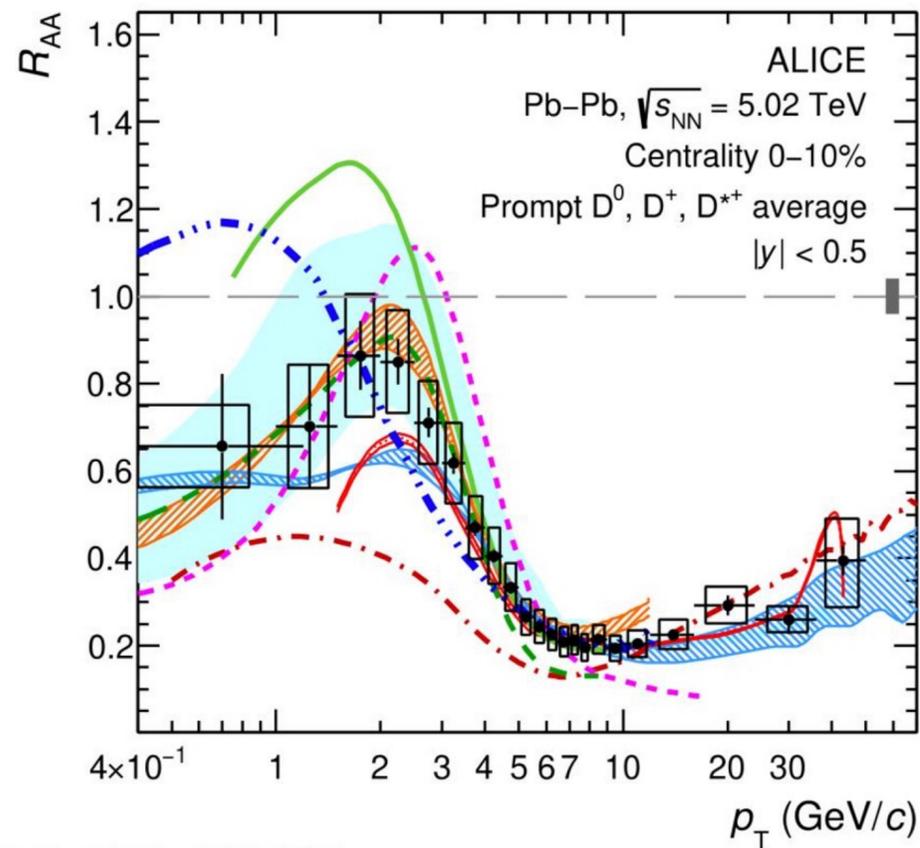
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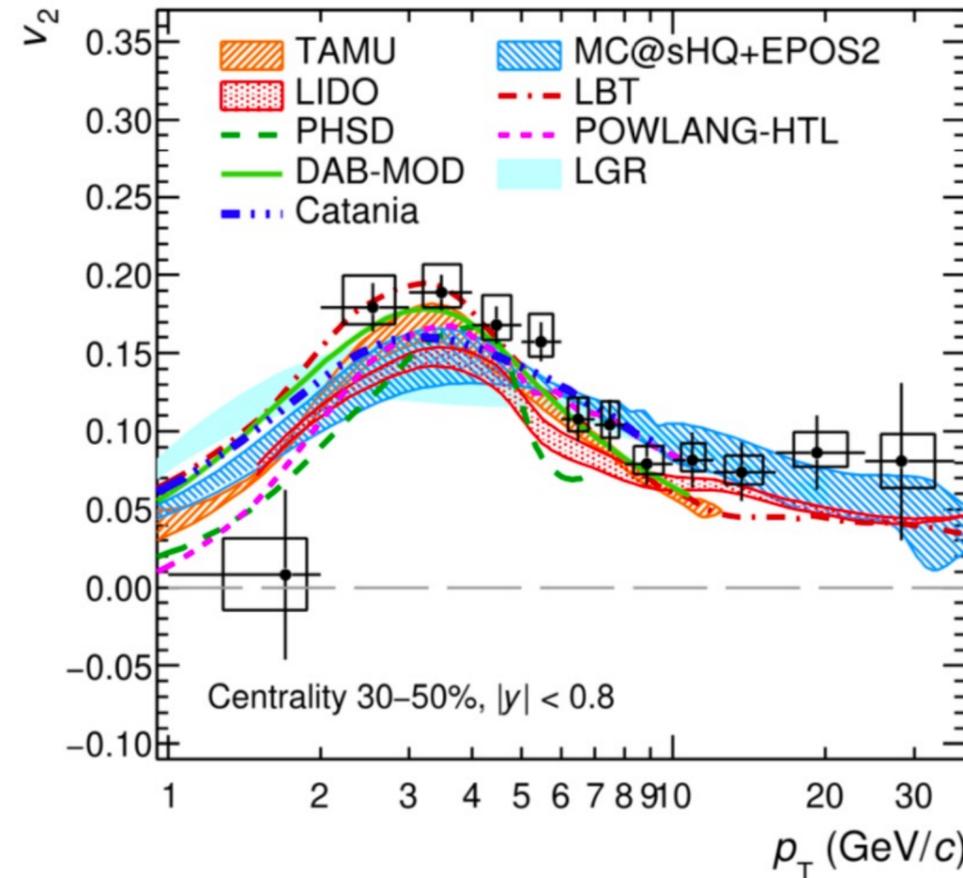
Many more...

Charm quark transport

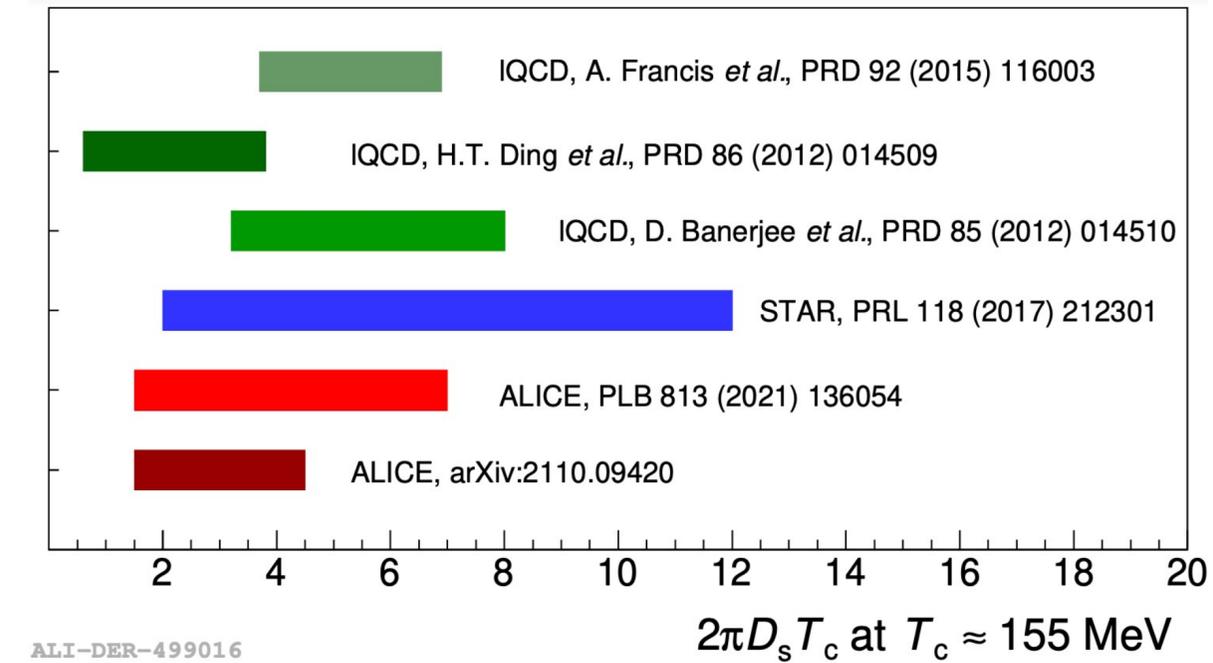
Run 1+2



ALI-PUB-498687



ALICE, JHEP 01 (2022) 174
ALICE, PLB 813 (2021) 136054



ALI-DER-499016

Data-to-model agreement: TAMU, MC@sHQ, LIDO, LGR, and Catania “selected”

Heavy quark propagating in the QGP interact with medium constituents

- ✦ Lose energy via elastic collisions and radiative processes

Low p_T heavy flavour interaction described by transport models in terms of diffusion coefficient (D_s)

- ✦ Multiple elastic scatterings in the QGP → “Brownian motion”

D^0 measured down to zero p_T (first time in Pb-Pb at the LHC)

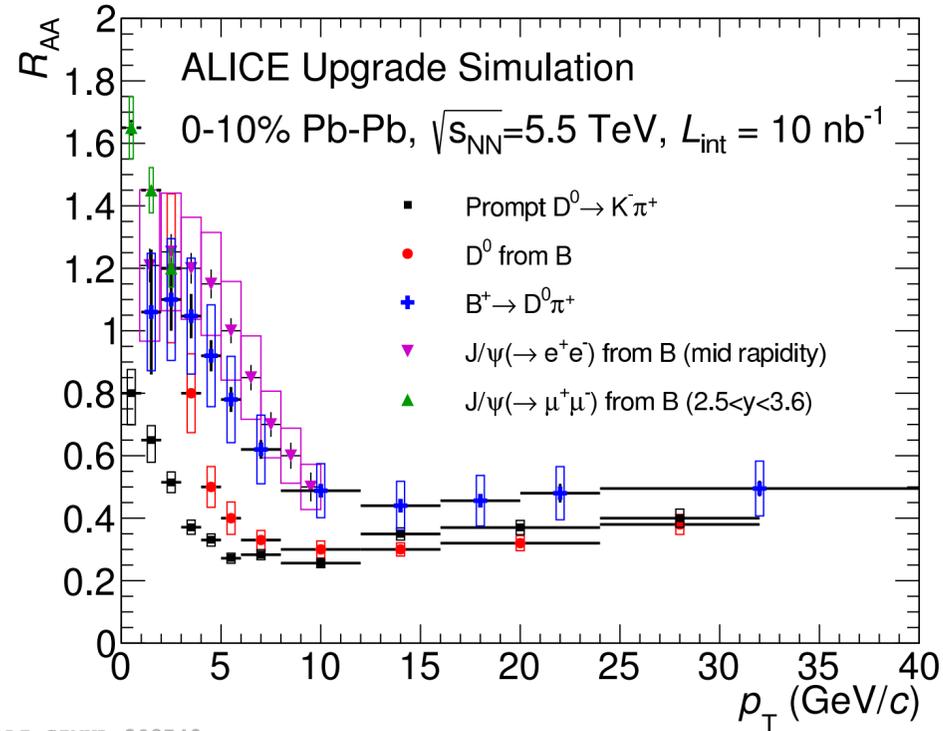
- ✦ R_{AA} and v_2 measurements down to low p_T → constrain diffusion coefficients D_s
- ✦ $1.5 < 2\pi D_s T_c < 4.5$ → direct access to heavy flavour relaxation time: $\tau_{charm} \sim 3-8$ fm/c

Relaxation time

$$\tau_Q = (m_Q/T)D_s$$

Determining transport properties with precision

Run 3+4 & Run 5

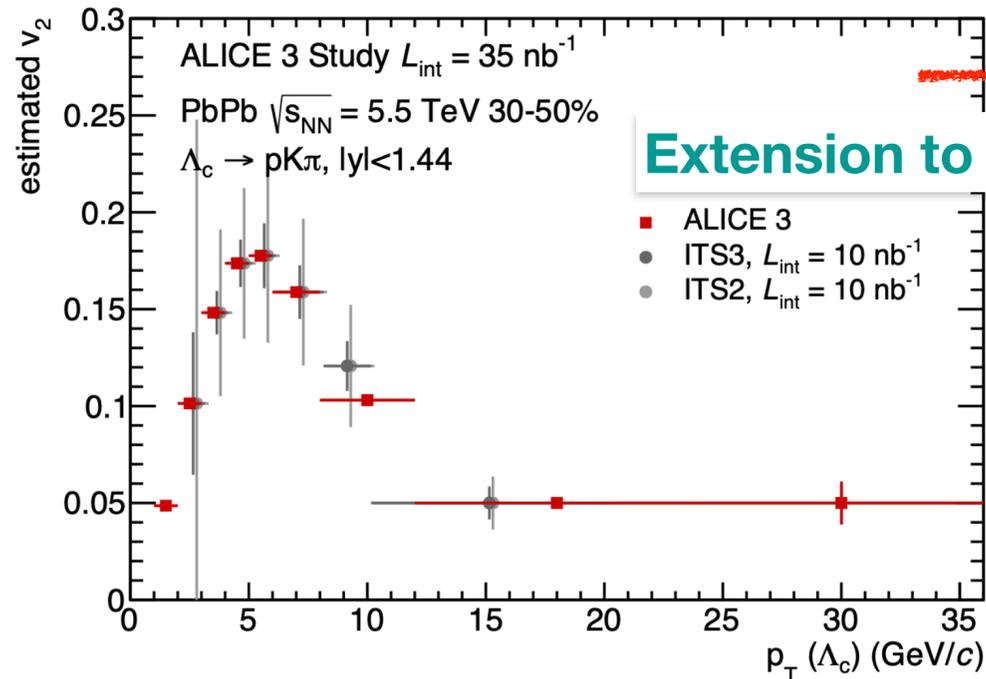


Run 3+4 (w/ ITS2, 3): beauty measurements down to low p_T including heavy flavor baryons

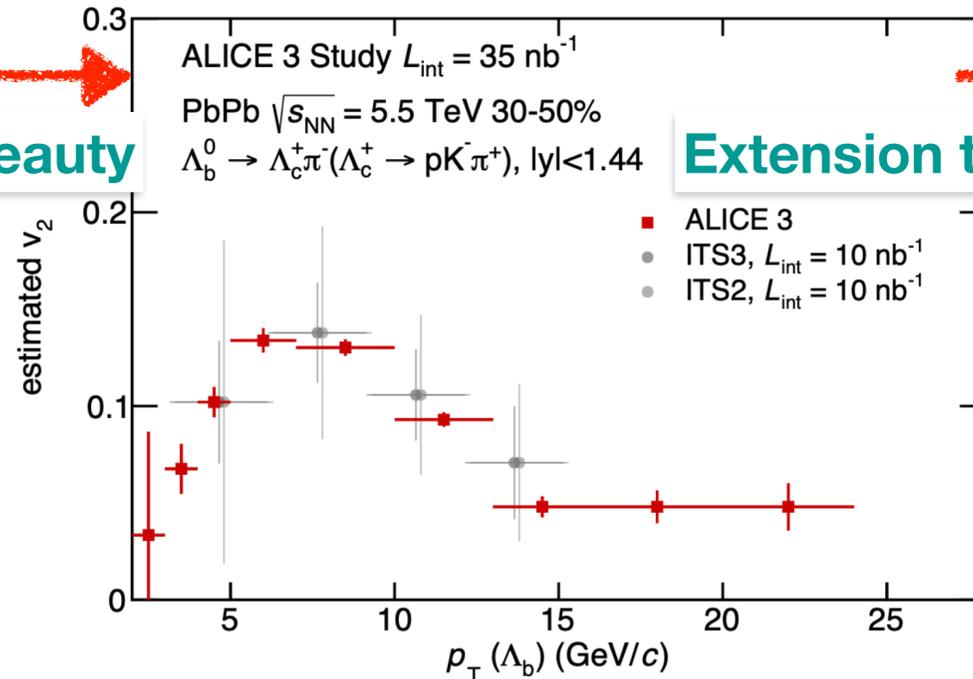
Need ALICE 3 performance (pointing resolution, acceptance) for precision measurement of e.g. Λ_c , Λ_b , and multi-charm v_2

ALI-SIMUL-308749

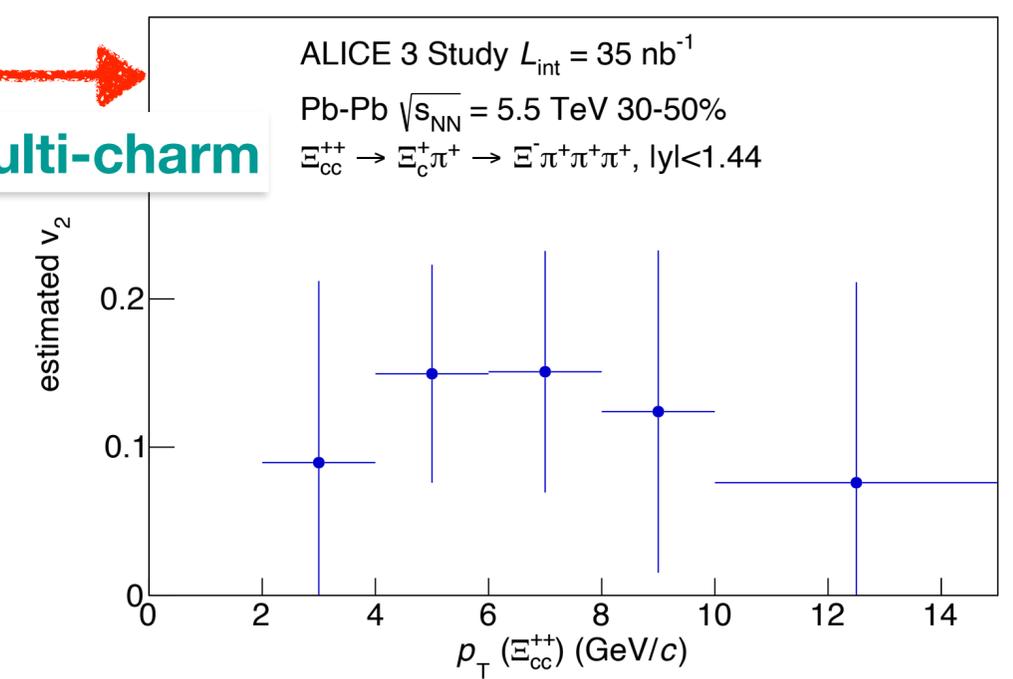
Λ_c v_2 performance



Λ_b v_2 performance



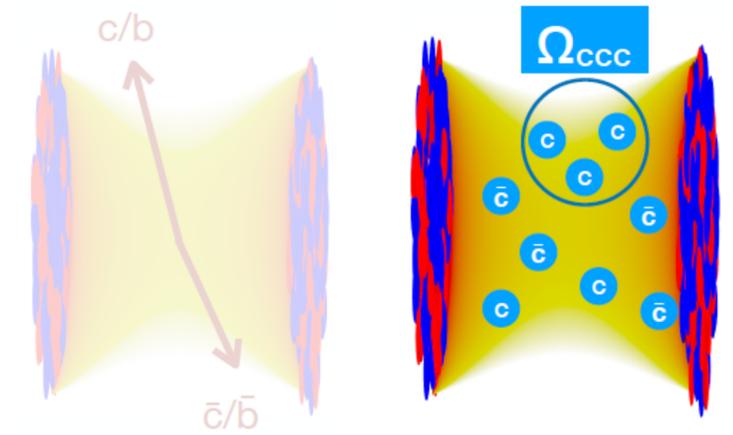
Ξ_{cc}^{++} v_2 performance



Systematic measurements of (multi-)heavy-flavoured hadrons down to low p_T

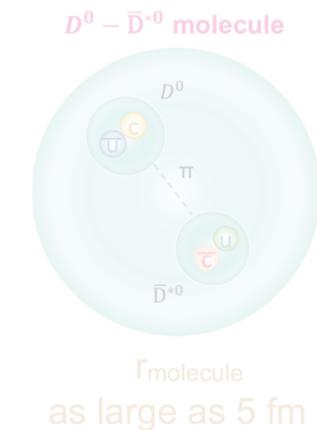
- Transport properties in the QGP down to thermal scale

• Charm hadronization



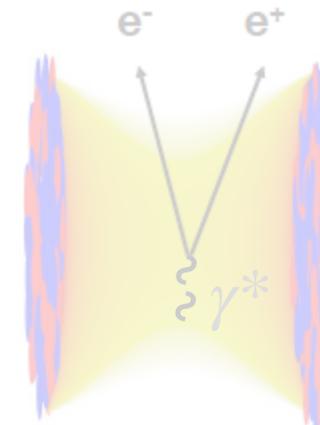
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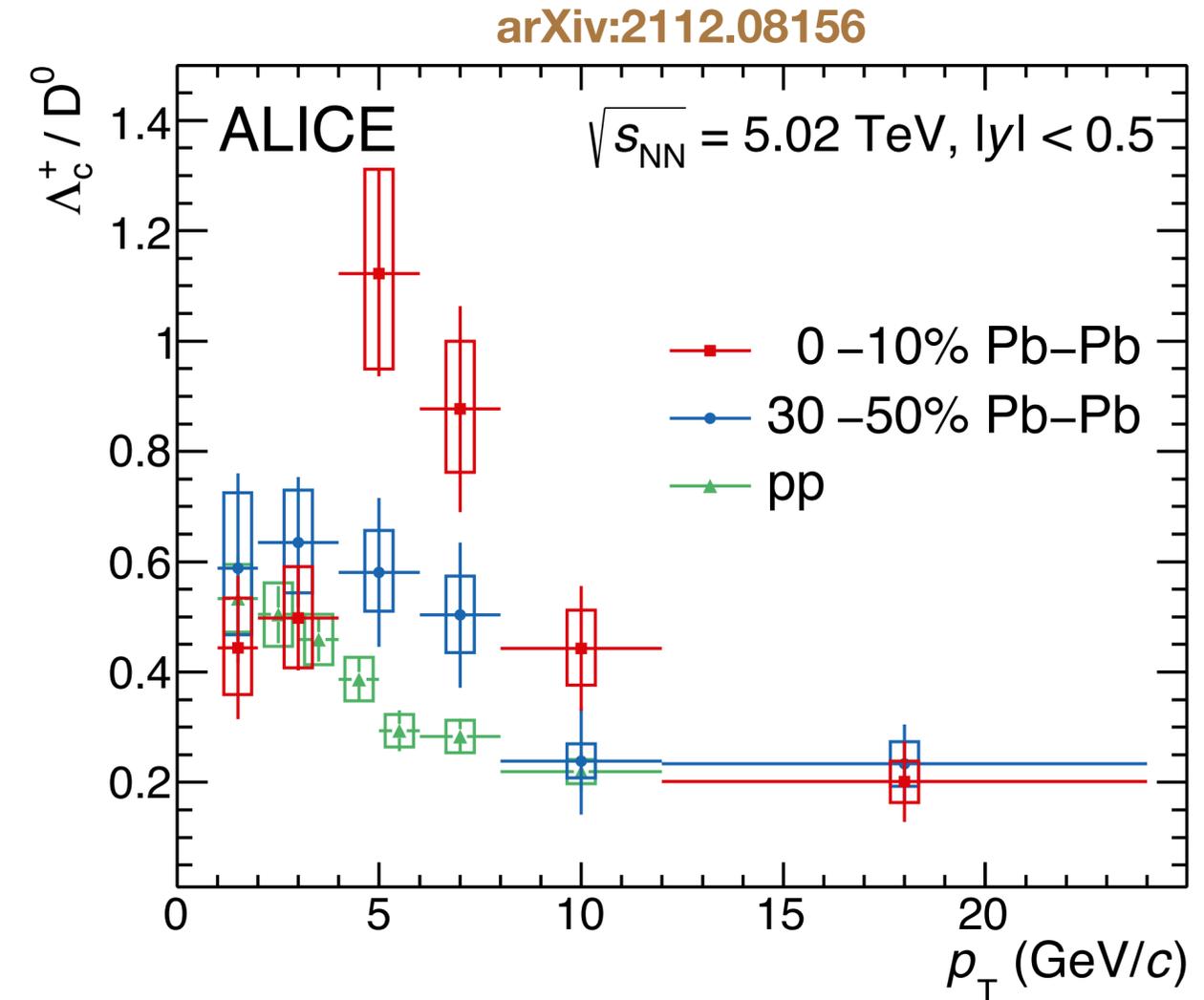
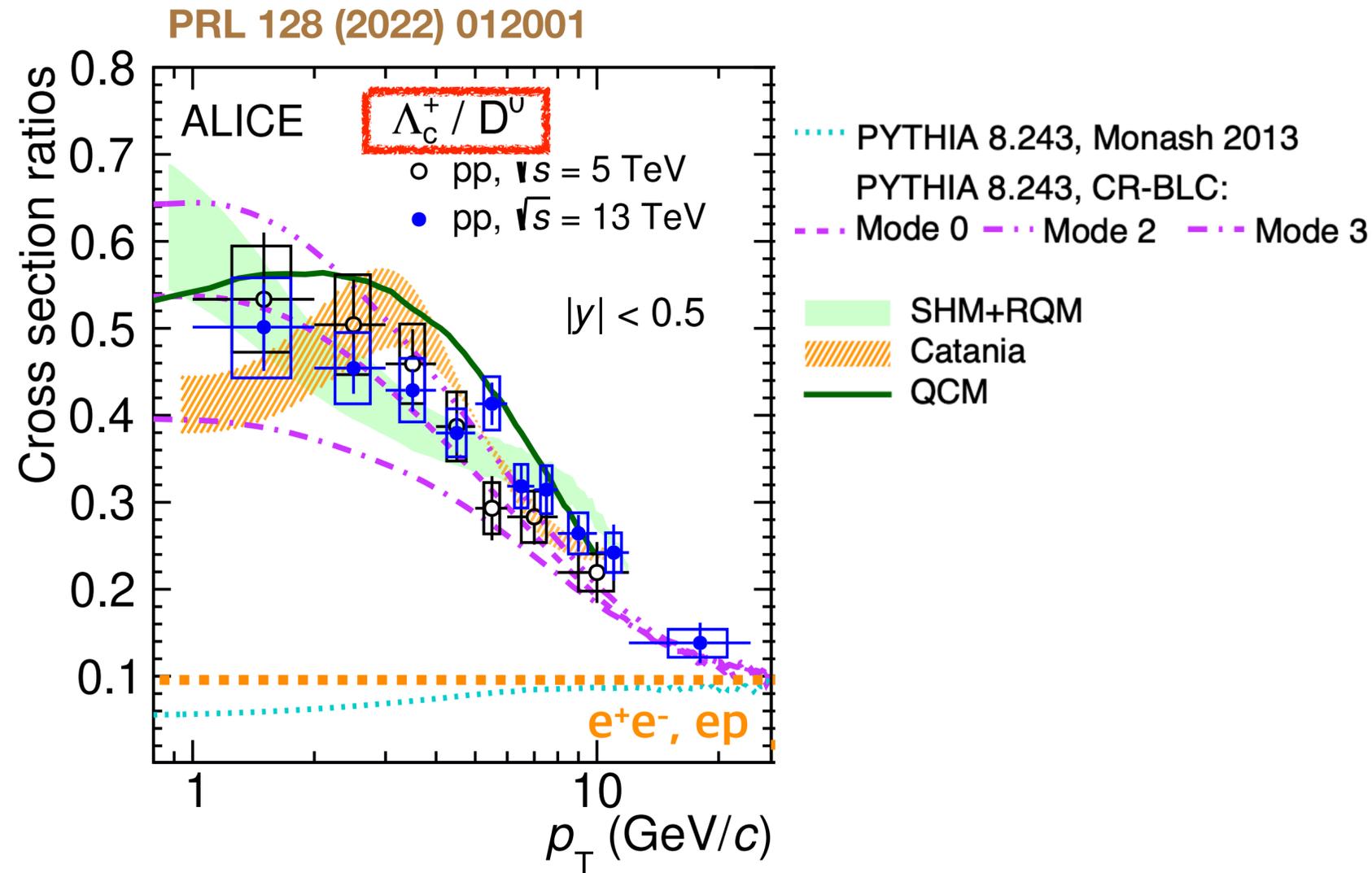


Precision differential measurements of dileptons

- Evolution of the quark-gluon plasma
- Mechanisms of chiral symmetry restoration in the QGP



Many more...



Enhancement at low p_T w.r.t to e^+e^- , ep collisions

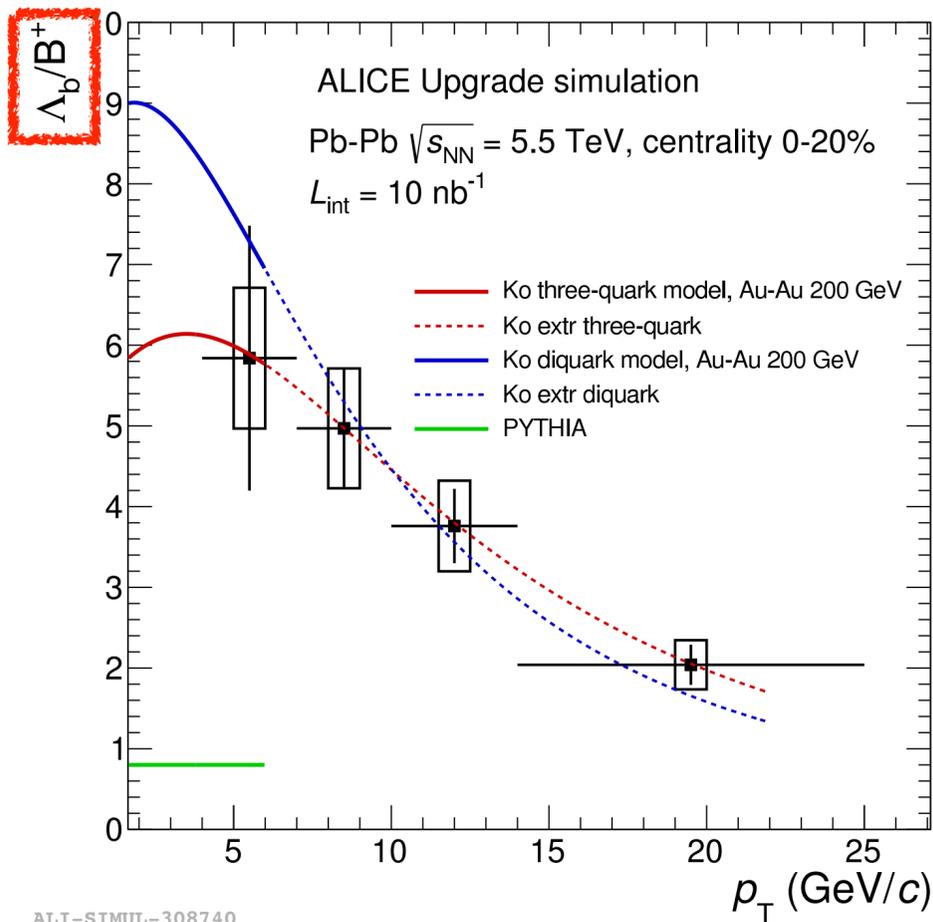
- Universality of charm fragmentation among different collision system broken?

Well described by SHM+RQM, Catania and QCM

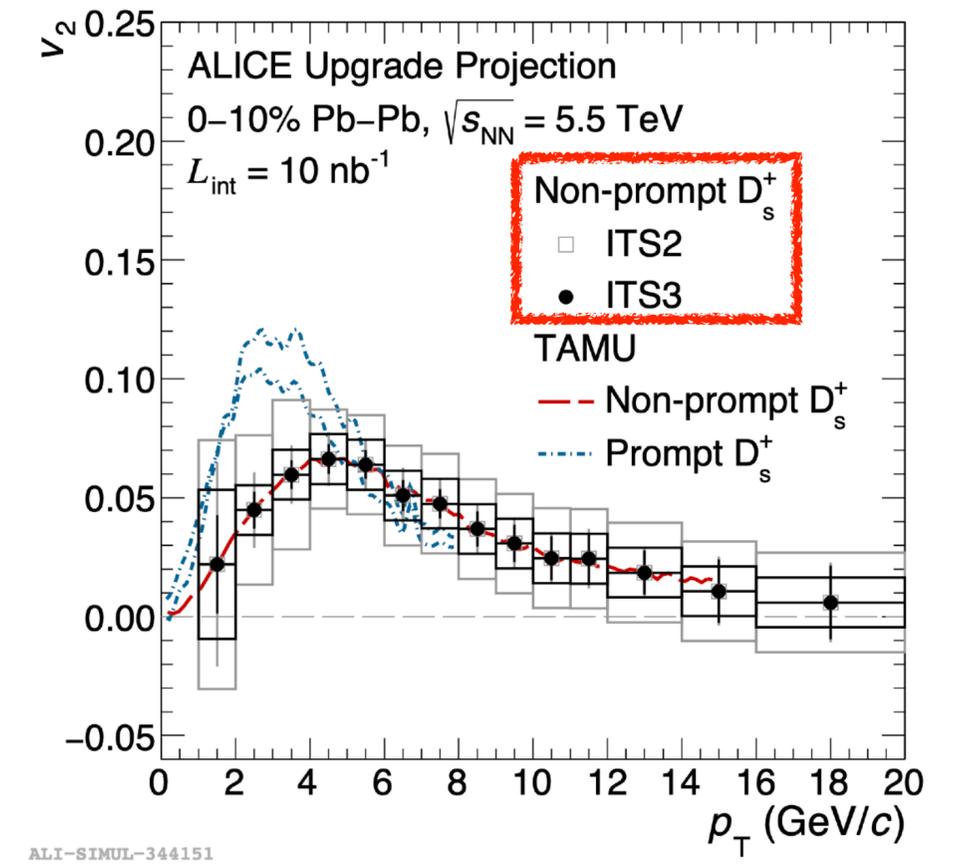
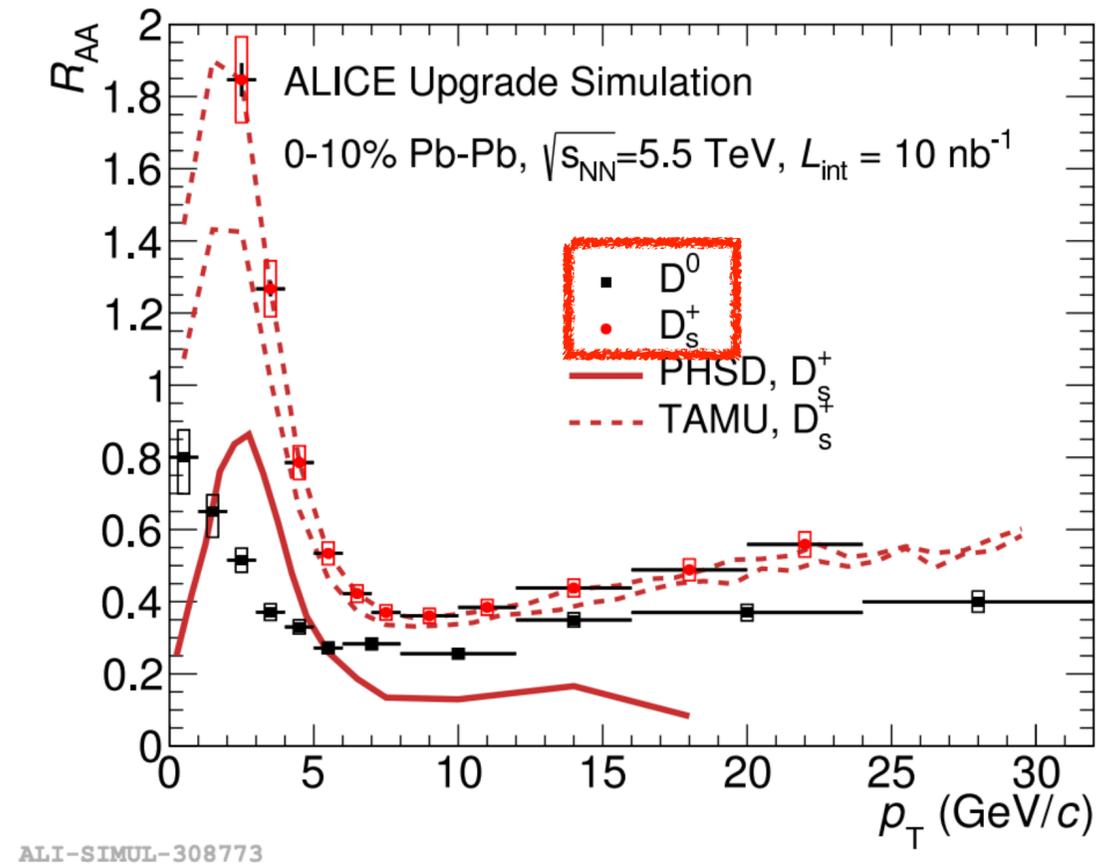
Additional dynamics in central Pb-Pb collisions: Λ_c/D_0 enhancement at intermediate p_T

Suggests hadronization by recombination + mass-dependent p_T shift from collective expansion

Baryon/meson ratios: beauty



Heavy-strange hadrons: D_s , non-prompt D_s



Extend heavy flavor measurements to beauty sector, D_s , and baryons

Important input for

- ◆ Total heavy-flavor cross sections
- ◆ Interplay strangeness enhancement and heavy flavors
- ◆ Baryon formation - coalescence

Extend to multi-charm hadrons

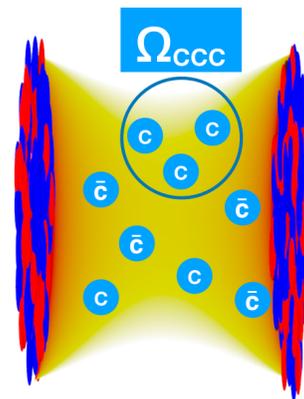
Run 5



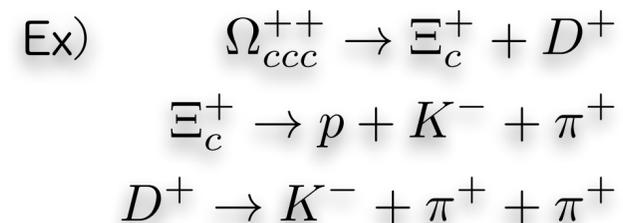
Test how independently produced quarks form hadrons

→ Measurement of multi-charm hadrons

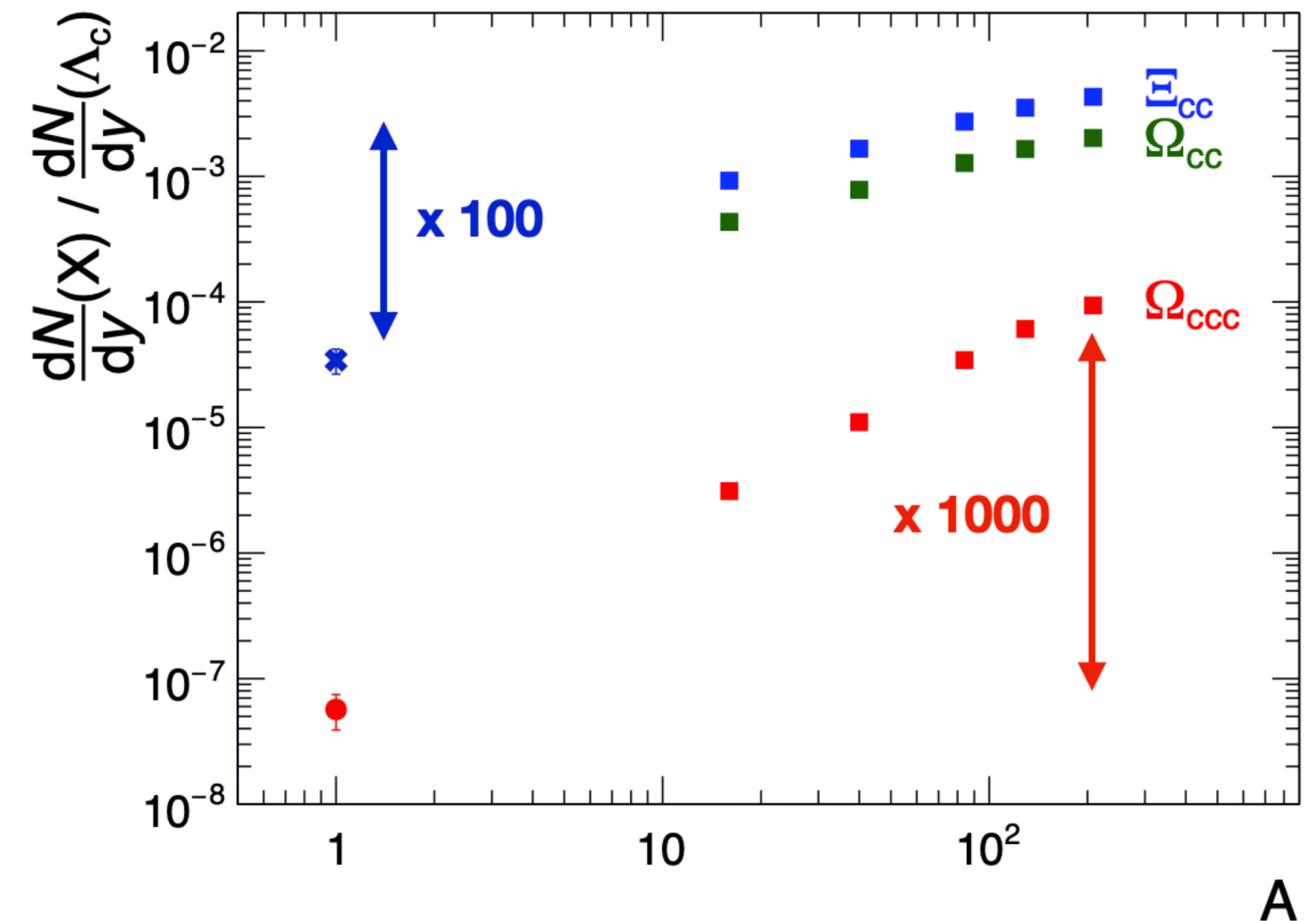
- Contribution from single parton scattering is very small
- Very large **enhancement** predicted by Statistical hadronization model in Pb-Pb collisions



• Progress relies on the **reconstruction** of complex decay chains

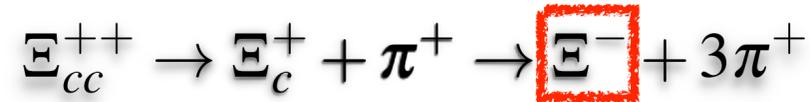


⇒ With ALICE 3, measure additional states to test physical picture



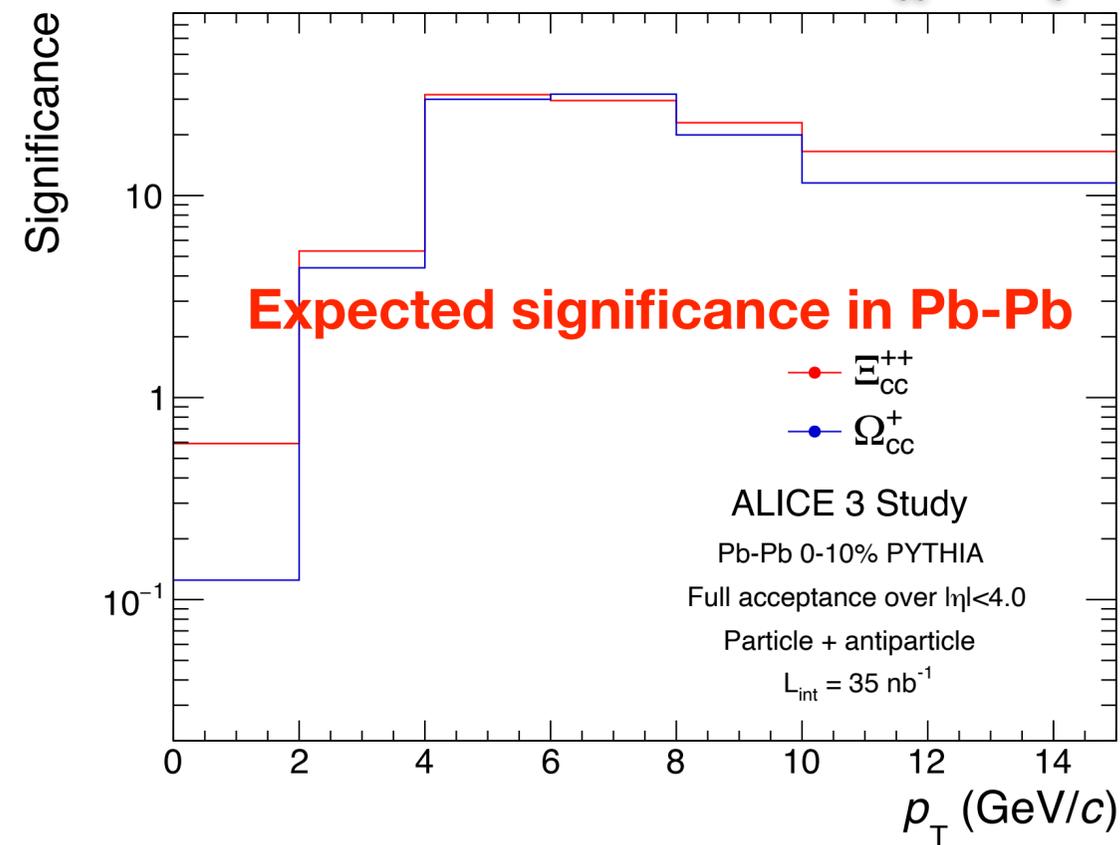
These measurements are very sensitive to the approach to chemical equilibrium!

Multi-charm baryon reconstruction in ALICE 3 Run 5

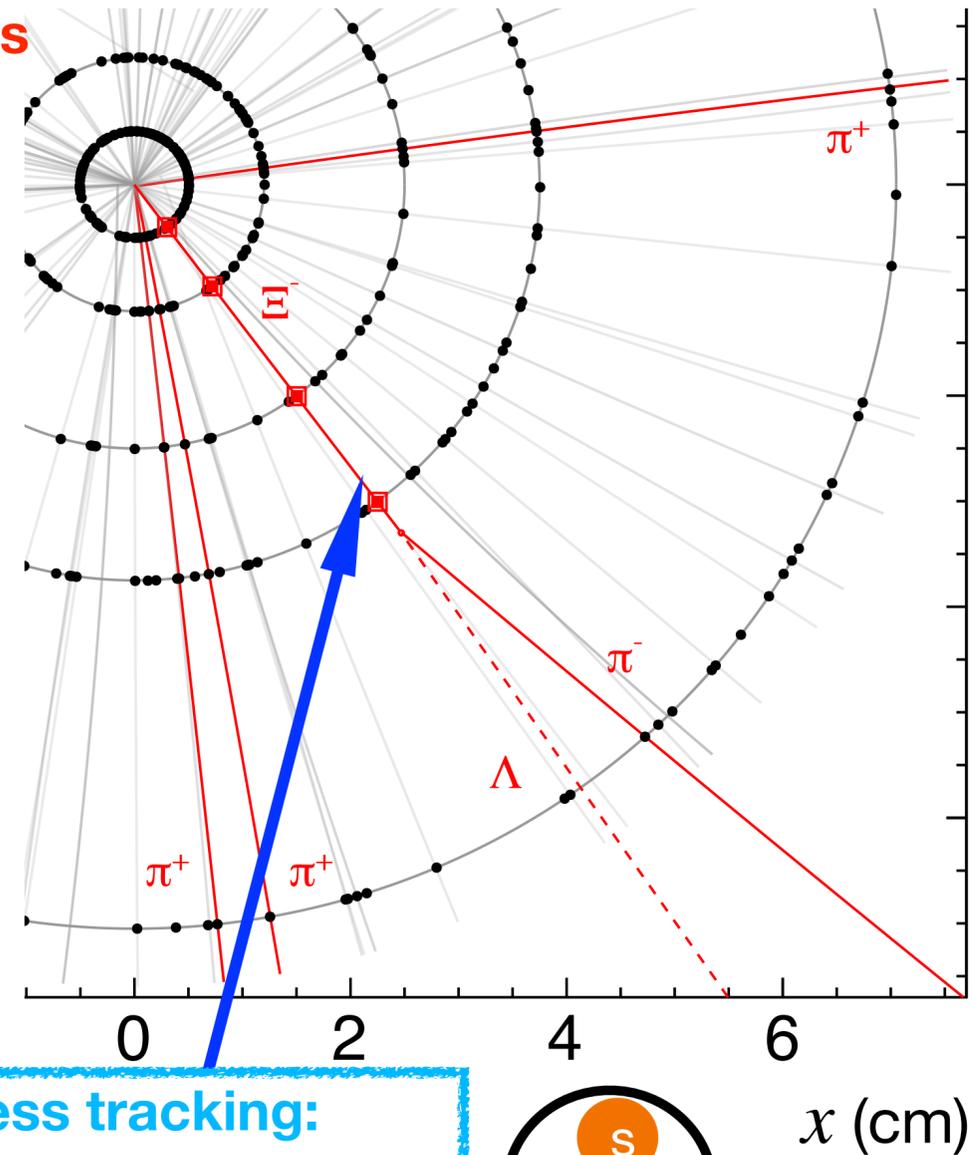


First ALICE 3 tracking layer at 5 mm

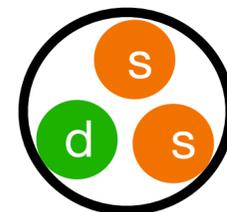
- Track (non-prompt) strange baryon (Ξ^-) before it decays
- High selectivity thanks to pointing resolution of Ξ^- baryon
- ⇒ Unique access with ALICE 3 in Pb-Pb collisions
- Same for Ω_{cc}^+ using non-prompt Ω^- !



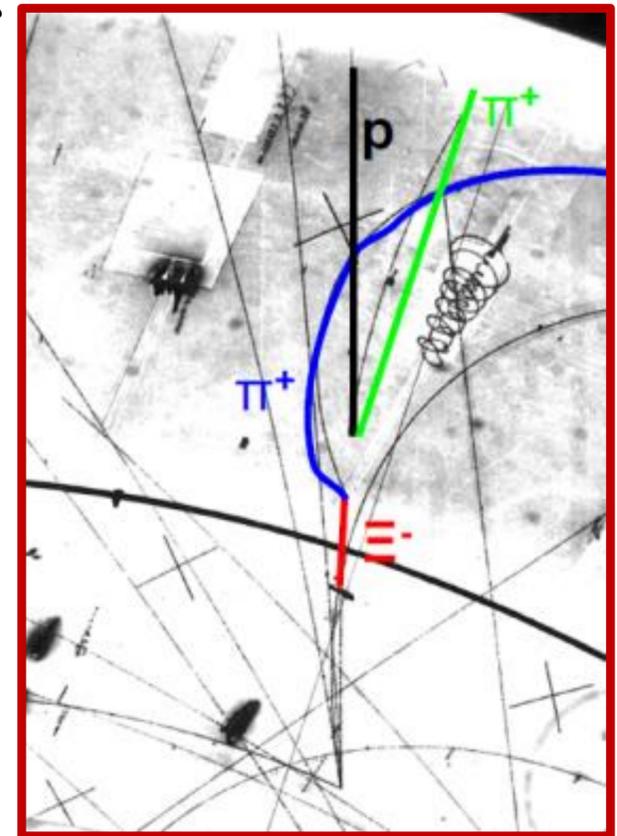
Reconstruction of Ξ_{cc}^{+++} decay in the ALICE 3 tracker



Strangeness tracking:
 tracking directly non-prompt Ξ^-
 decaying from Ξ_{cc}^{+++}

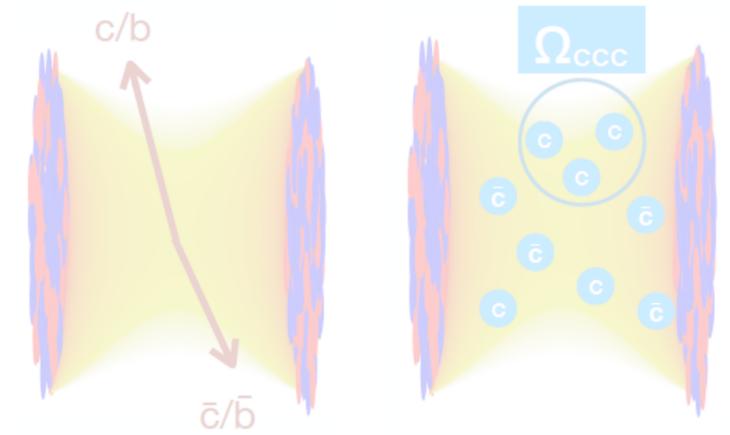


Strangeness tracking,
 Like a bubble chamber



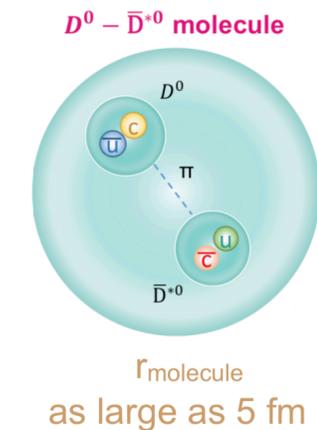
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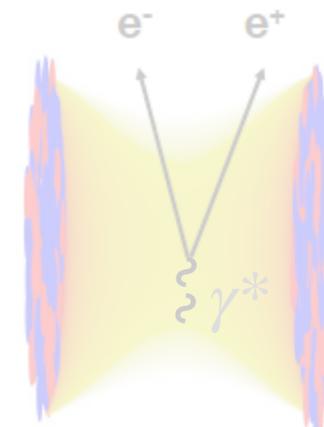
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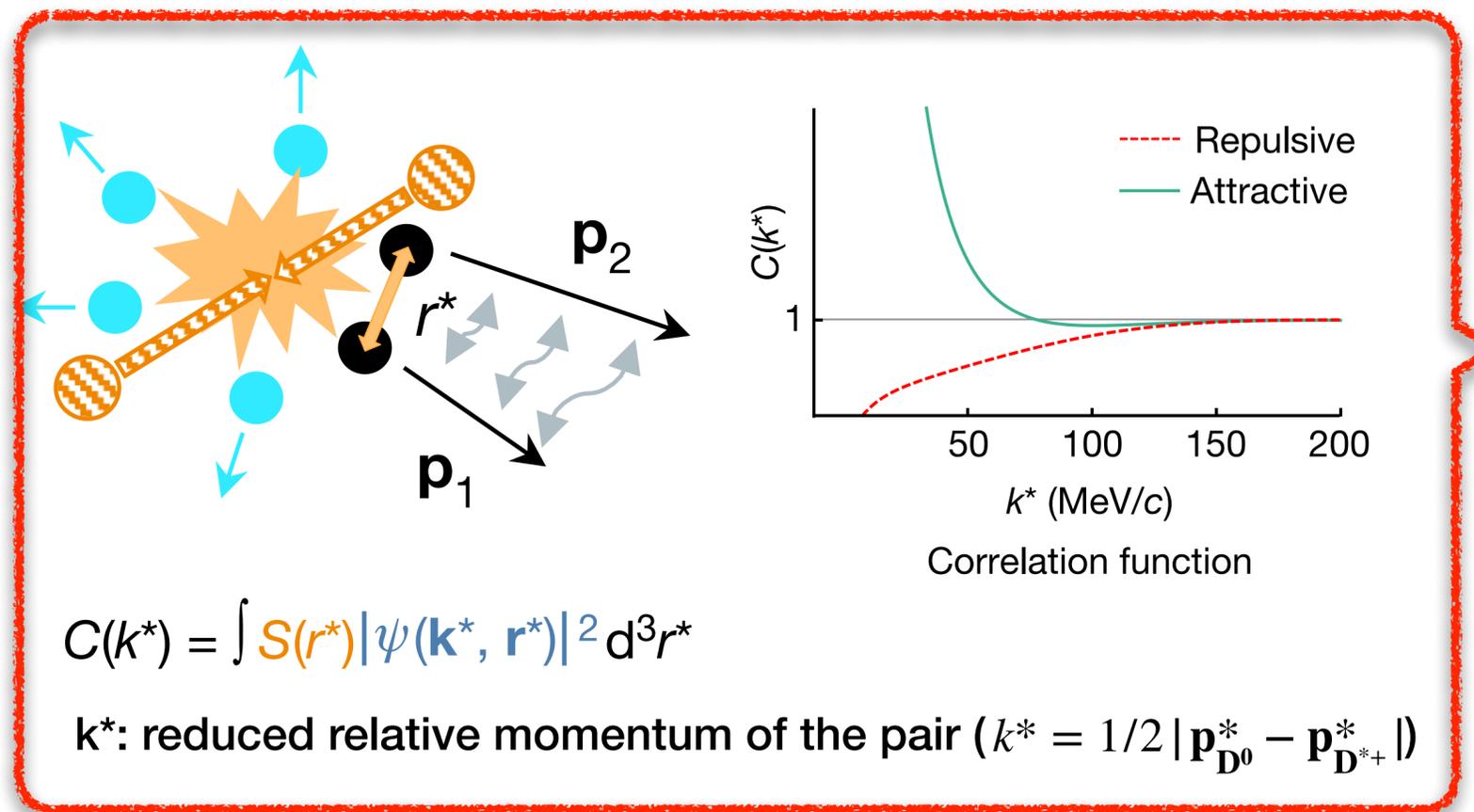
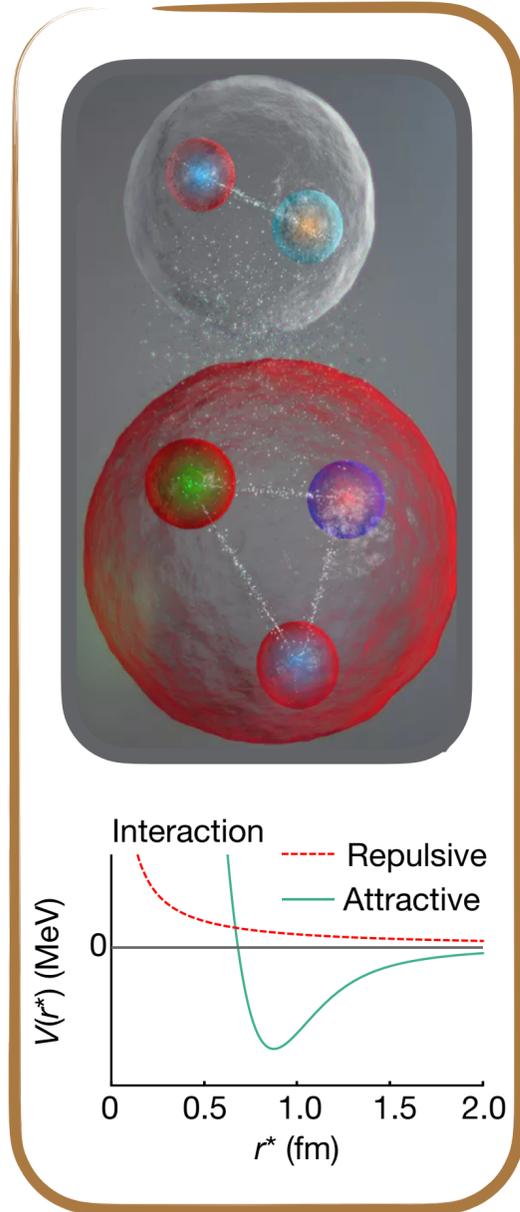
- Evolution of the quark-gluon plasma
- Mechanisms of chiral symmetry restoration in the QGP



Many more...

Final state interaction

Run 1+2



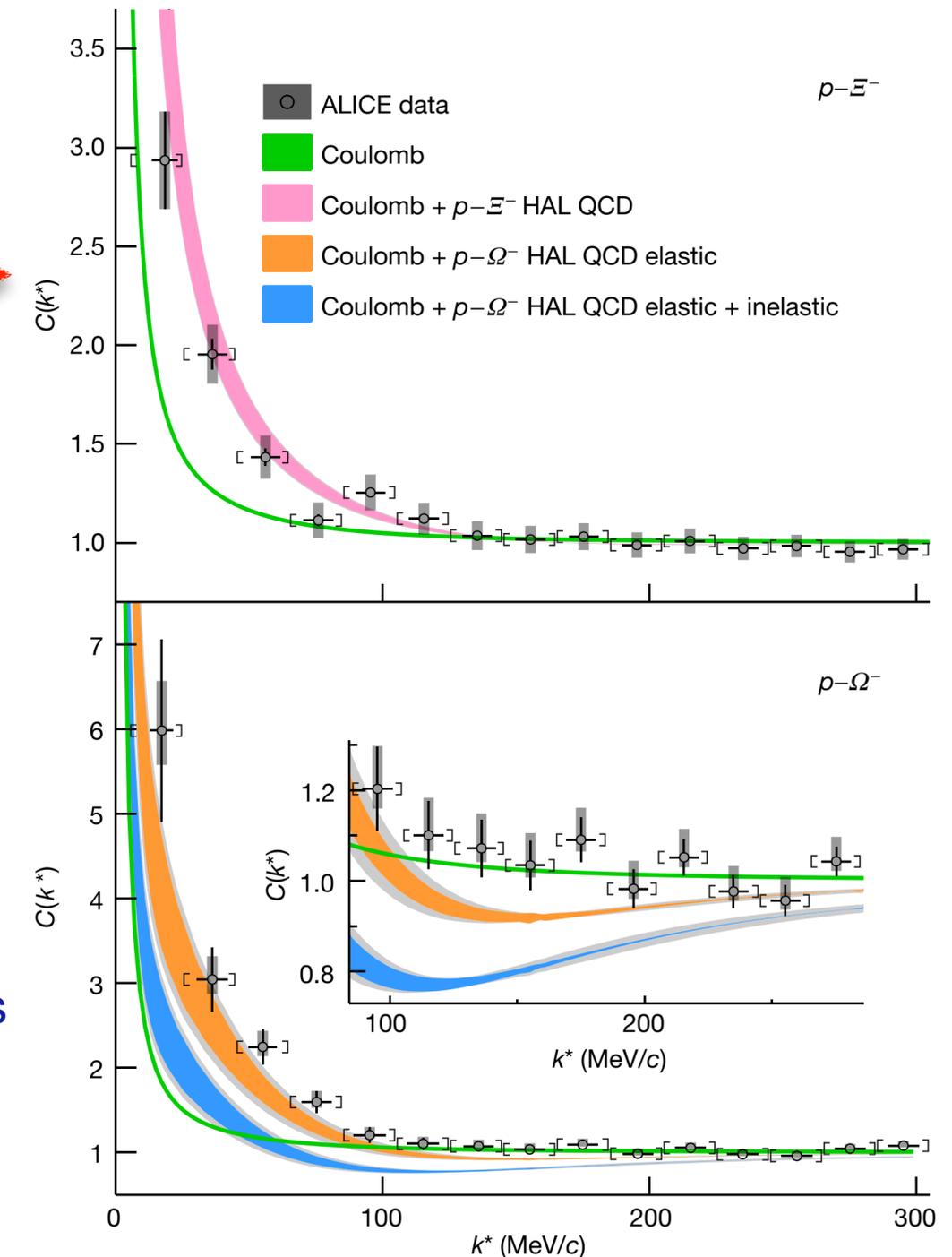
$p-\Xi^-$: predicted attractive interaction

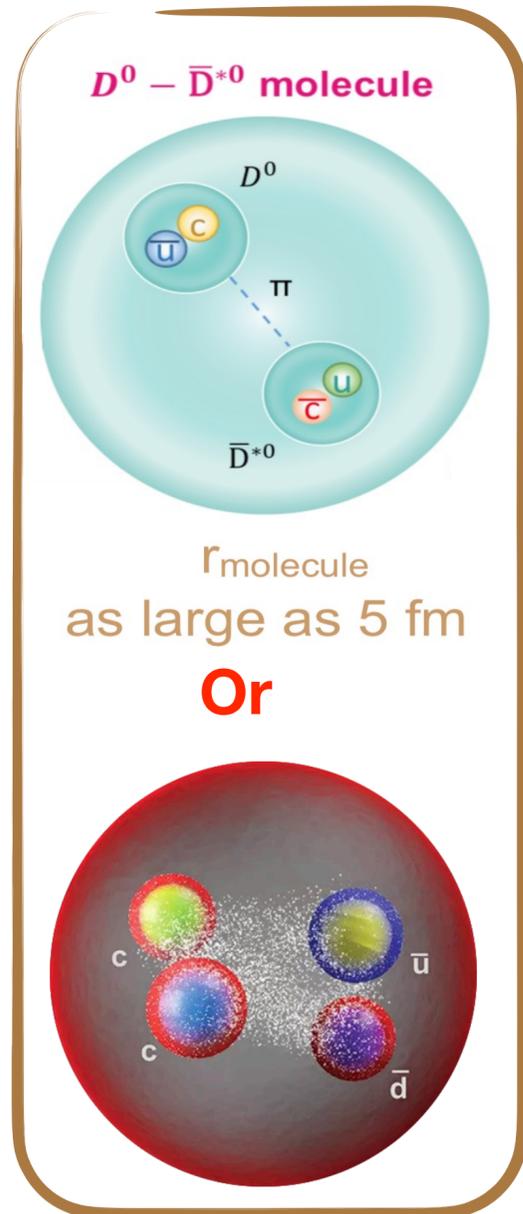
★ Consequences for the possible appearance in neutron stars

$p-\Omega^-$: predicted very attractive interaction

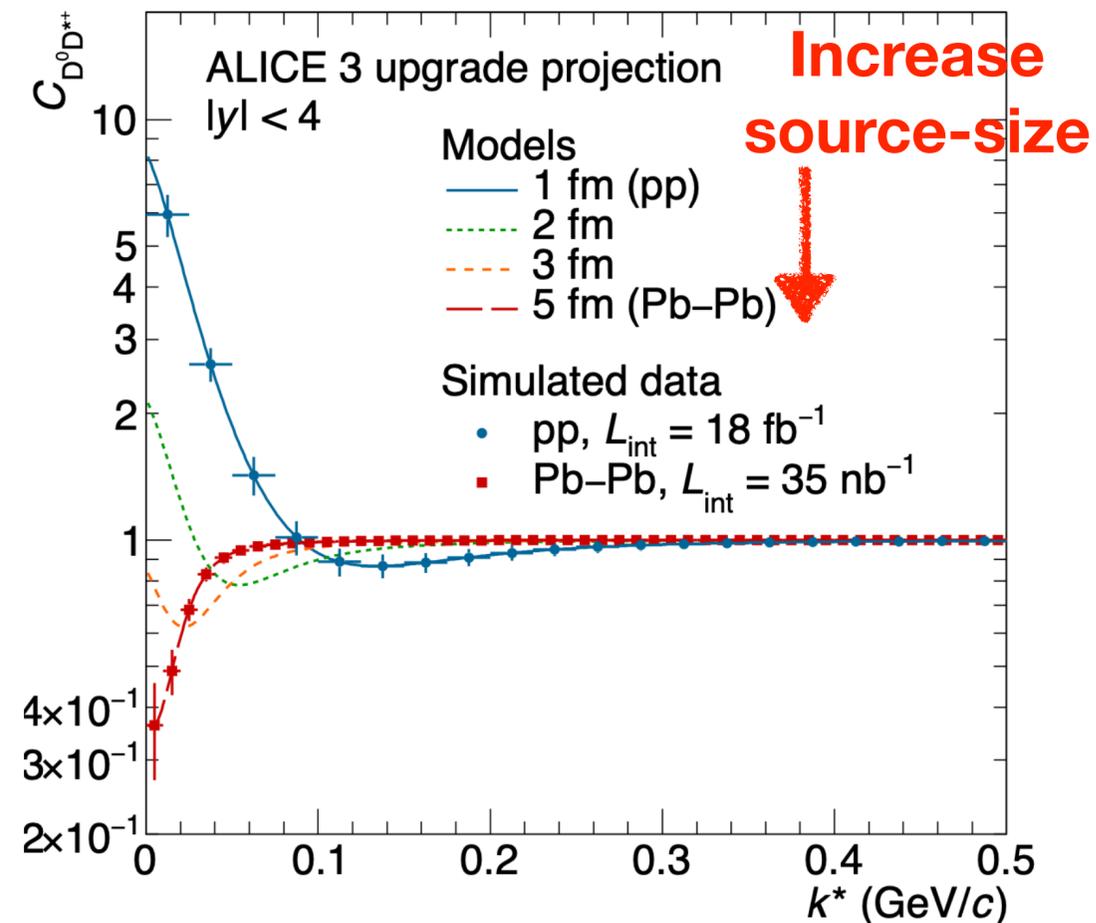
★ Opens the door for a $N\Omega$ di-baryon (bound state)

Nature 588 (2020)





$D^0 D^{*+}$: nature of T_{cc}^+



ALICE CERN-LHCC-2022-009

Exotic states: T_{cc}^+ , $\chi_{c1}(3872)$...

- ✦ Include double charm states, potentially weakly-bound states
- ✦ **Investigate structure** with two particle momentum correlations and yields [arXiv:2203.13814](https://arxiv.org/abs/2203.13814)
- ✦ Understand dissociation and regeneration in QGP → unique access to low p_T $\chi_{c1}(3872)$

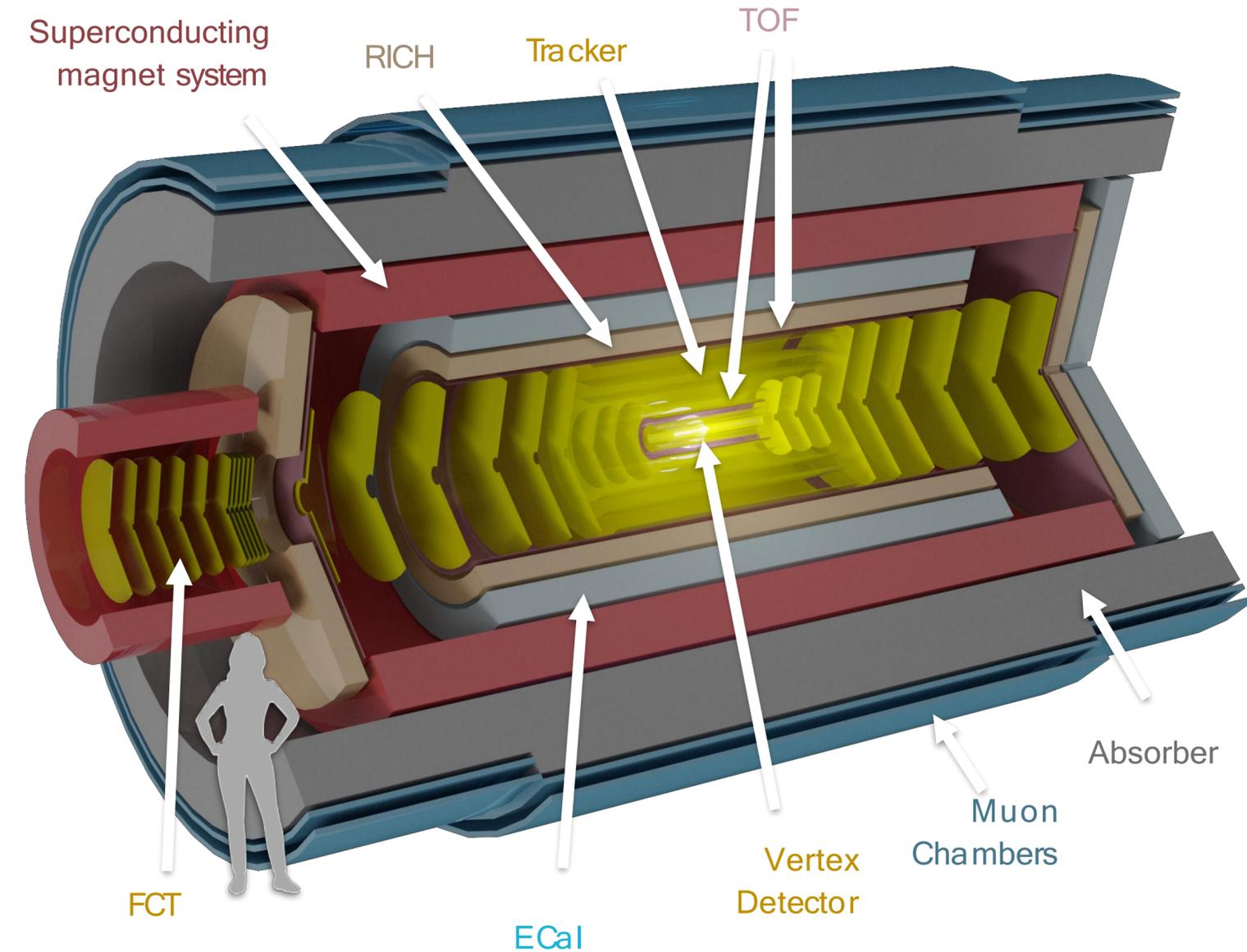
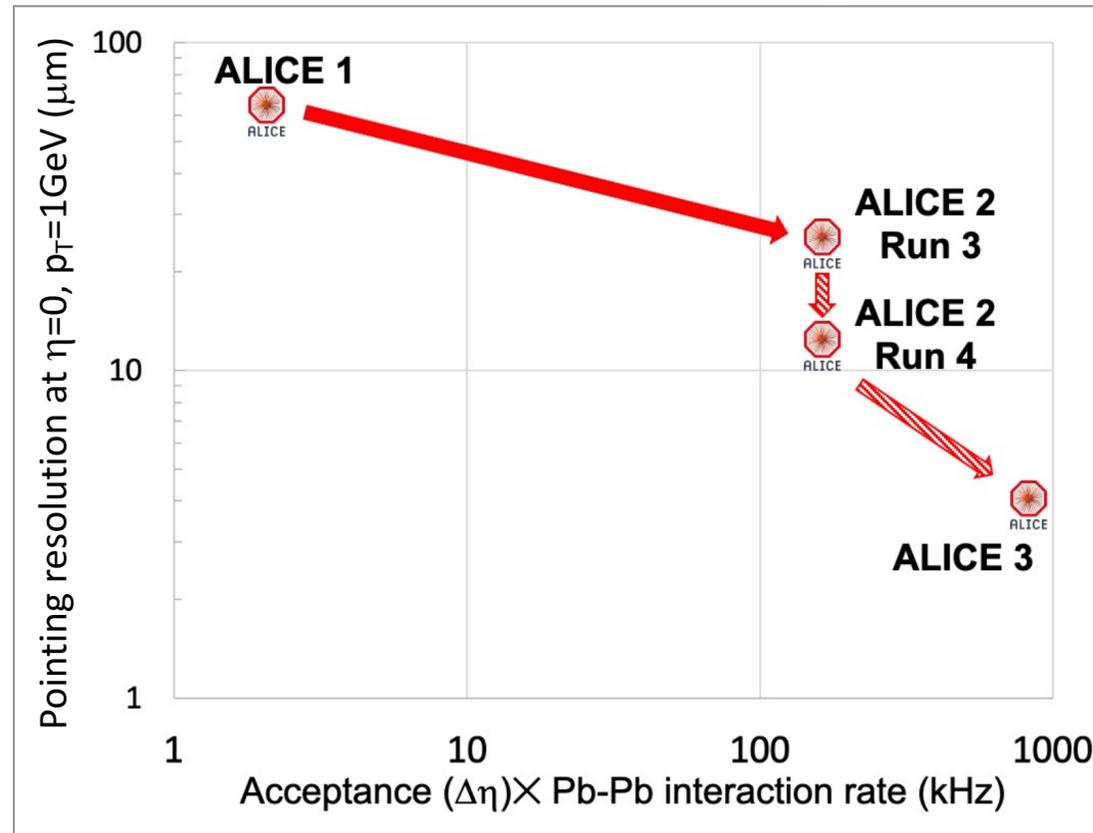
Possible with ALICE 3 thanks to excellent pointing resolution + large acceptance

ALICE 3 detector

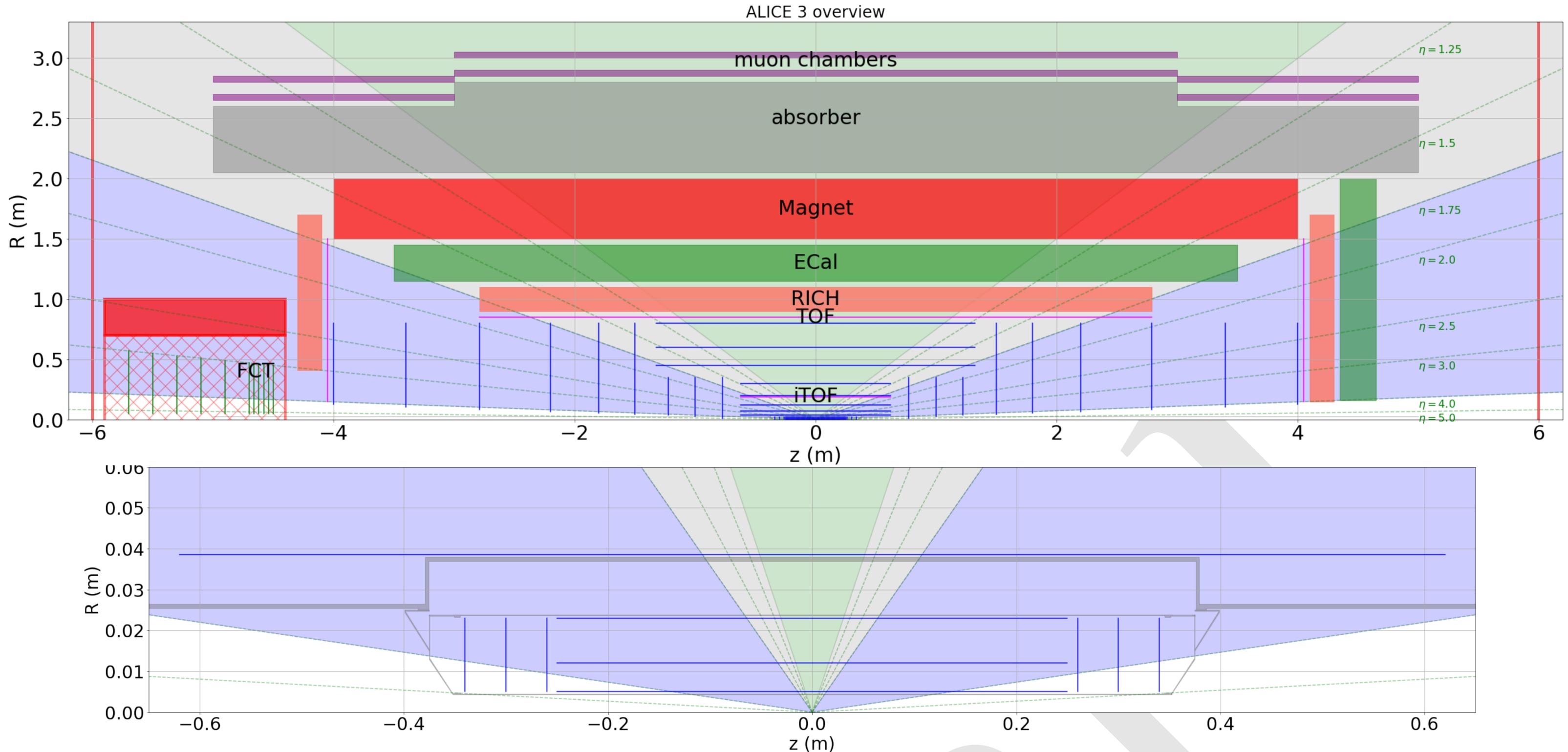
Experimental challenges

- Compact, ultra-lightweight **all-silicon tracker** → $\sigma_{pT}/p_T \approx 1-2\%$
- Vertex detector** with unprecedented pointing resolution → $\sigma_{DCA} \approx 10 \mu\text{m}$ ($p_T = 200 \text{ MeV}$)
- Particle Identification over large acceptance ($-4 < \eta < 4$) → $\gamma, e^\pm, \mu^\pm, K^\pm, \pi^\pm$
- Continuous read-out and online processing

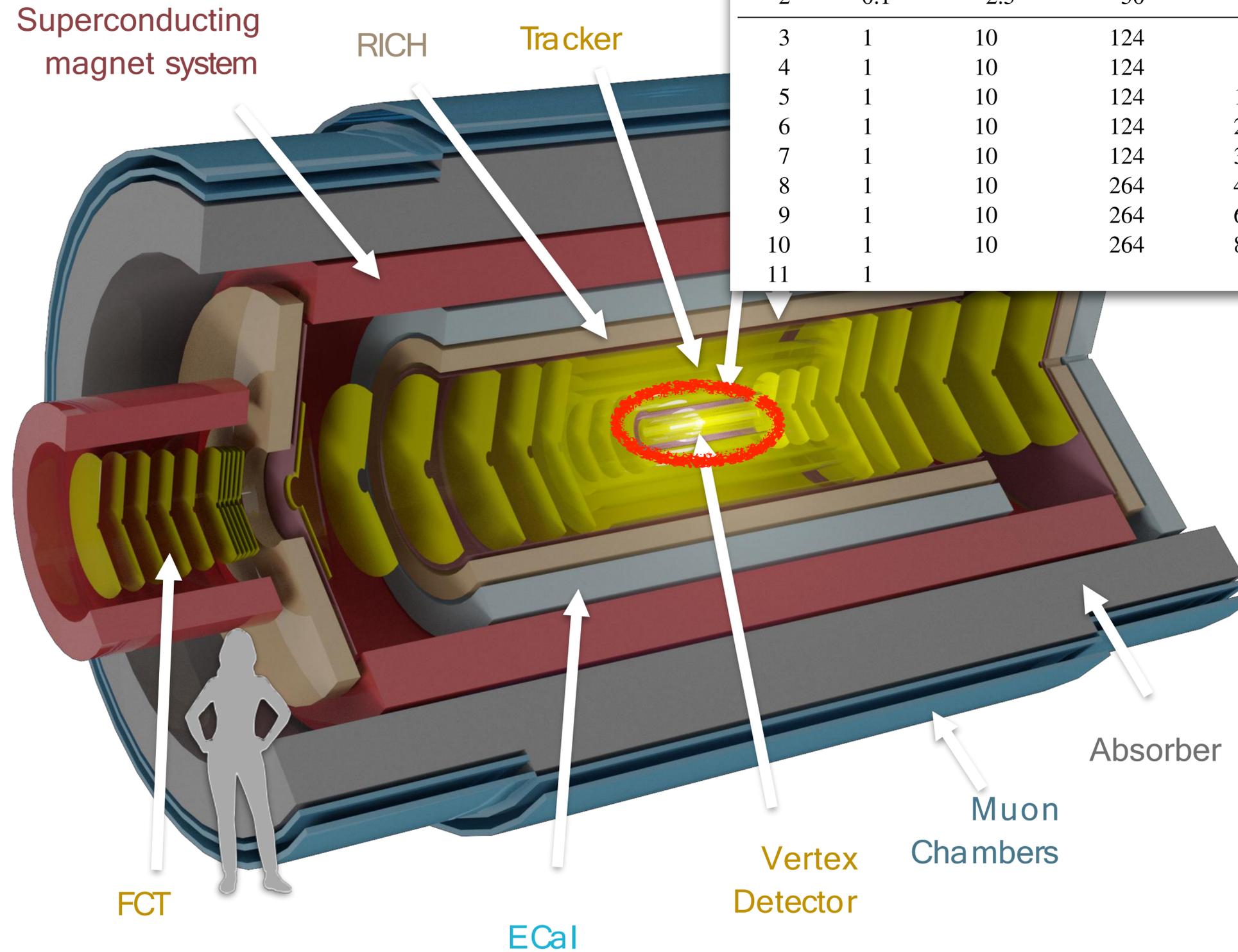
⇒ **Detector with unique and unprecedented features at the LHC**



ALICE 3 schematic R-z view

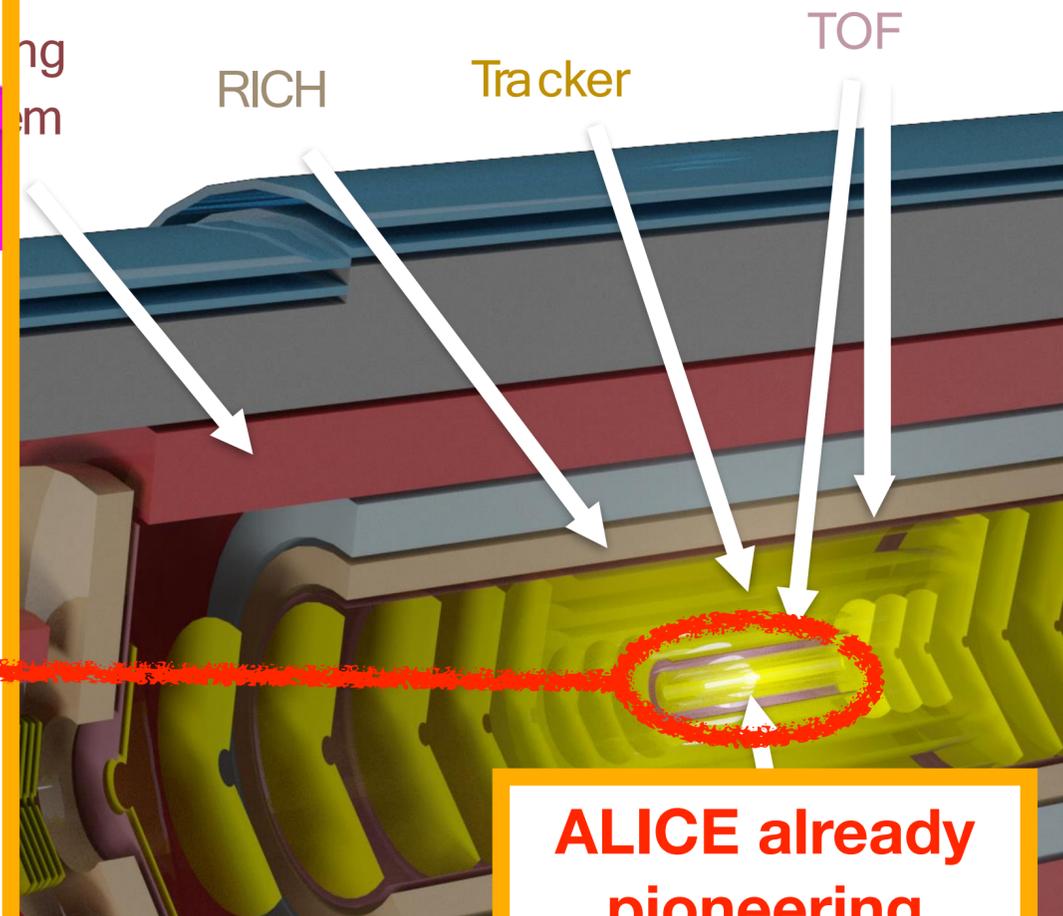
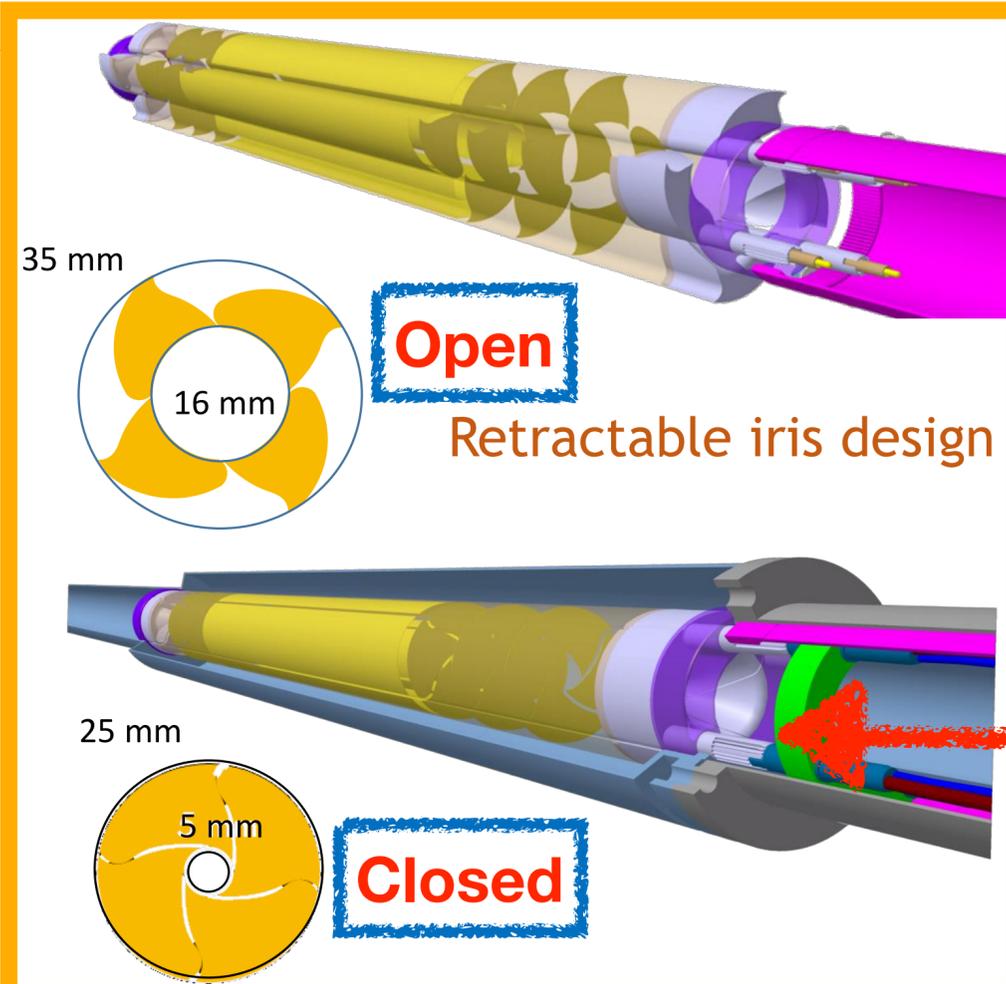


Vertex detector



Layer	Material	Intrinsic resolution (μm)	Barrel layers		Forward discs		
			thickness ($\%X_0$)	Length ($\pm z$) (cm)	Radius (r) (cm)	Position ($ z $) (cm)	R_{in} (cm)
0	0.1	2.5	50	0.50	26	0.005	3
1	0.1	2.5	50	1.20	30	0.005	3
2	0.1	2.5	50	2.50	34	0.005	3
3	1	10	124	3.75	77	0.05	35
4	1	10	124	7	100	0.05	35
5	1	10	124	12	122	0.05	35
6	1	10	124	20	150	0.05	80
7	1	10	124	30	180	0.05	80
8	1	10	264	45	220	0.05	80
9	1	10	264	60	279	0.05	80
10	1	10	264	80	340	0.05	80
11	1				400	0.05	80

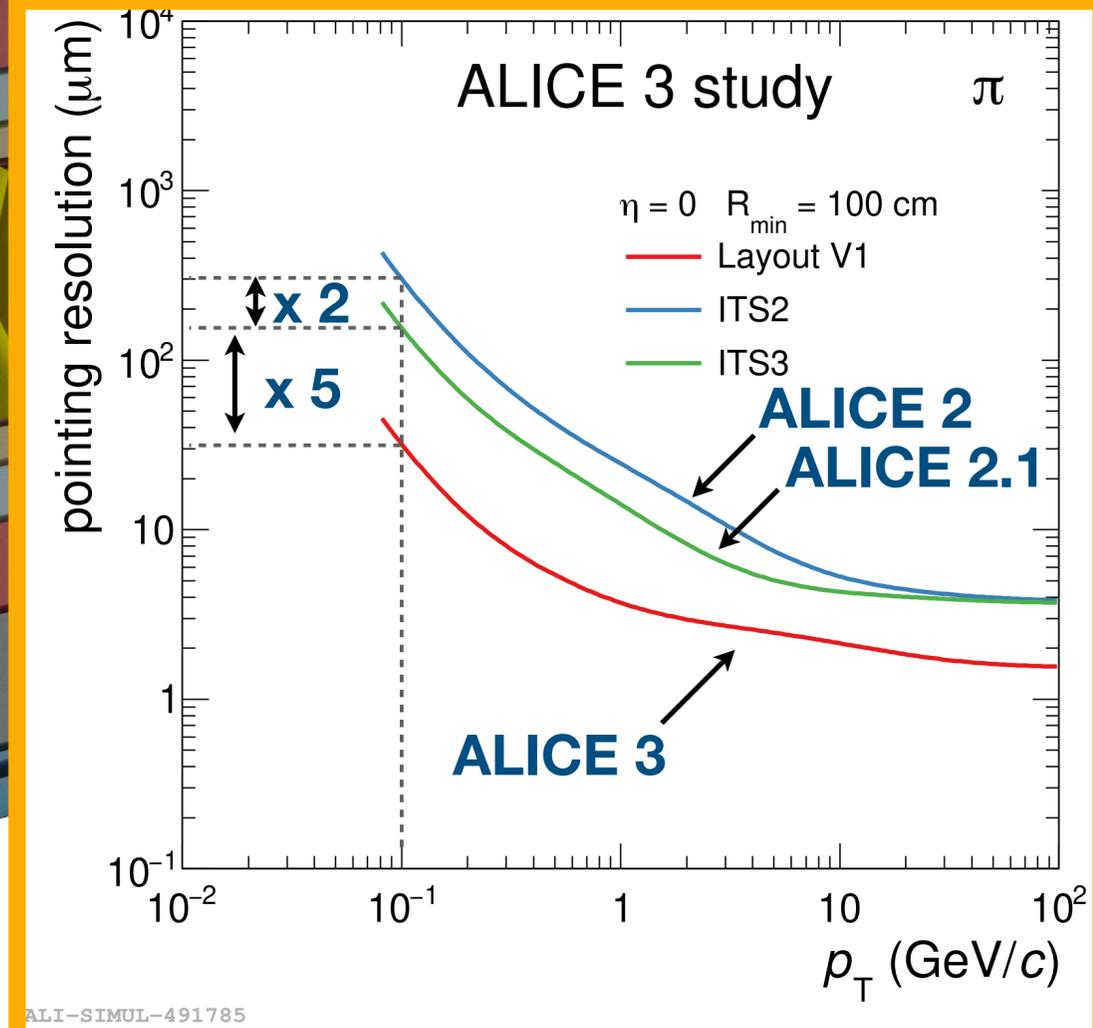
Vertex detector



Pointing resolution $\propto r_0 \cdot \sqrt{x/X_0}$

• $\sim 10 \mu\text{m}$ at $p_T = 200 \text{ MeV}/c$

• $\sim 2 \mu\text{m}$ at high p_T



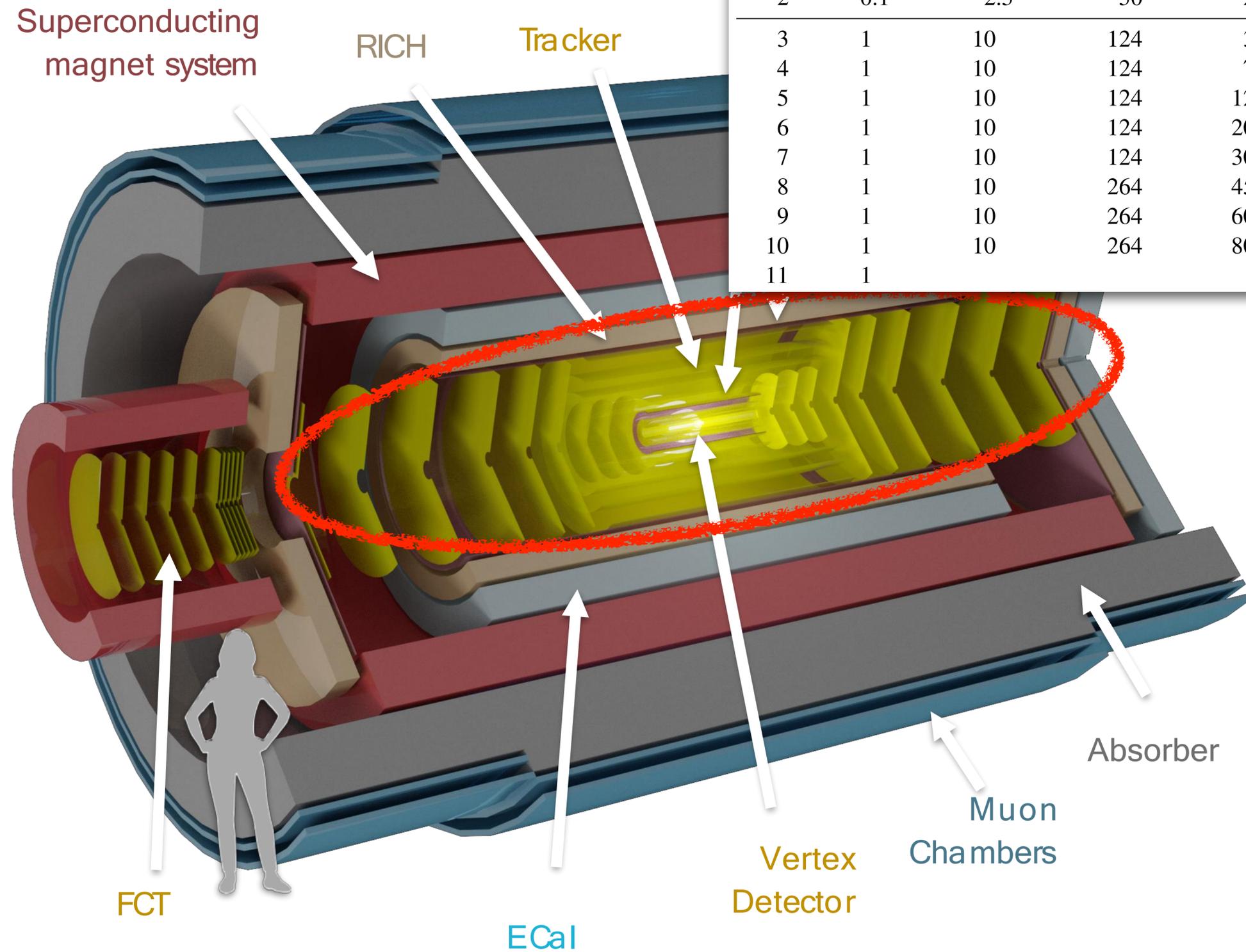
ALICE already pioneering technique of bent silicon chip



Conceptual study of iris tracker

- Wafer-size, ultra-thin, curved, CMOS Active Pixel Sensor
- ⇒ **Ultimate performance (same for ITS 3)**
- First layer at mid-rapidity: 5 mm from the beam
- ⇒ **Inside beam pipe, retractable configuration**
- Unprecedented spatial resolution: $\sigma_{\text{pos}} \approx 2.5 \mu\text{m}$
- Extremely low material budget
- 1‰ X_0 per layer

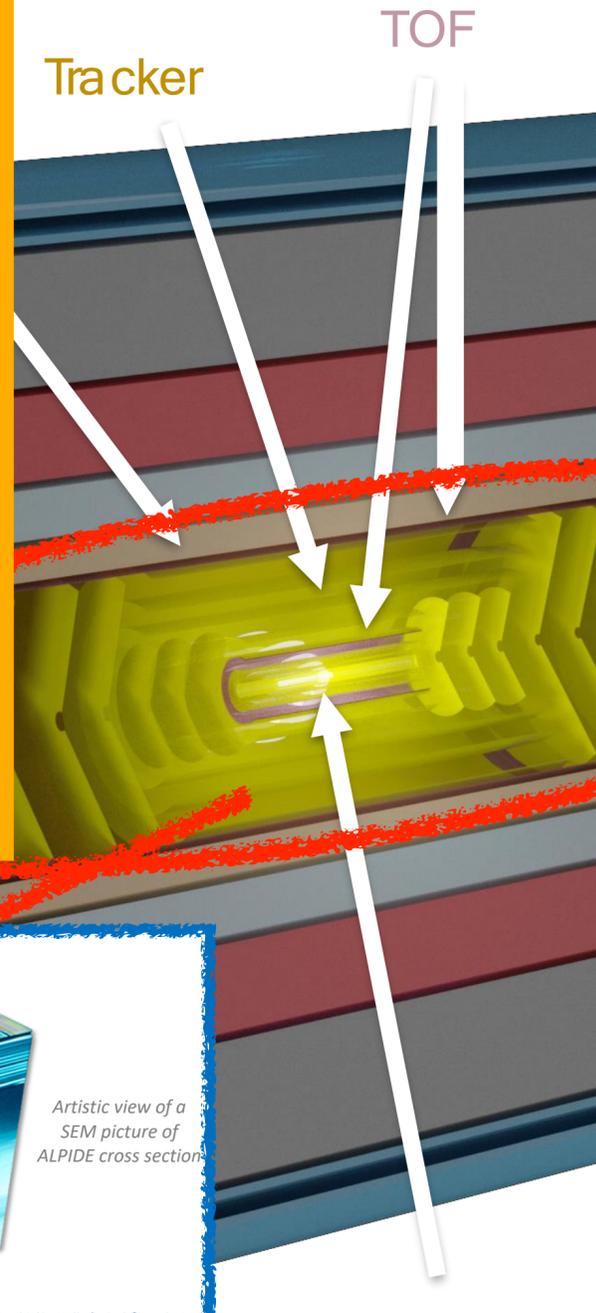
Large acceptance tracker



Layer	Material	Intrinsic resolution (μm)	Barrel layers		Forward discs		
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4	1	10	124	7	100	0.05	35
5	1	10	124	12	122	0.05	35
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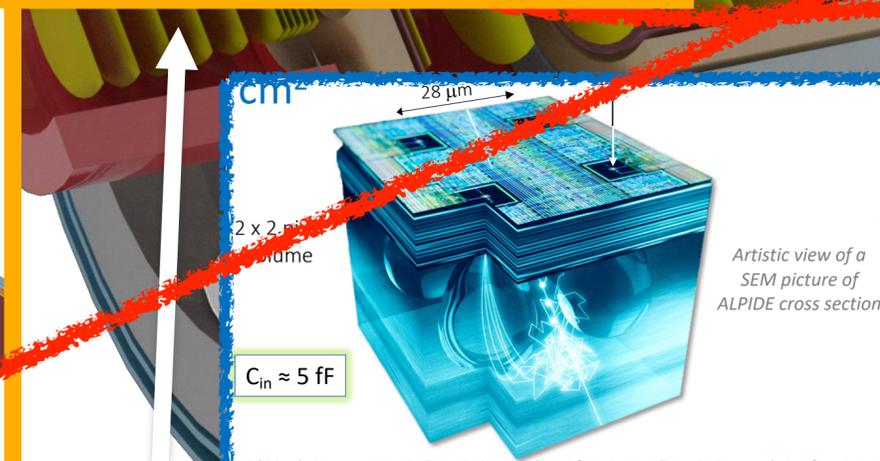
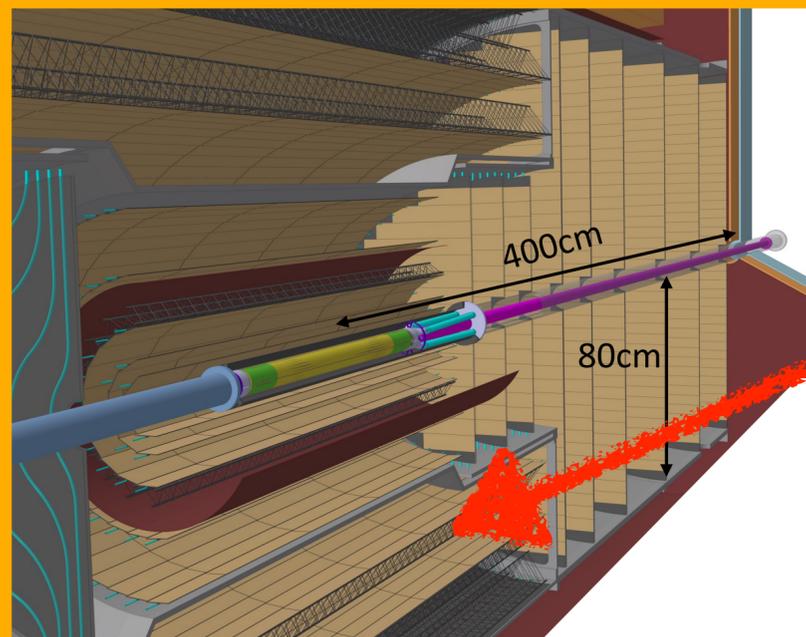
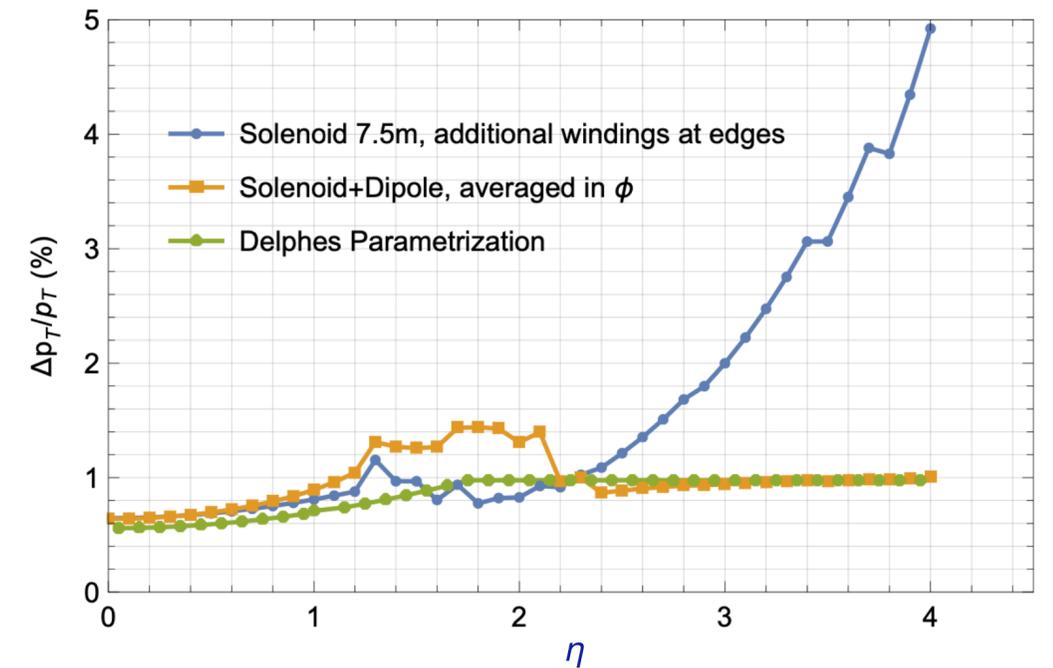
Large acceptance tracker

- 60 m² silicon pixel detector**
based on CMOS Active Pixel Sensor technology
8 + 2 x 9 tracking layers (barrel + disks)
- Compact: $r_{out} \approx 80$ cm, $z_{out} \approx \pm 4$ m
 - Large coverage: $\pm 4\eta$
 - High-spatial resolution: $\sigma_{pos} \approx 5$ μ m (req. < 10 μ m)
 - Timing resolution ~ 100 ns
 - Very low material budget
 - 1% X_0 per layer overall $\rightarrow X/X_0(\text{total}) \lesssim 10$ %
 - Low power: ≈ 20 mW/cm²



Relative p_T resolution $\propto \frac{\sqrt{x/X_0}}{B \cdot L}$

- $\sim 1\%$ over large acceptance
- integrated magnetic field crucial (2T)
- overall material budget critical



Build on experience with ITS 2 and ITS 3 (same CMOS process)
10 m² \rightarrow 60 m²: challenges on industrialisation

Muon

Tracker sensor requirement

The ALICE 3 tracker has two sets of requirements

- ✦ Vertex detector: high hit rate, high radiation load
- ✦ Outer tracker: low power, large surface (yield, fill factor)

A common sensor might be possible, but is not needed

- ✦ Main benefit would be synergies, possibly cost savings

→ Naturally follows the ITS 3 developments

Key R&D topics

✦ Radiation hardness

- 5×10^{15} 1 MeV n_{eq}/cm^2 is demonstrated for HVMAPS at $-25^\circ C$
- At least 5×10^{15} 1 MeV n_{eq}/cm^2 seem feasible in 65 nm at room temperature (preliminary results)

✦ Power consumption

- Several contributors: in-pixel front ends, on-chip data aggregation, high-speed links
- Scales with time resolution and pixel pitch
- Optimisation process to be carried through

✦ Integration

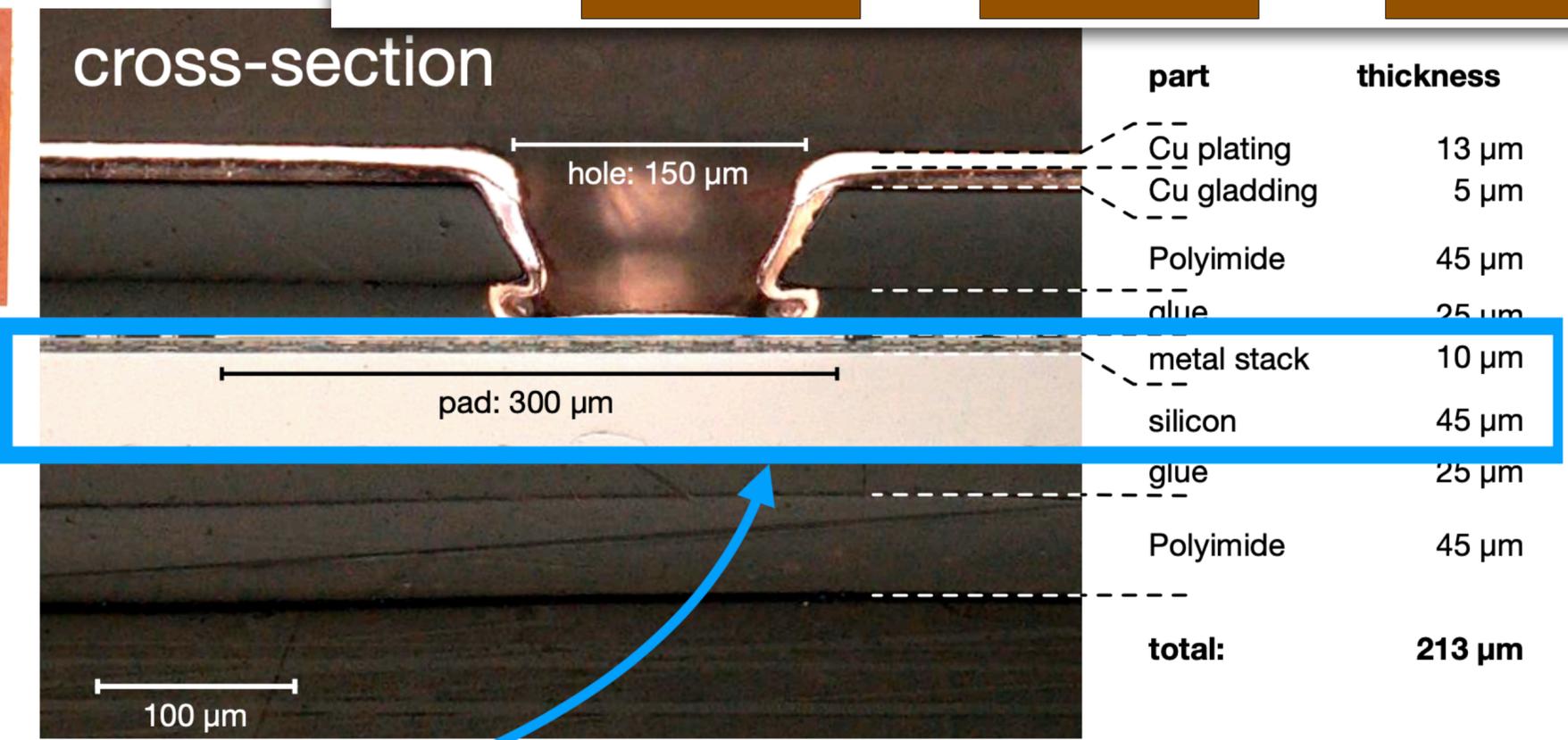
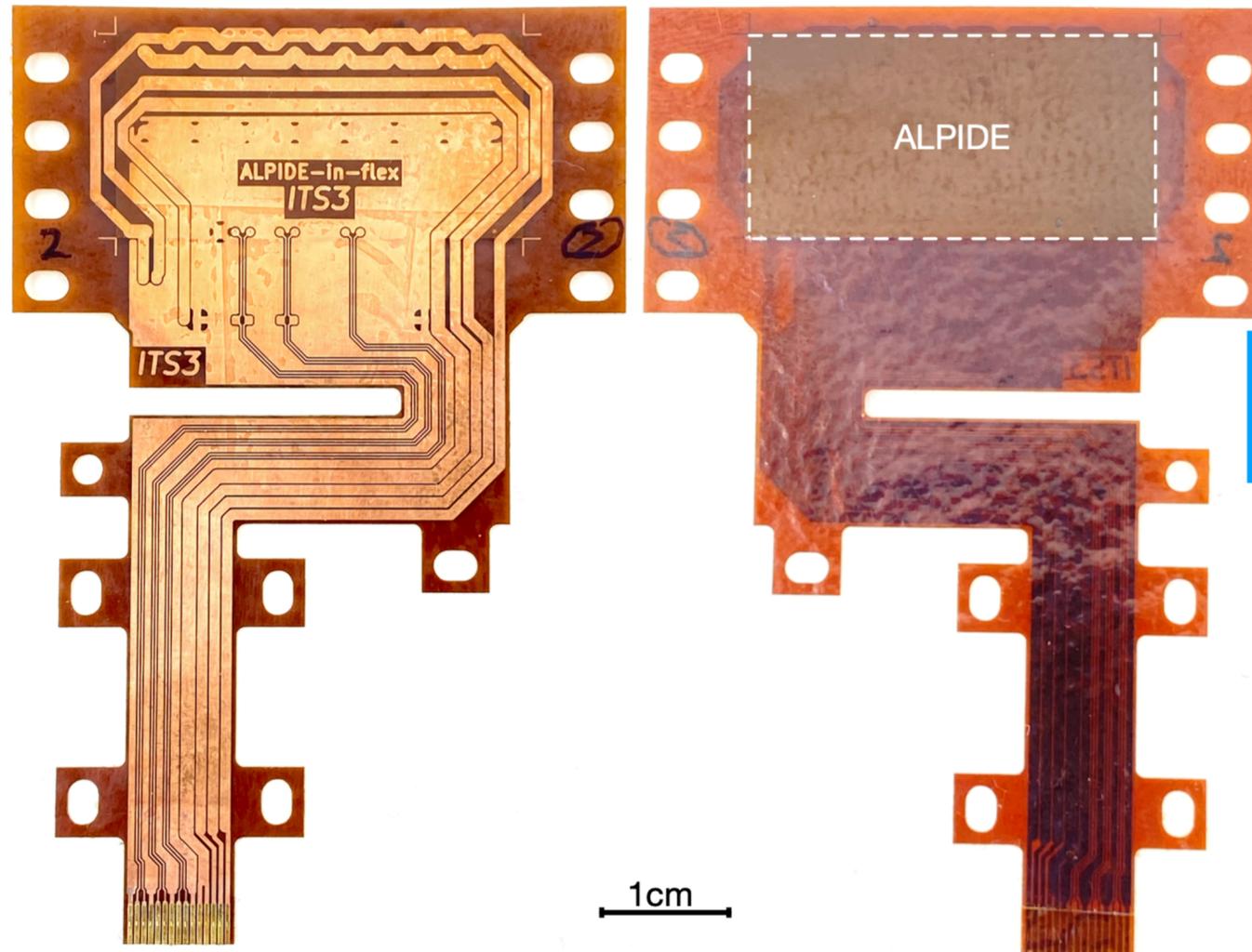
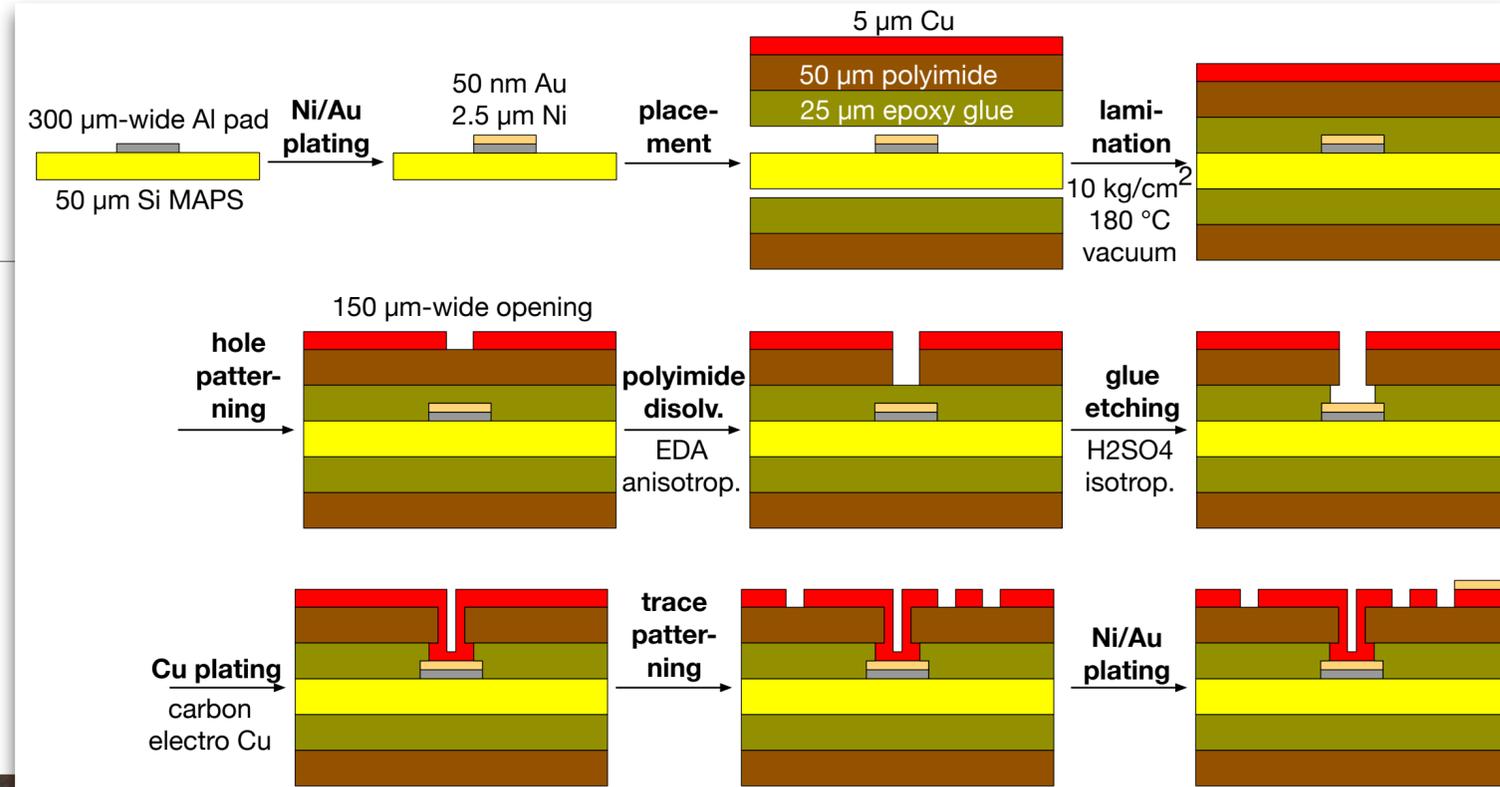
- The modularization for the other tracker needs to be co-developed with the chip design (e.g. chip dimensions)

Parameter	Vertex detector	Outer tracker
Spatial resolution	2.5 μm	10 μm
Time resolution	100 ns (RMS)	100 ns (RMS)
Hit rate capability	$35 \times 10^6 / (s \text{ cm}^2)$	$5 \times 10^3 / (s \text{ cm}^2)$
Power consumption	70 mW / cm^2	20 mW / cm^2
Radiation hardness	1.5×10^{15} 1 MeV $n_{eq} / cm^2 / \text{year}$	

Tracker example “MAPS foils”

MAPS foils - chips within printed circuit boards

- “Novel” concept (revised and updated from 2012)
- Will be studied further as an option



MAPS sensor

[[arXiv:2205.12669](https://arxiv.org/abs/2205.12669)]

Particle identification with Time Of Flight

Separation power $\propto L/\sigma_{\text{TOF}}$

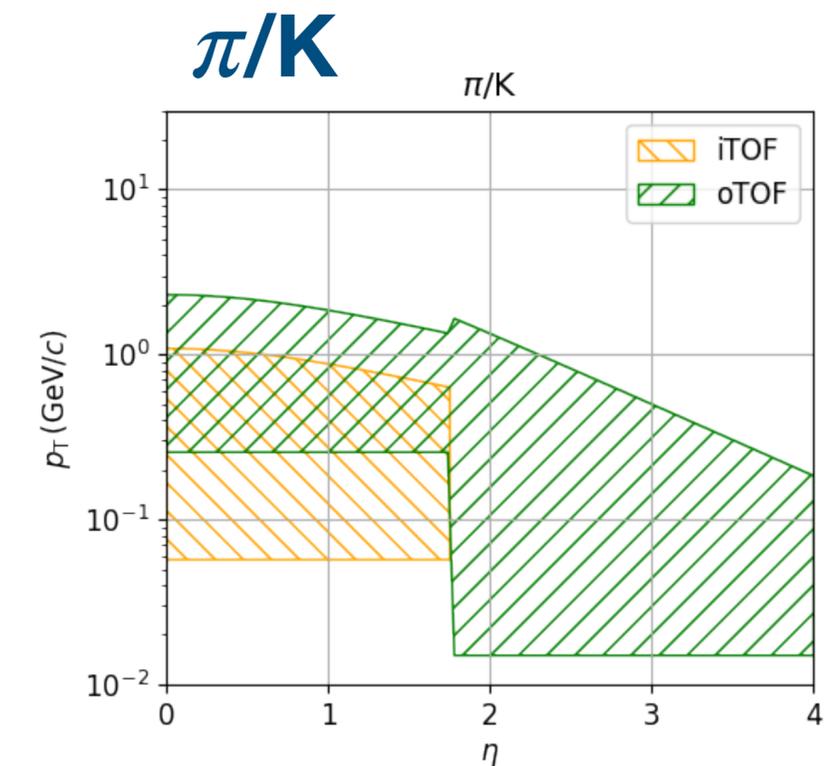
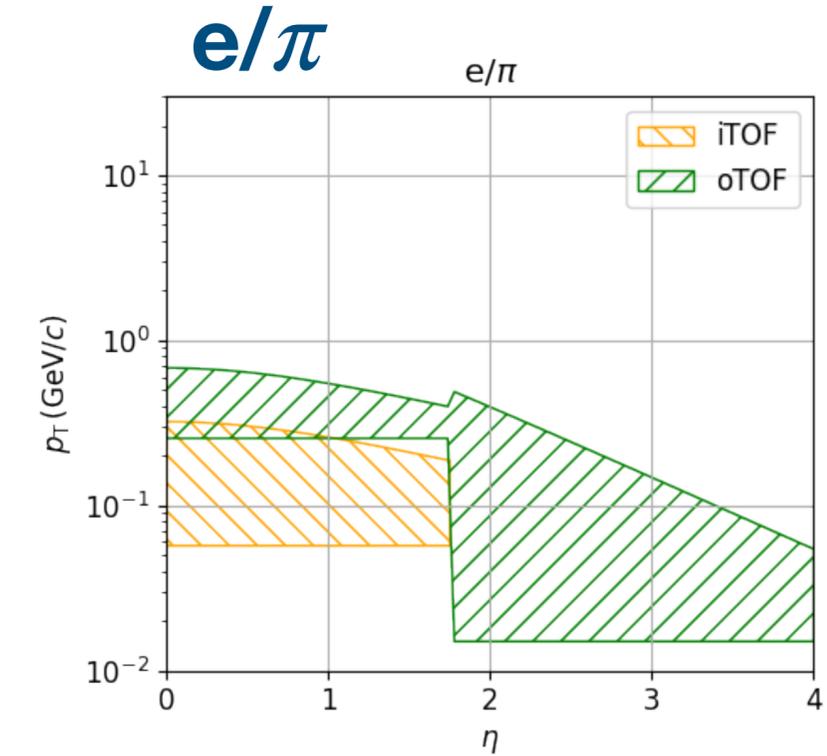
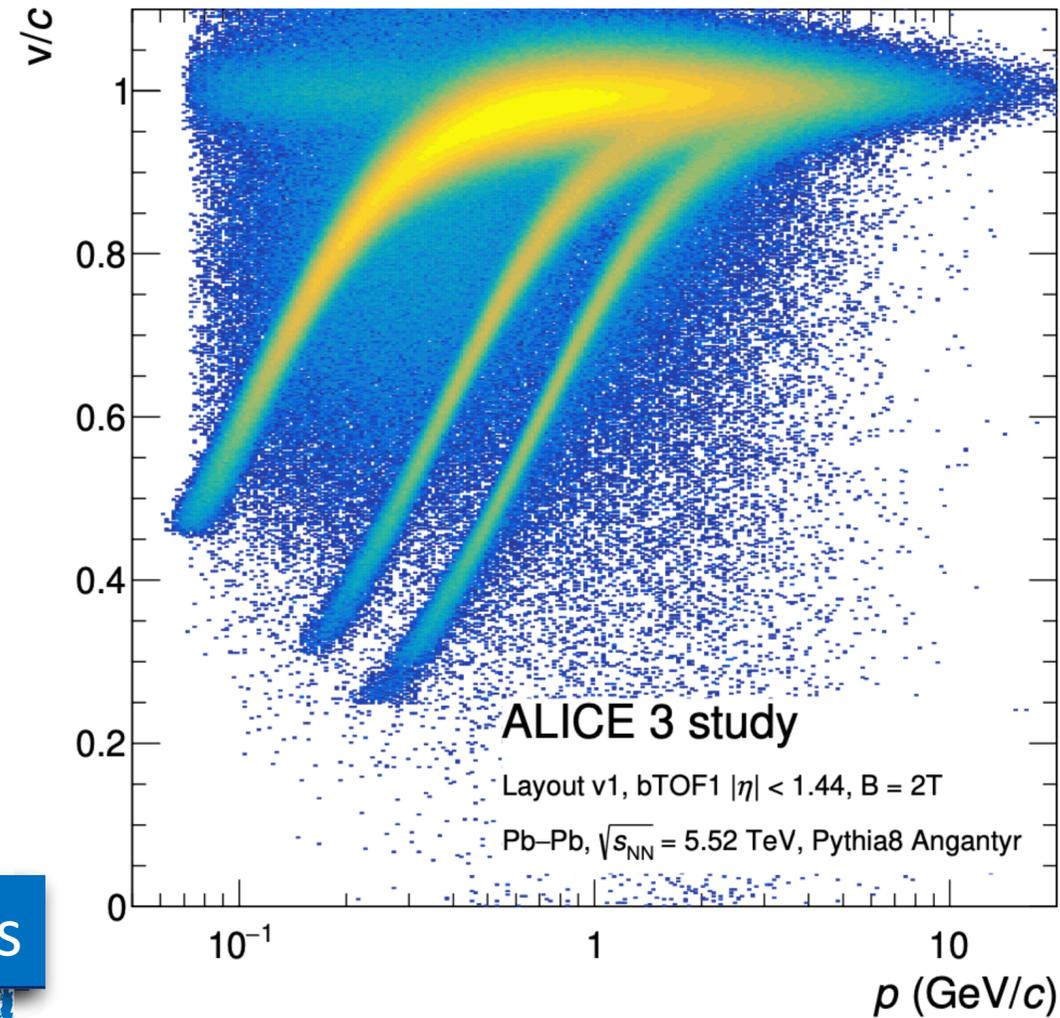
- Distance and time resolution crucial
- Larger radius results in lower p_T bound

2 barrel TOF layers ($|\eta| < 1.75$)

- Outer TOF at $r \approx 85$ cm, surface: 30 m², pitch: 5 mm
- Inner TOF at $r \approx 19$ cm, surface: 1.5 m², pitch: 1 mm

1 forward TOF layers ($1.75 < |\eta| < 4$)

- Inner radius = 15 cm, outer radius = 150 cm, $z \approx 405$ cm, surface: 14 m², pitch: 1mm to 5 mm



$\sigma_{\text{TOF}} \lesssim 20\text{ps}$

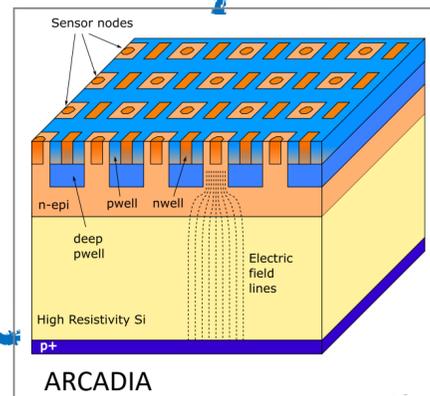
Silicon timing sensors

CMOS sensor with gain (baseline)

- R&D on monolithic CMOS sensors with integrated gain layers

Conventional LGADs (fallback)

- R&D with very thin sensors



Total silicon area ~ 45 m²

Particle identification with Cherenkov light

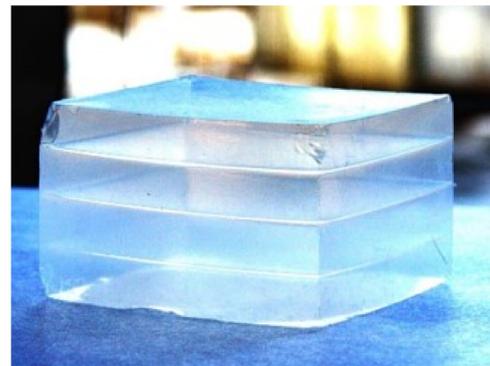
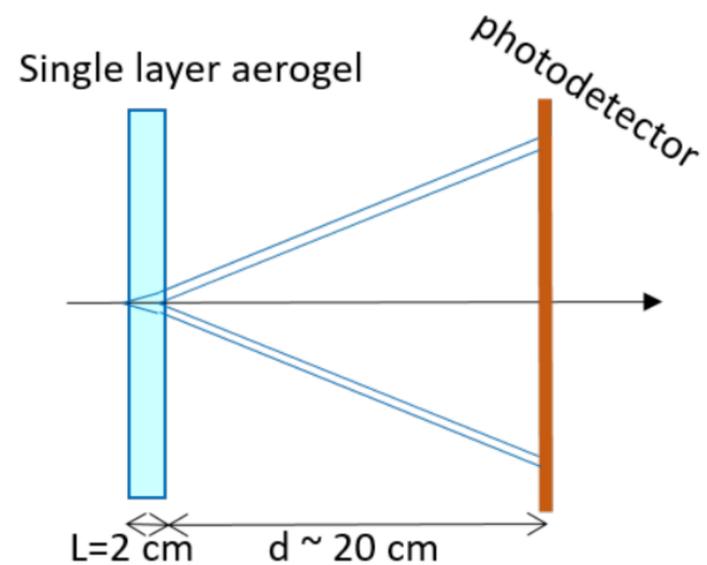
Complement PID reach of outer TOF to higher p_T with Cherenkov detector

→ Ensure continuous coverage with the TOF

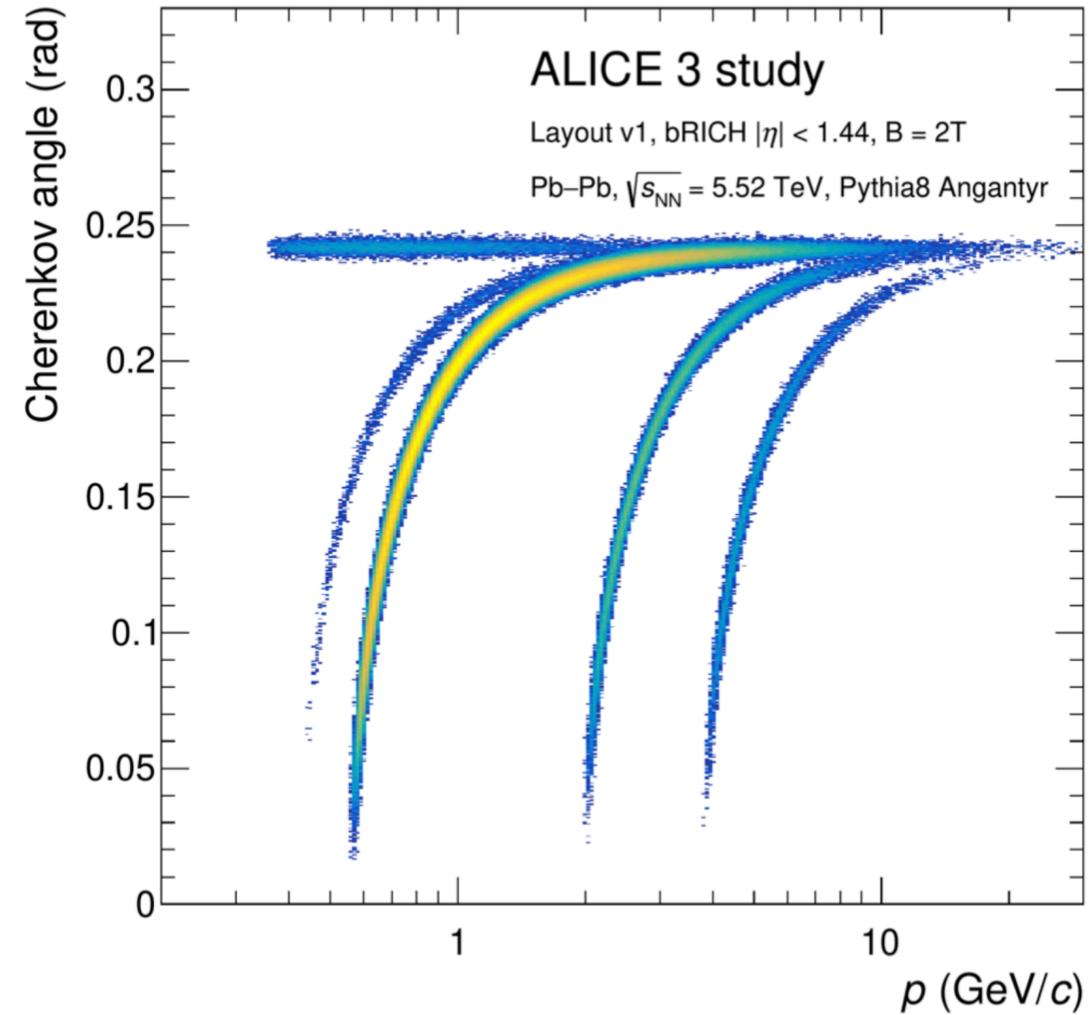
Aerogel radiator

- Refractive index $n = 1.03$ (barrel)
- Refractive index $n = 1.006$ (forward)

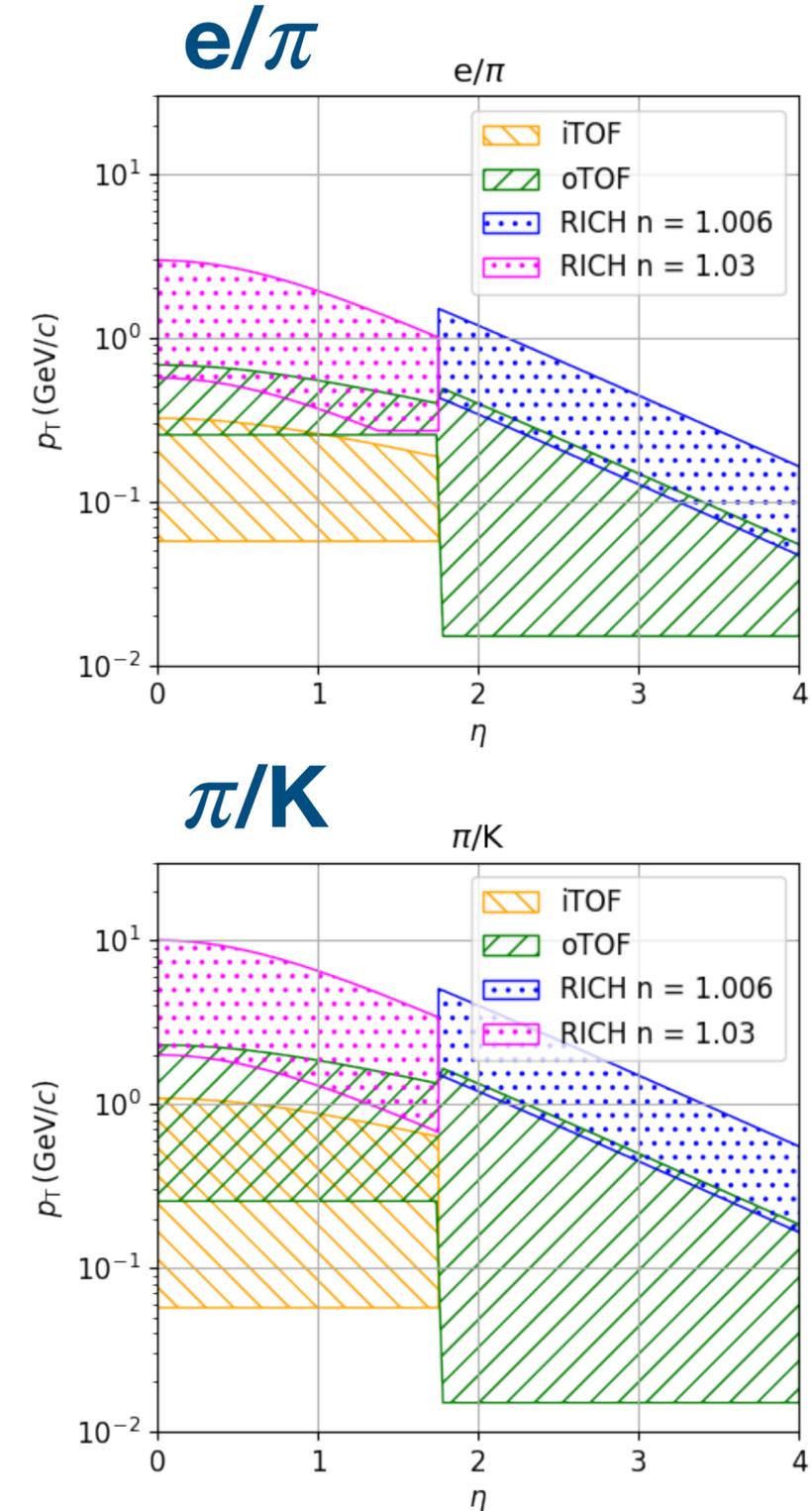
R&D on monolithic silicon photon sensors



aerogel radiator



Total SiPM area ~ 60 m²



More on particle identification

Large acceptance Electromagnetic calorimeter (2π coverage)

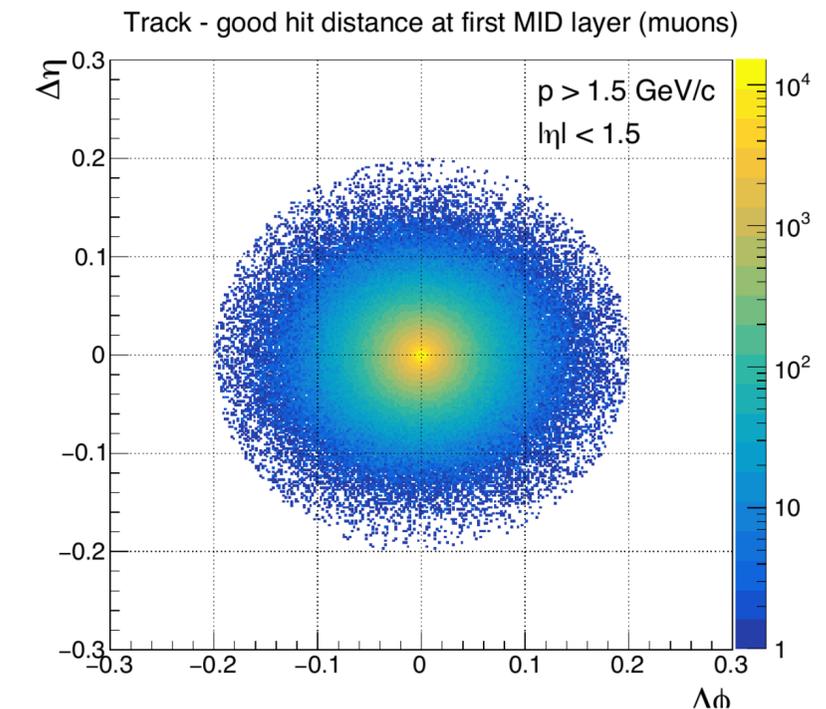
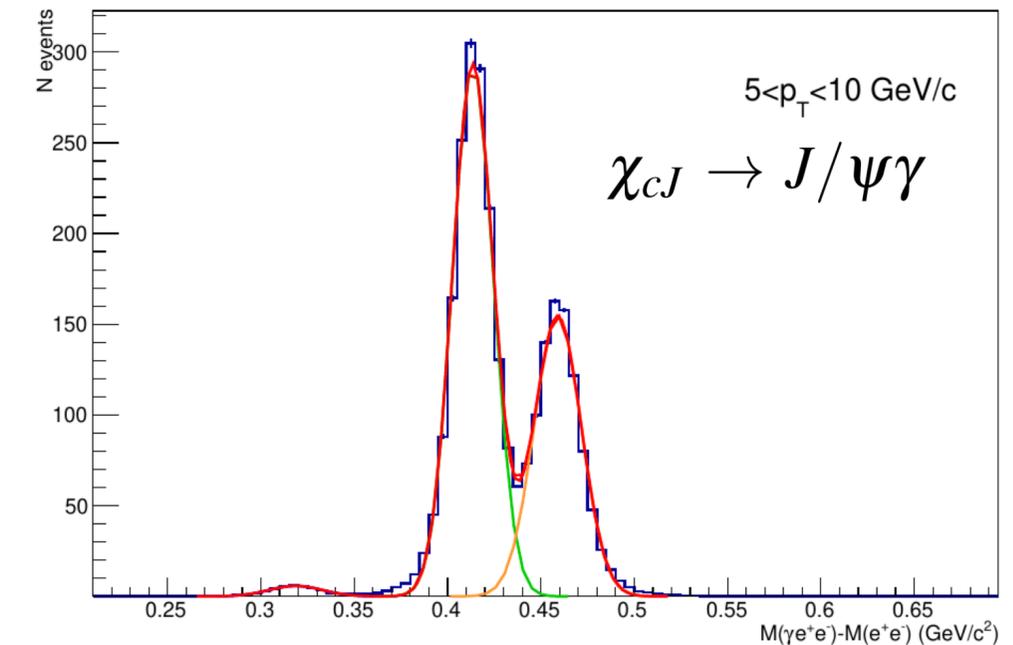
- Pb-scintillator sampling calorimeter + at $\eta \approx 0$ crystal calorimeter
- Photons + high p electrons identification
- Critical for measuring P-wave quarkonia and thermal radiation via real photons

Muon Identifier

- Absorber + 2 layers of muon detectors
- Muons down to $p_T \geq 1.5$ GeV/c
- Scintillator bars with SiPM read-out
- Possibility to use RPCs as muon chambers

Forward conversion tracker

- Thin tracking disks in $3 < \eta < 5$ in its own dipole field
- Very low p_T photons (≤ 10 MeV/c)



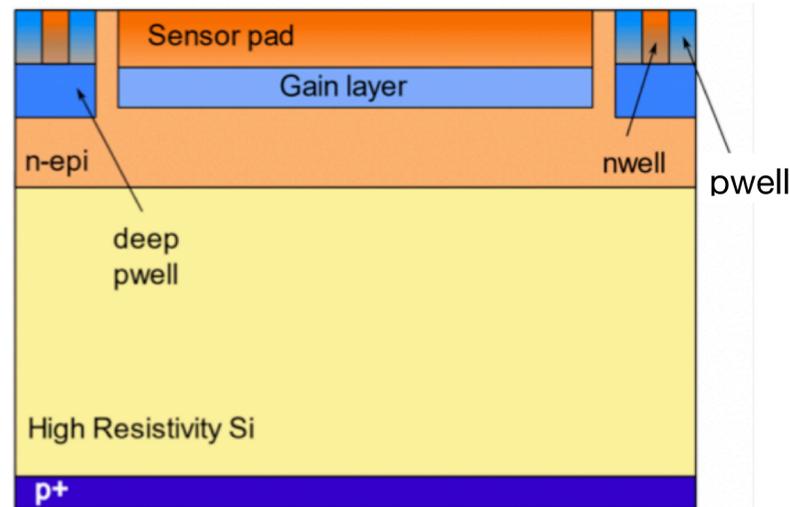
Search spot for muons $\sim 0.1 \times 0.1$ ($\eta \times \phi$)

Silicon pixel sensors

- ✦ Thinning and bending of silicon sensors
 - Expand on experience with ITS3
- ✦ Exploration of new CMOS processes
 - First in-beam test with 65 nm process
- ✦ Modularization and industrialization

Silicon timing sensors

- ✦ Characterization of SPADs/SiPMs
 - First test in beam
- ✦ Monolithic timing sensors
 - Implement gain layers



Photon sensors

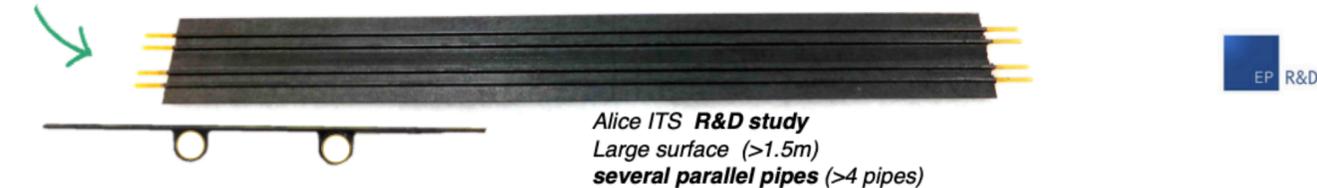
- ✦ Monolithic SiPMs
 - Integrated read-out

Detector mechanics and cooling

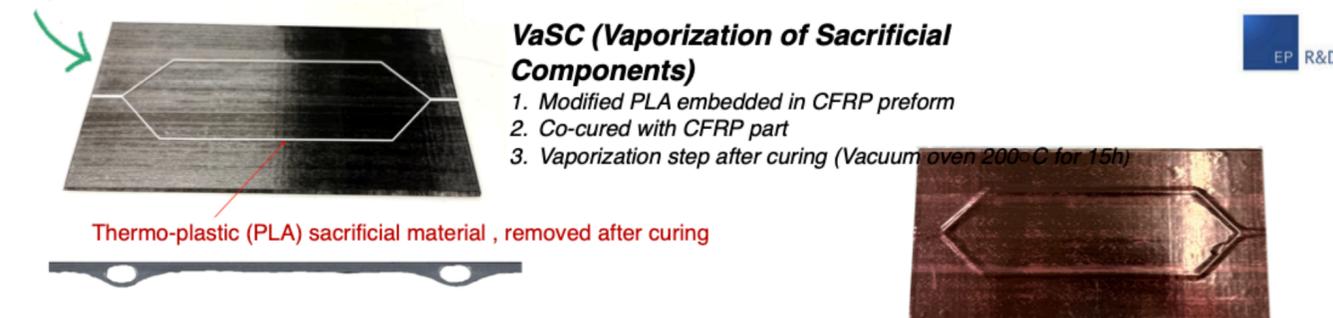
- ✦ Mechanics for operation in beam pipe
 - Establish compatible with LHC beam
- ✦ Minimization of material in the active volume
 - Micro-channel cooling

R&D has already started!

R&D ✓ Extend ALICE Coldplate concept to large surface carbon fibre ultralight substrate embedding polyimide pipes



R&D ✓ pipe-less coldplate (NEW concept) Microvascular cooling groves network embedded in Carbon substrate



Summary and outlook

ALICE 3 will provide access to fundamental properties of QCD matter at extreme energy density

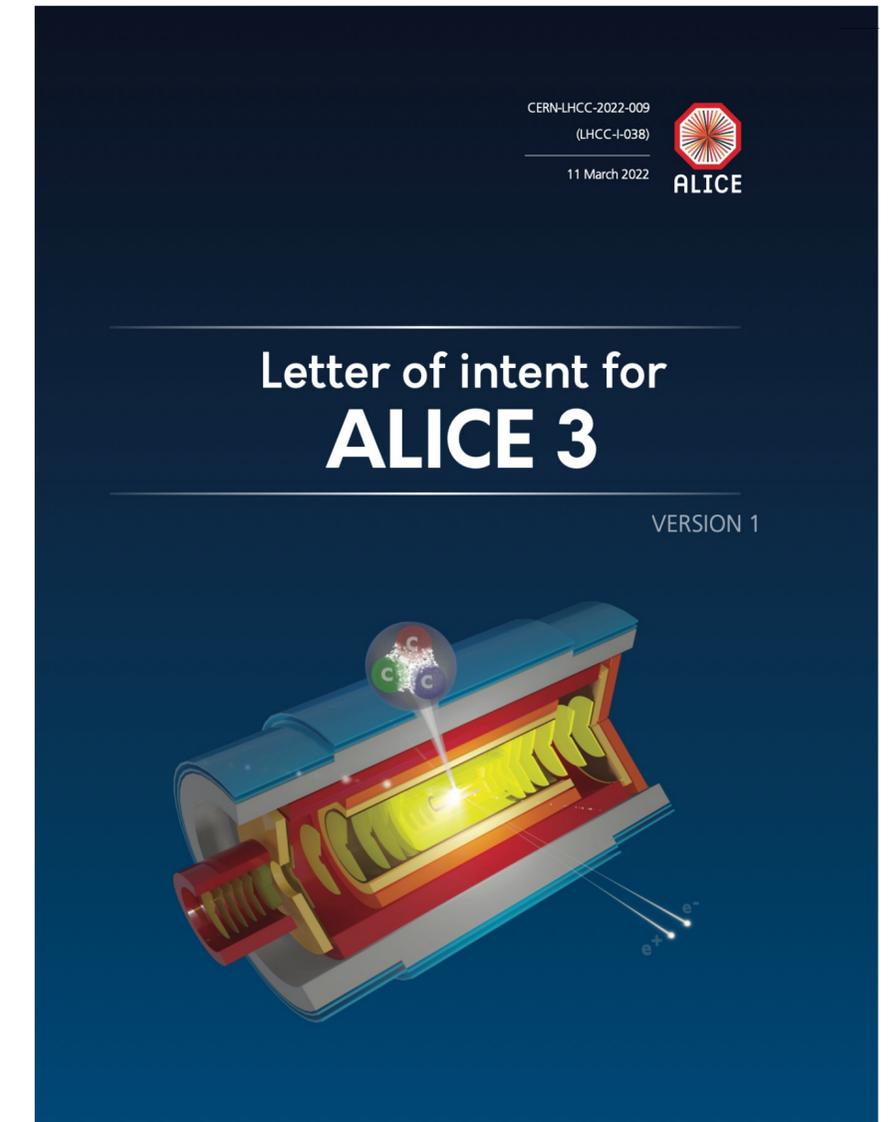
- ✦ Thermalization of heavy quarks
- ✦ Hadronisation and nature of hadronic states
- ✦ Partonic equation of state and its temperature dependence
- ✦ Deconfinement and chiral symmetry restorations, ...

Novel detector concept based on innovative technologies

- ✦ Building on experience with cutting-edge technologies pioneered in ALICE
- ✦ Requiring R&D activities in several strategic areas

Planning

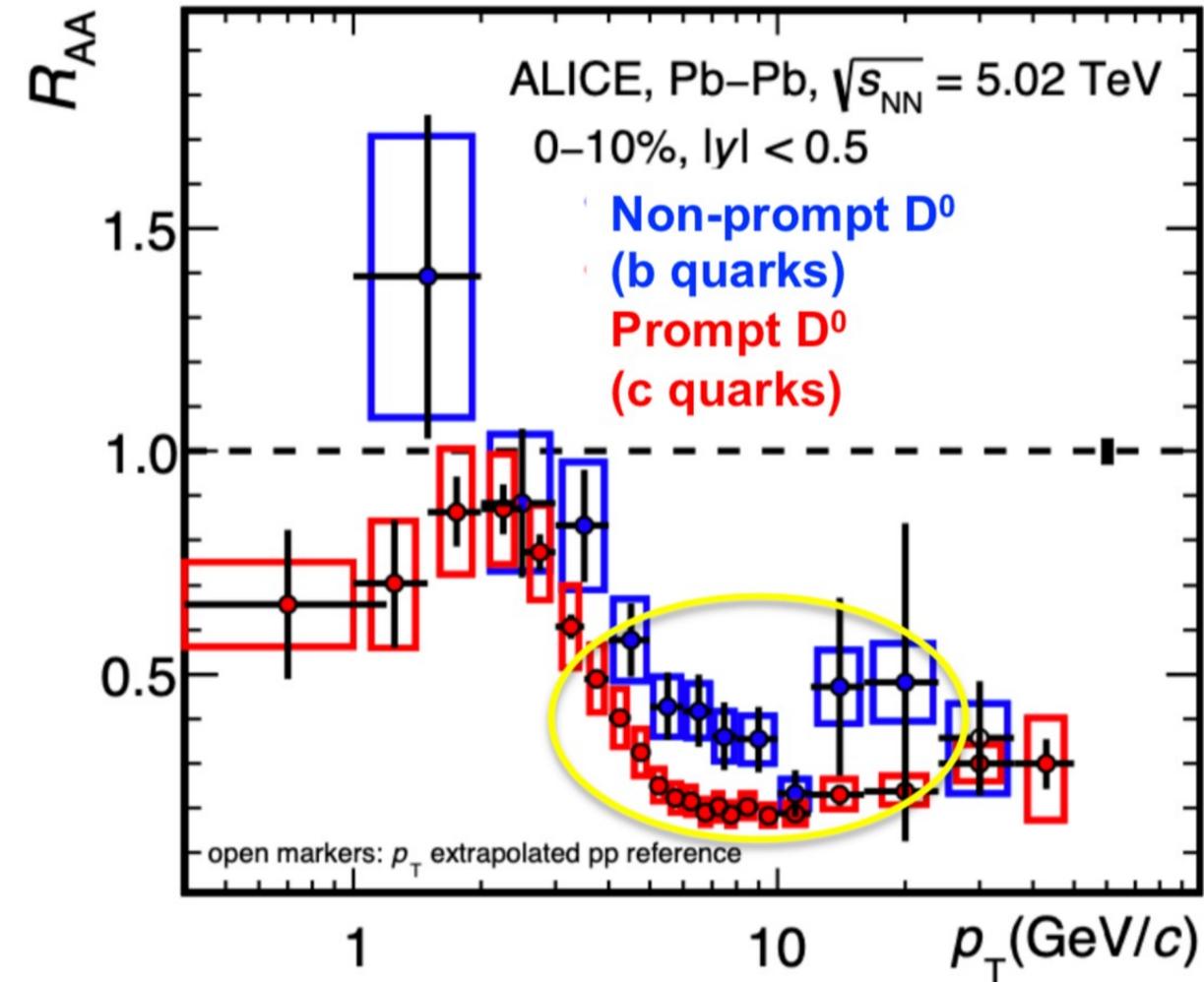
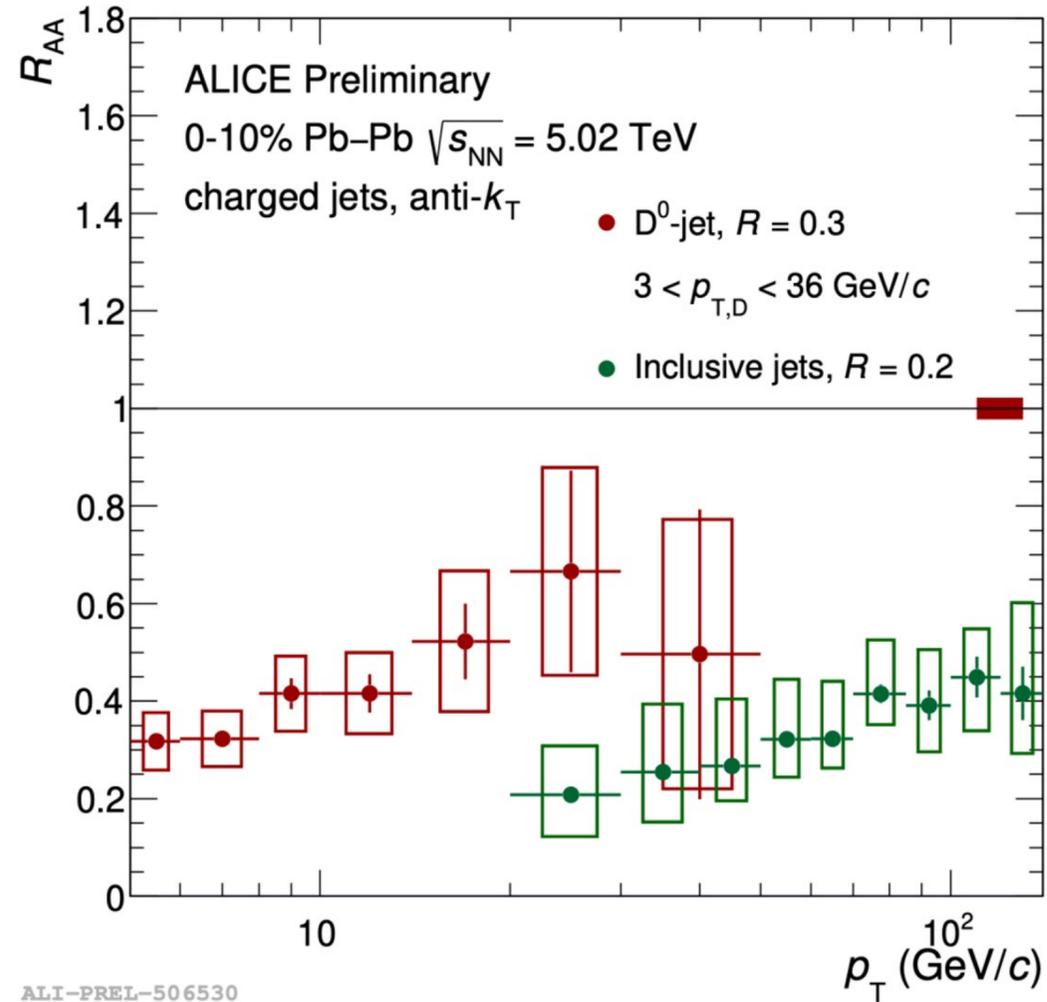
- ✦ **2023-25**: selection of technologies, small-scale proof of concept prototypes
- ✦ **2026-27**: large-scale engineered prototypes → Technical Design Reports
- ✦ **2028-31**: construction and testing
- ✦ **2032**: contingency
- ✦ **2033-34**: installation and commissioning



[CERN-LHCC-2022-009](#)

Letter of Intent submitted in 2021
LHCC review → **Recommendation**
 to proceed with **R&D program**

Thank you for your attention!



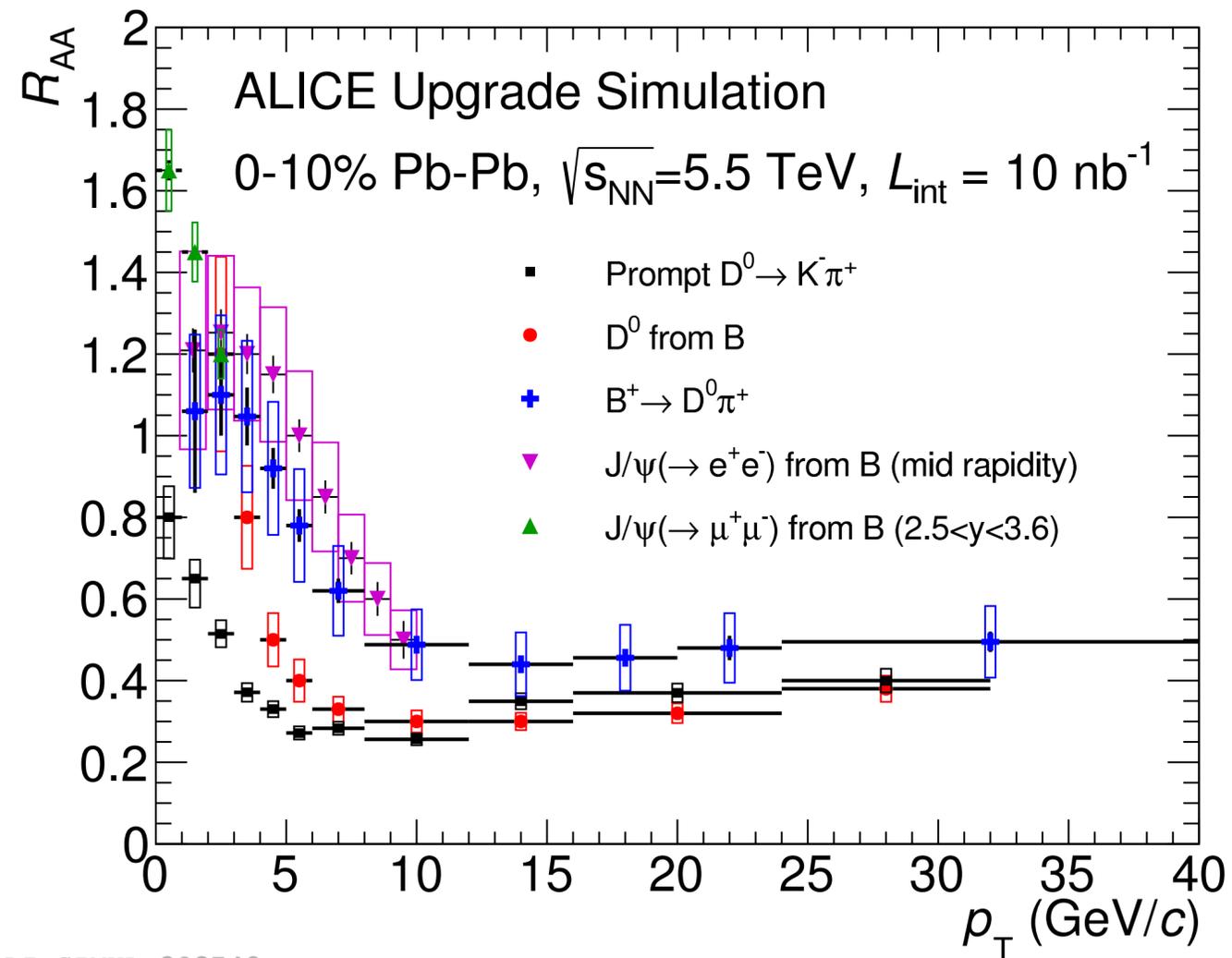
Hint of a higher R_{AA} of D^0 -jets compared to inclusive jets in Pb-Pb

- ✦ Quark vs. gluon energy loss
- ✦ Mass effects (dead cone)

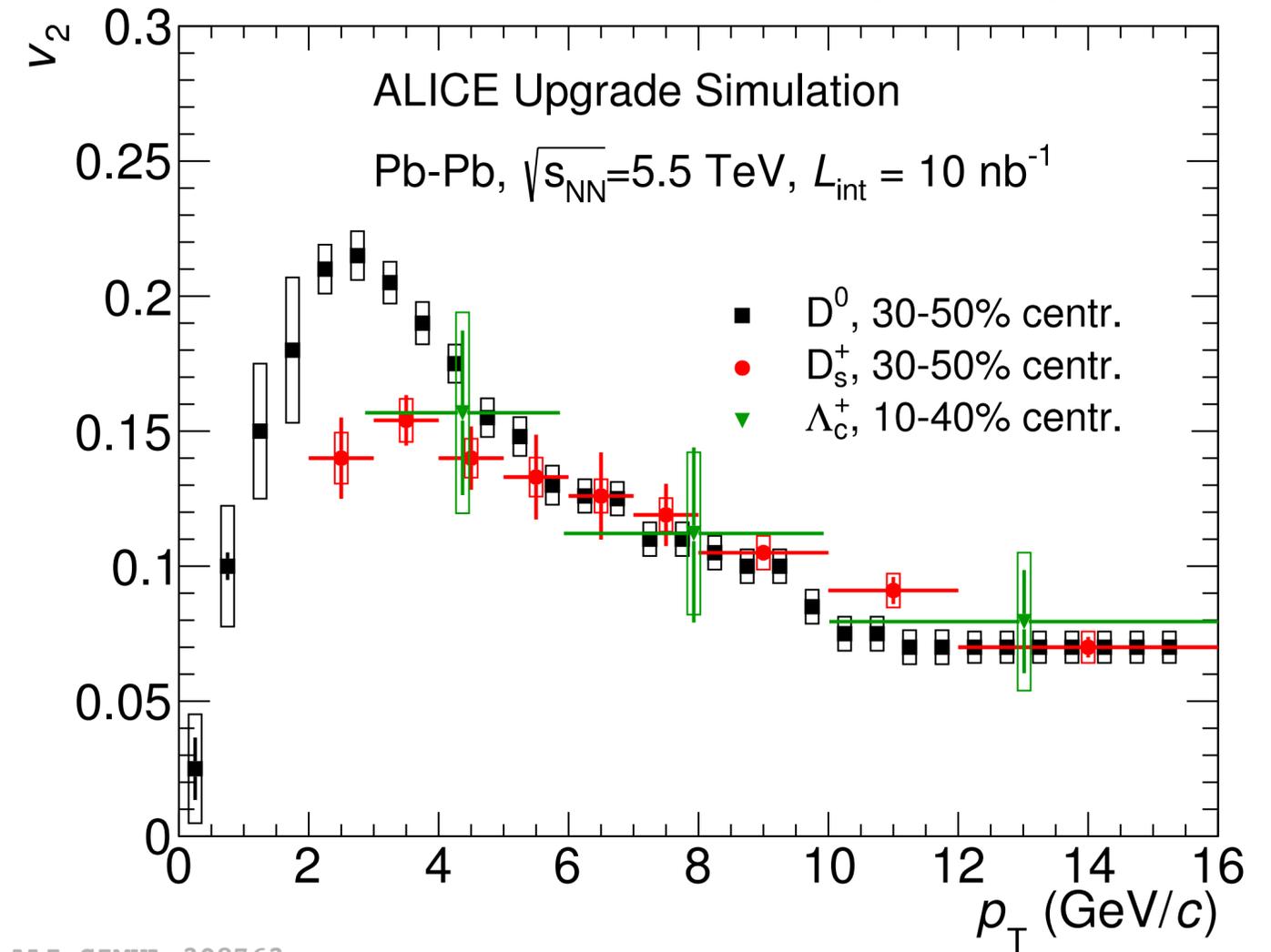
Less suppression for non-prompt D mesons from B decay than prompt D mesons

Determining transport coefficients: heavy flavour

Run 3+4



ALI-SIMUL-308749

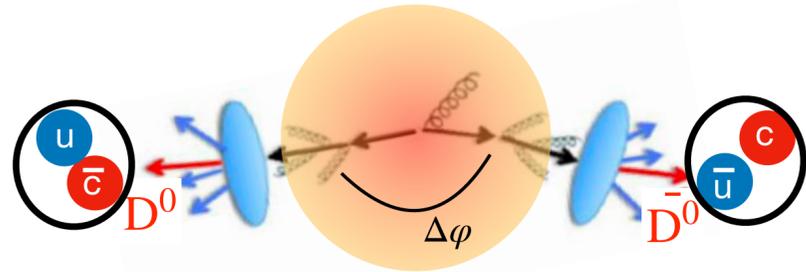


ALI-SIMUL-308763

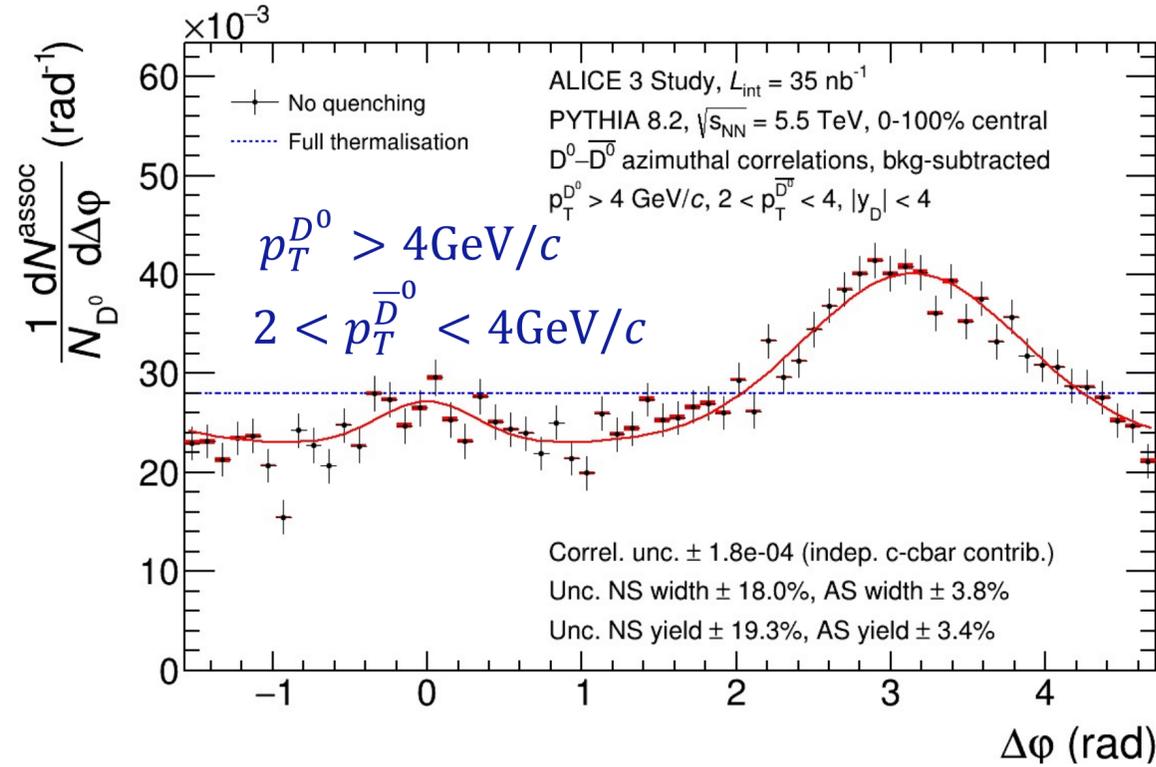
Run 3+4: high-precision beauty measurements; heavy flavor baryons

$D\bar{D}$ azimuthal correlations

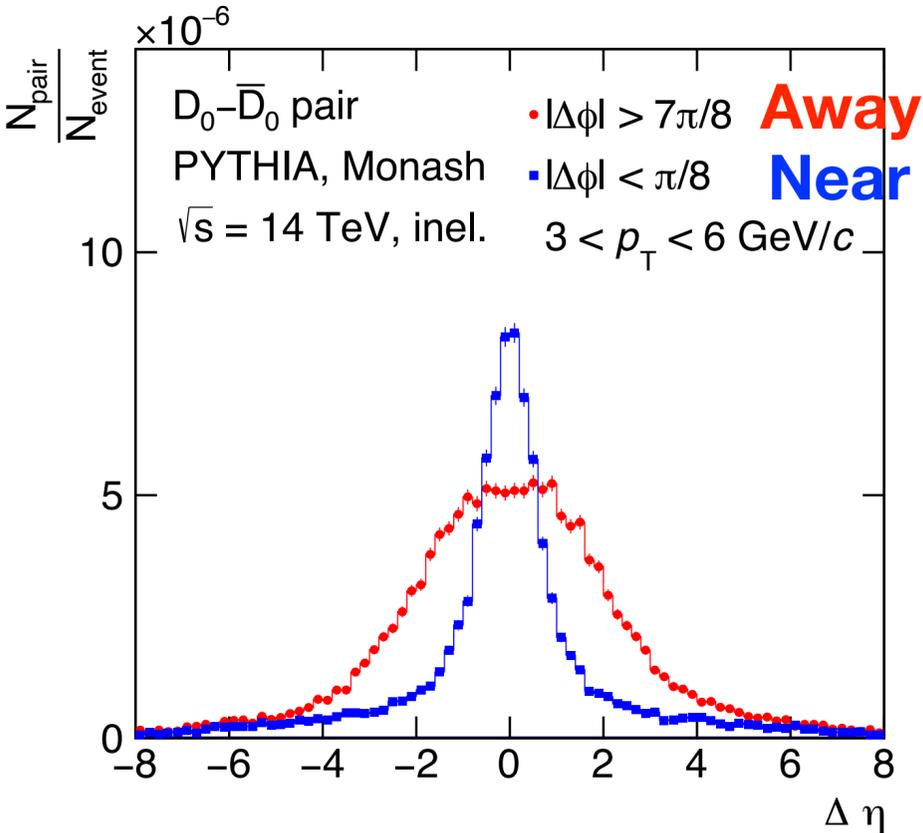
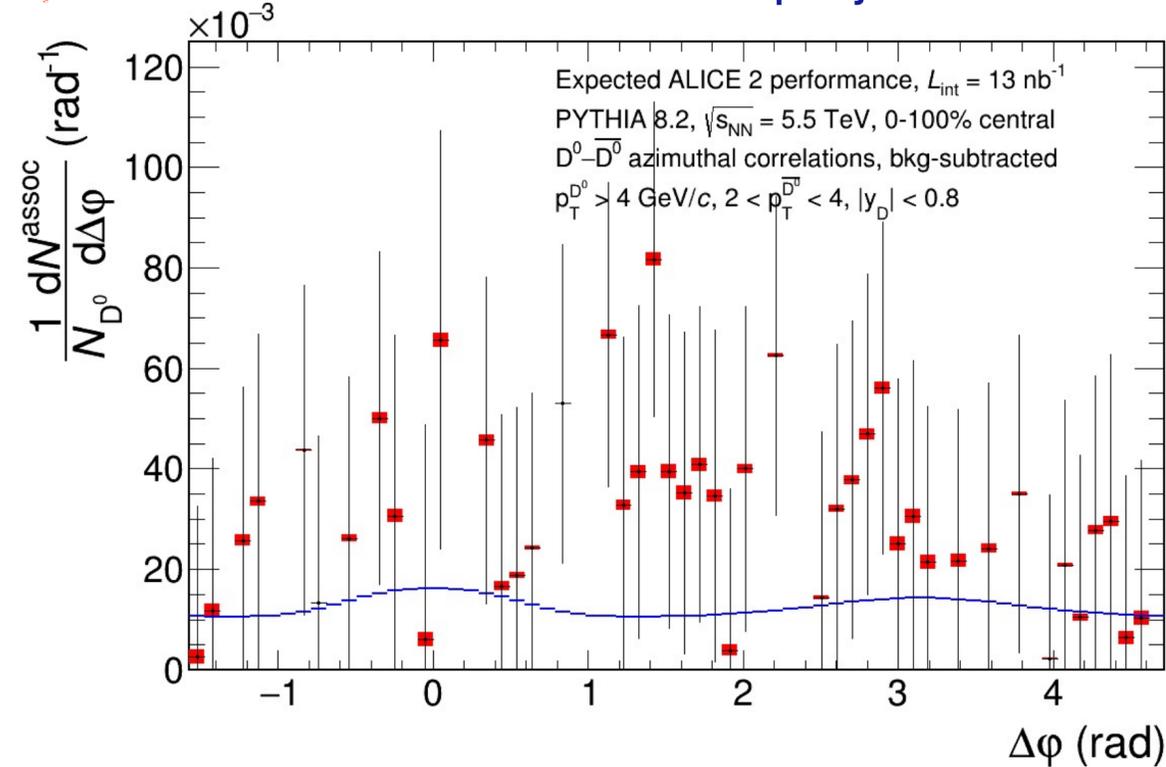
Run 5



ALICE 3 projection: $D\bar{D}$ correlations



← ALICE Run 3 + 4 projection



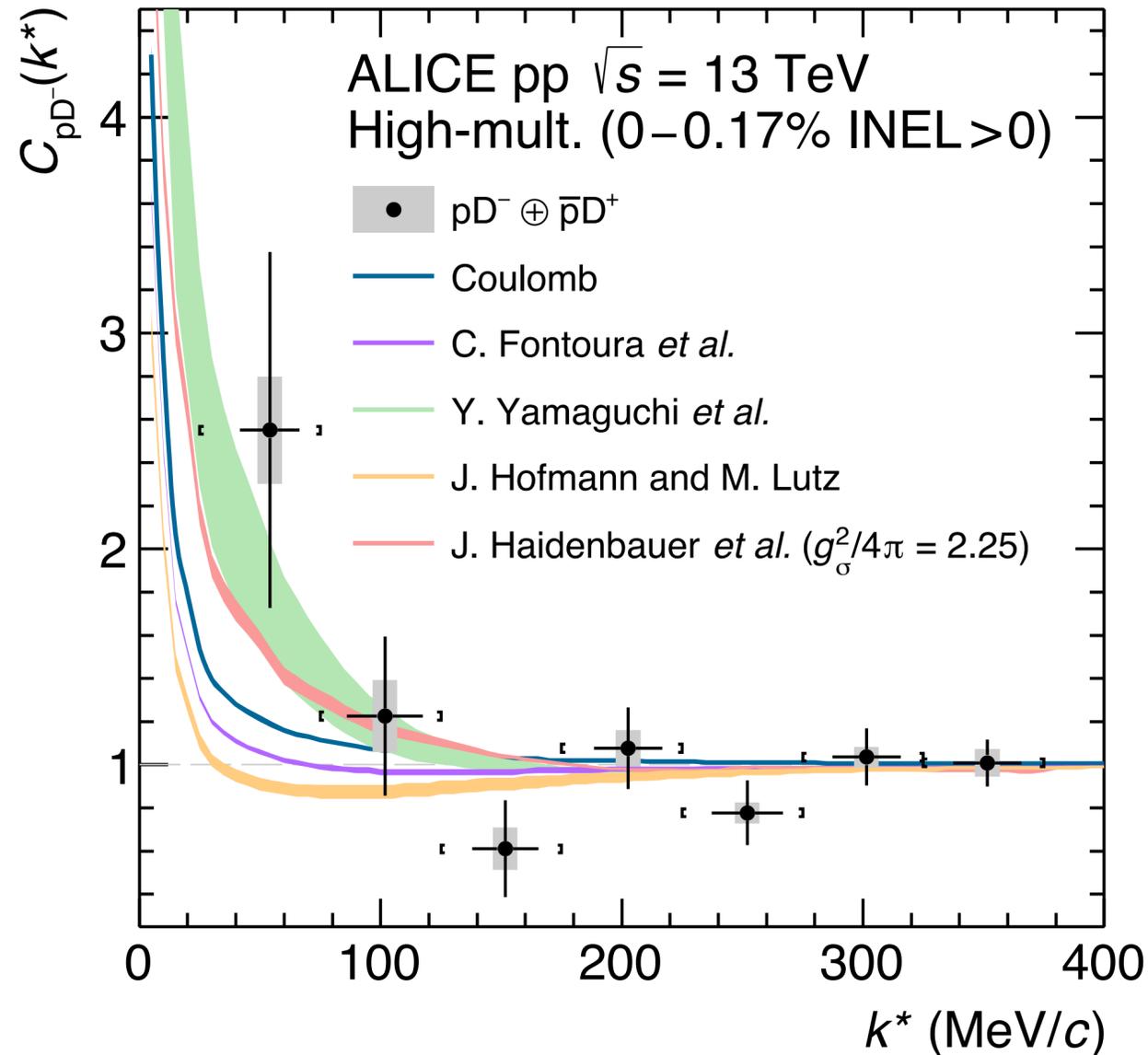
Angular decorrelation directly probes QGP scattering

- Sensitive to energy loss mechanisms, degree of thermalisation
- Strongest signal at low p_T

Very challenging measurement: need good purity, efficiency and η coverage

Heavy-ion measurement only possible with ALICE 3!

arXiv:2201.05352



First measurement of correlation functions involving charm hadrons

- allows access to the strong interaction between a proton and a charm meson

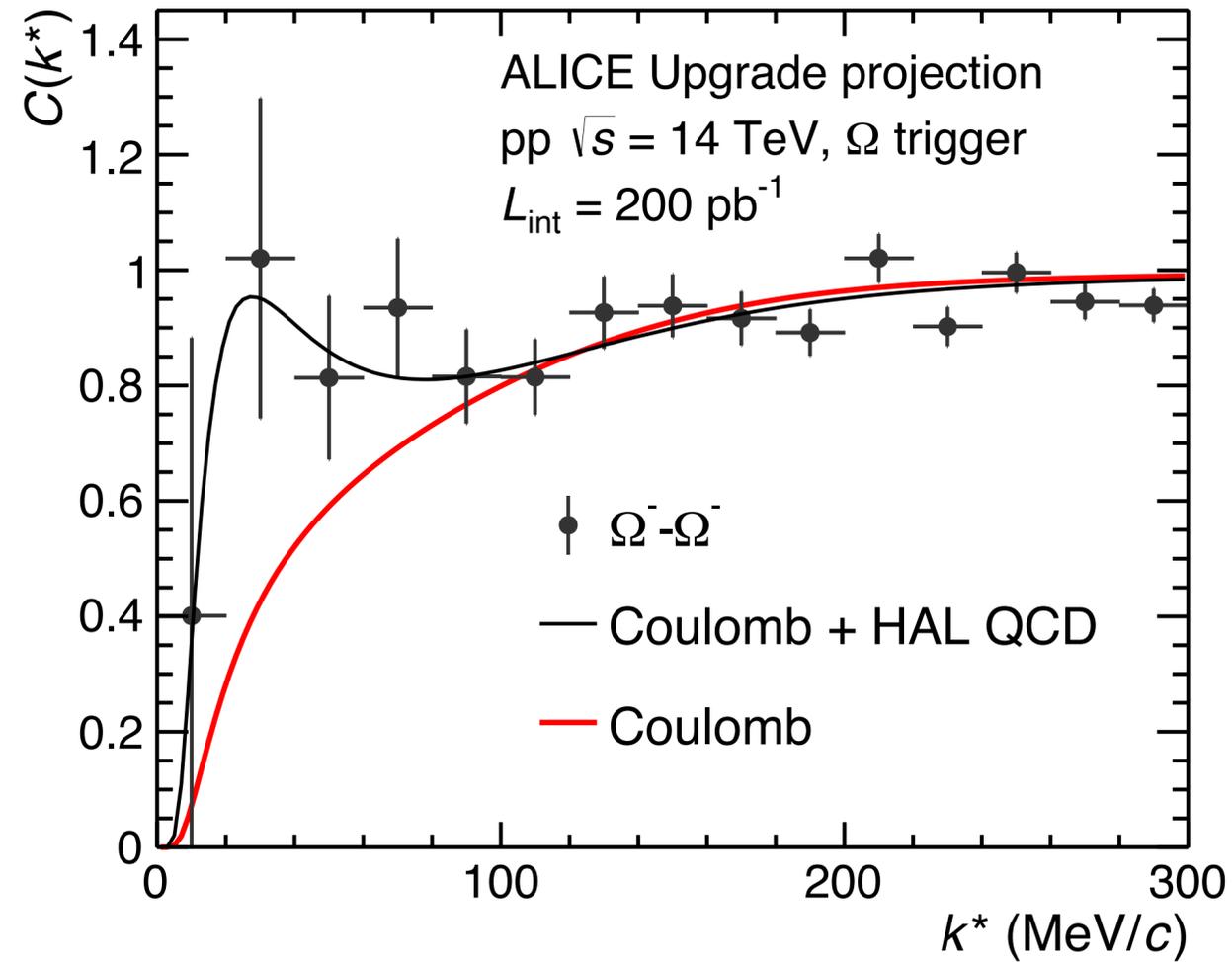
p-D⁻: genuine pD⁻ correlation function reflects the pattern of an overall attractive interaction

- degree of consistency improves when considering, in addition, state-of-the-art models that predict an attractive strong ND interaction with or without a bound state

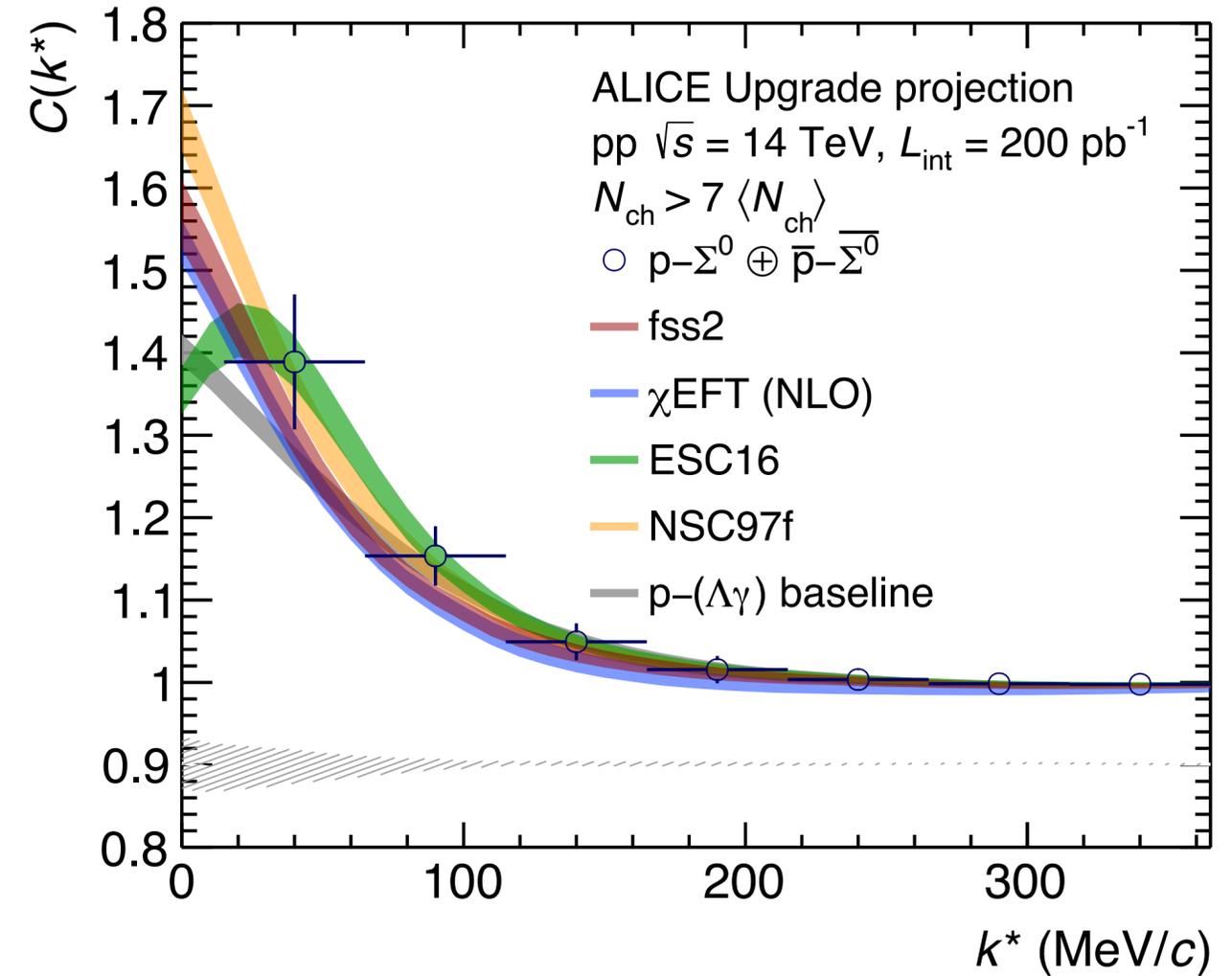
Paves the way for precision studies of the strong interactions involving charm hadrons!

Model	f_0 (I = 0)	f_0 (I = 1)	n_σ
Coulomb			(1.1–1.5)
Haidenbauer et al. [21]			
– $g_\sigma^2/4\pi = 1$	0.14	–0.28	(1.2–1.5)
– $g_\sigma^2/4\pi = 2.25$	0.67	0.04	(0.8–1.3)
Hofmann and Lutz [22]	–0.16	–0.26	(1.3–1.6)
Yamaguchi et al. [24]	–4.38	–0.07	(0.6–1.1)
Fontoura et al. [23]	0.16	–0.25	(1.1–1.5)

Ω - Ω correlations



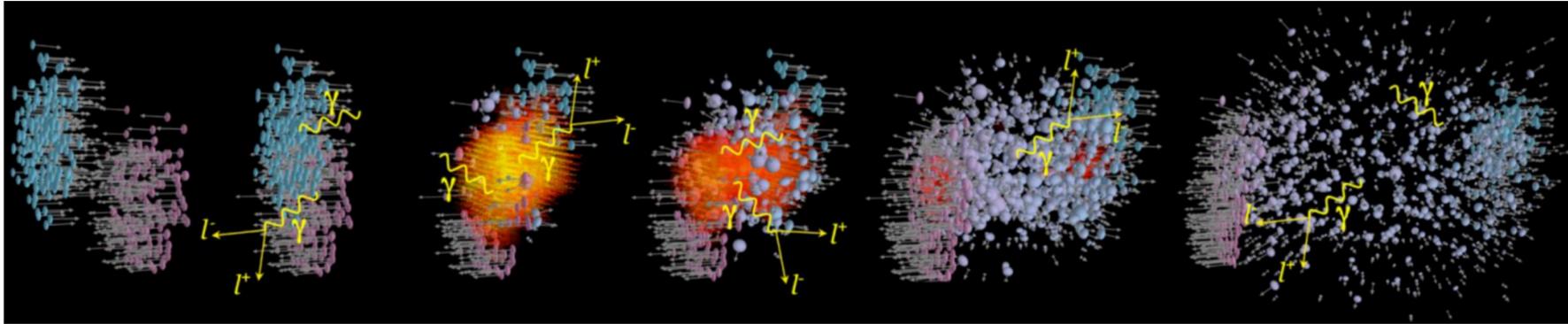
p- Σ correlations



ALICE pp program document

Probe interaction potential at the fm scale!

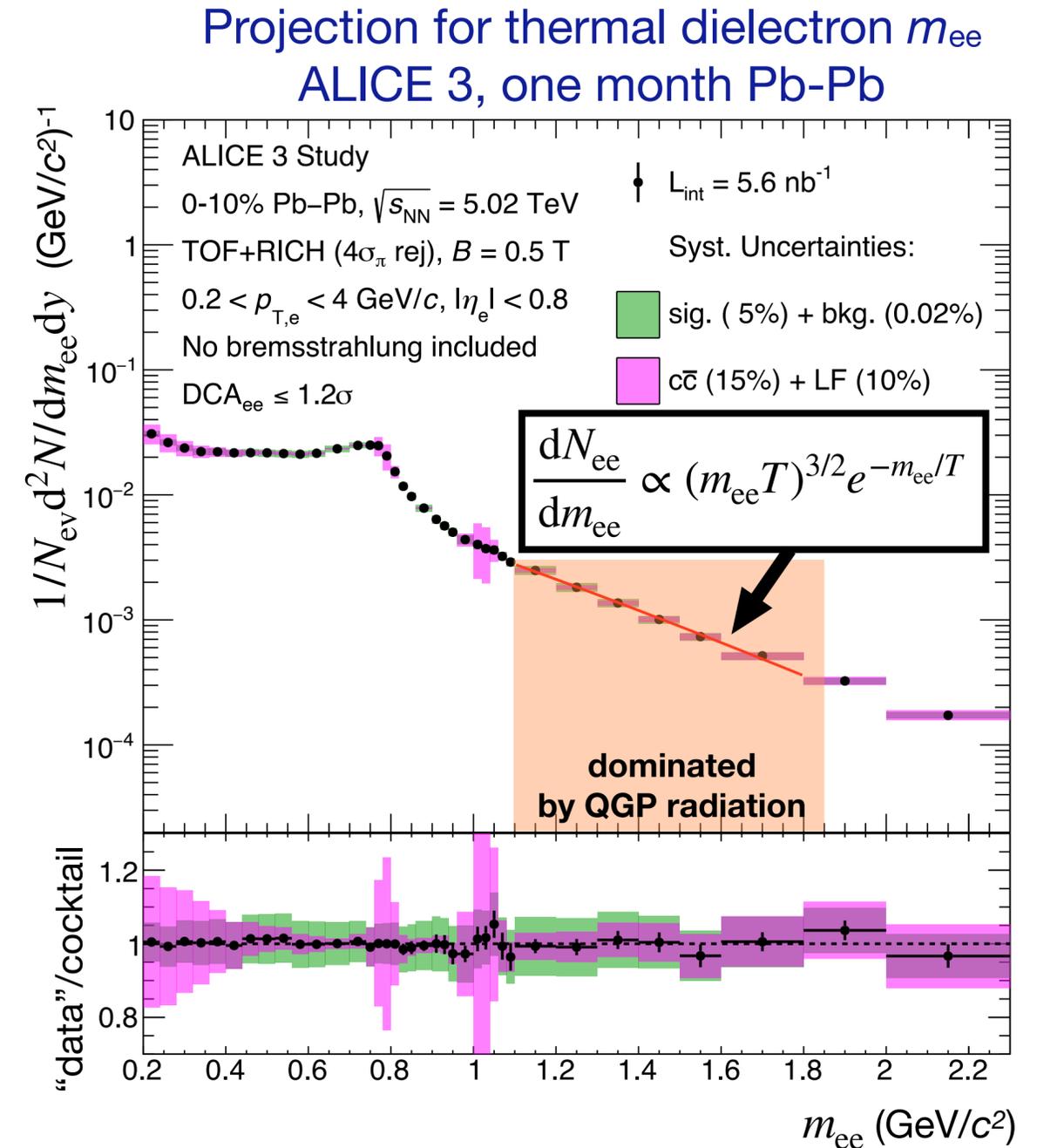
Electromagnetic radiation



Determination of **QGP temperature** throughout the evolution requires precision measurement of dielectrons

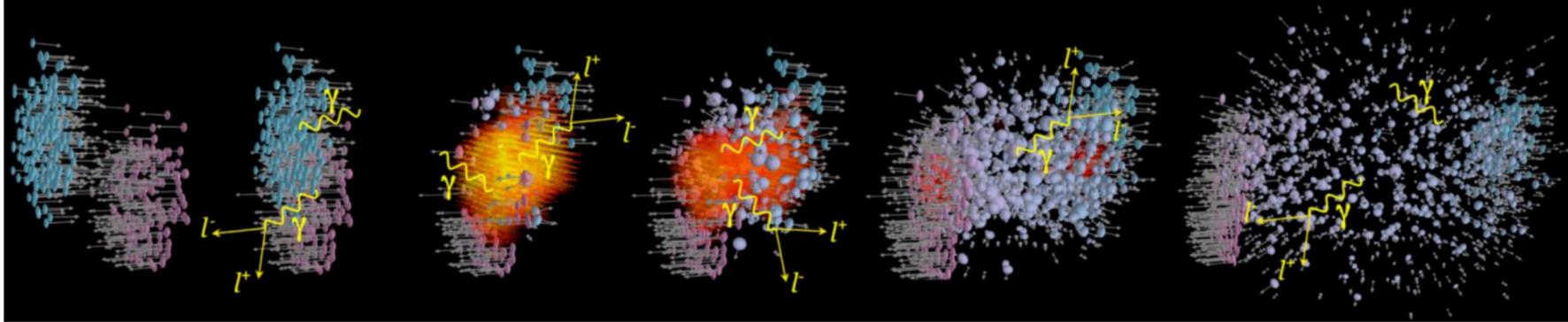
- Average temperature T of the QGP using thermal dielectron m_{ee} spectrum at $m_{ee} > 1.1 \text{ GeV}/c^2$ (QGP radiation dominated)
- **Extremely challenging** because of backgrounds \rightarrow need small detector material budget to minimize γ conversion background & excellent pointing resolution to suppress heavy-flavor decay electrons

Possible with **ALICE 3** thanks to excellent pointing resolution + small material budget



R. Rapp, Adv. High Energy Phys. 2013 (2013) 148253
P.M Hohler and R. Rapp, Phys. Lett. B 731 (2014) 103
ALICE CERN-LHCC-2022-009

Electromagnetic radiation



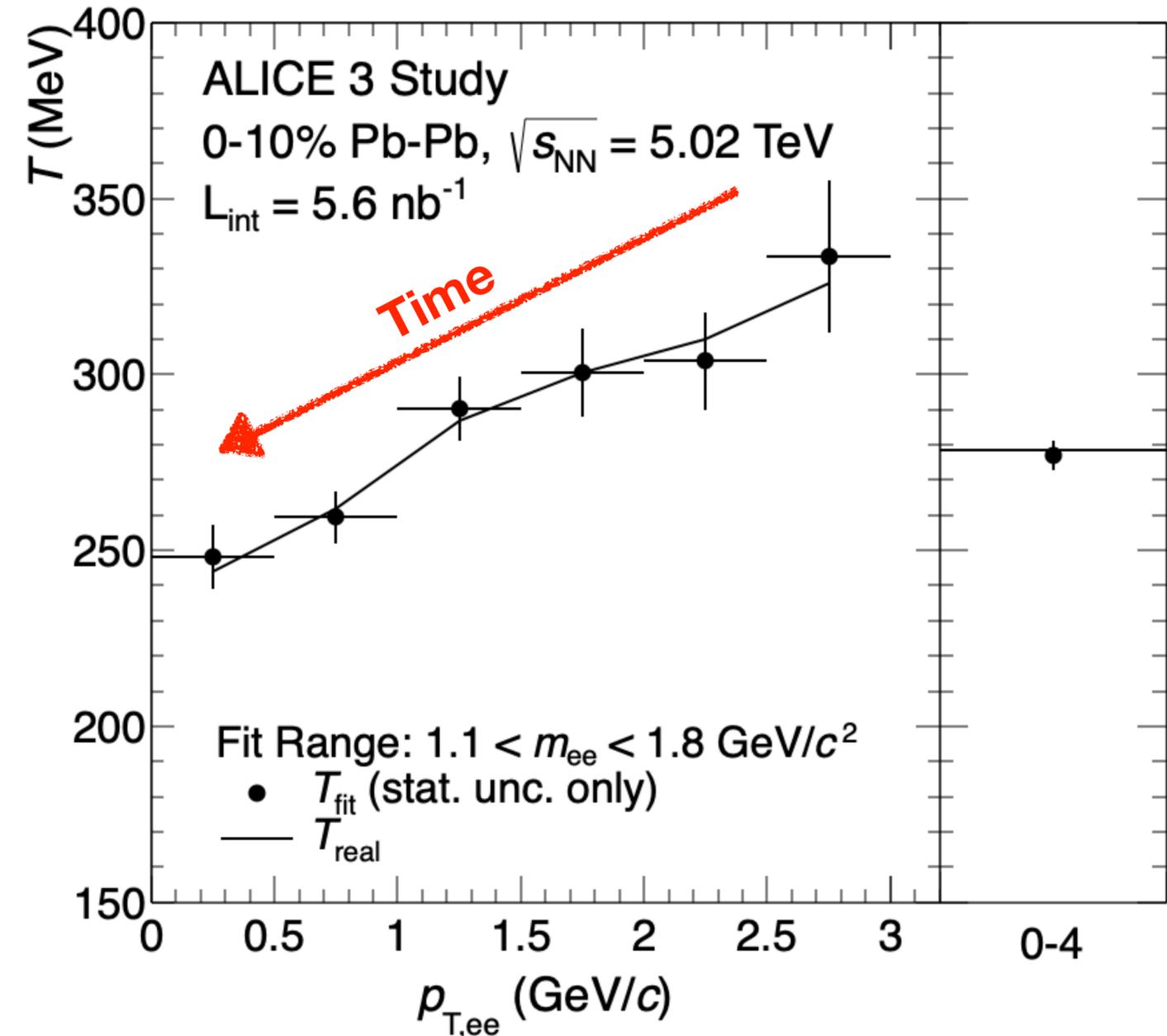
First T measurements in Run 3 and 4

ALICE 3: probe time dependence of T

• Double differential spectra: T vs mass, $\rho_{T,ee}$

Complementary measurements with real photons

Expected statistical errors of T as a function of $\rho_{T,ee}$
ALICE 3, one month Pb-Pb

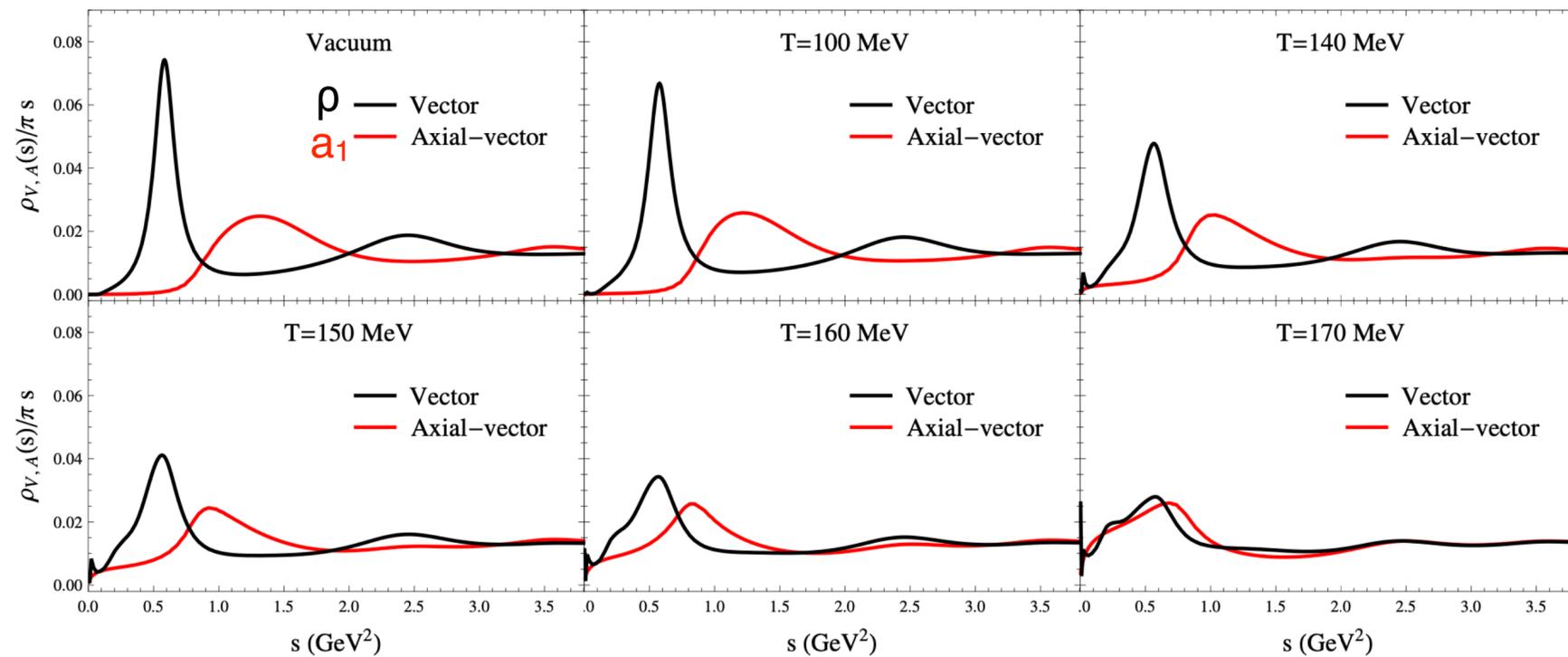
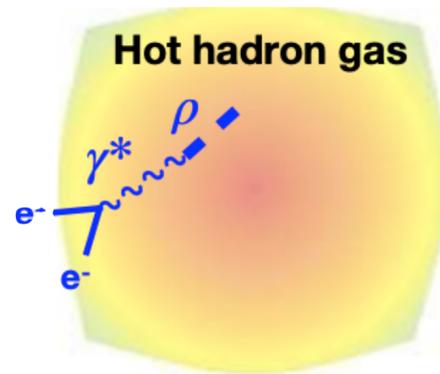


Chiral symmetry restoration

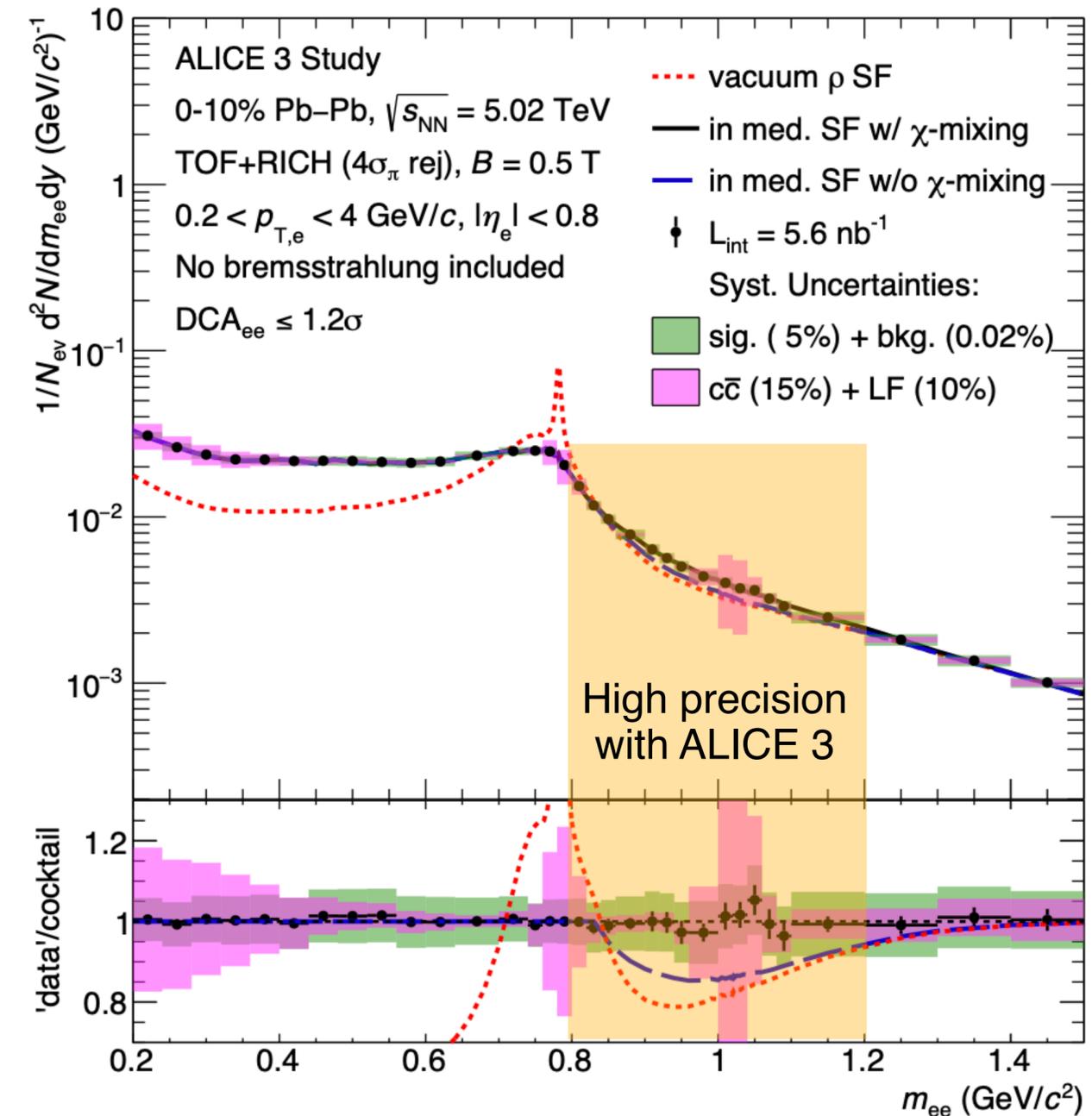
Study chiral symmetry restoration (CSR) mechanisms using thermal dielectron spectrum m_{ee} at $m_{ee} < 1.2 \text{ GeV}/c^2$

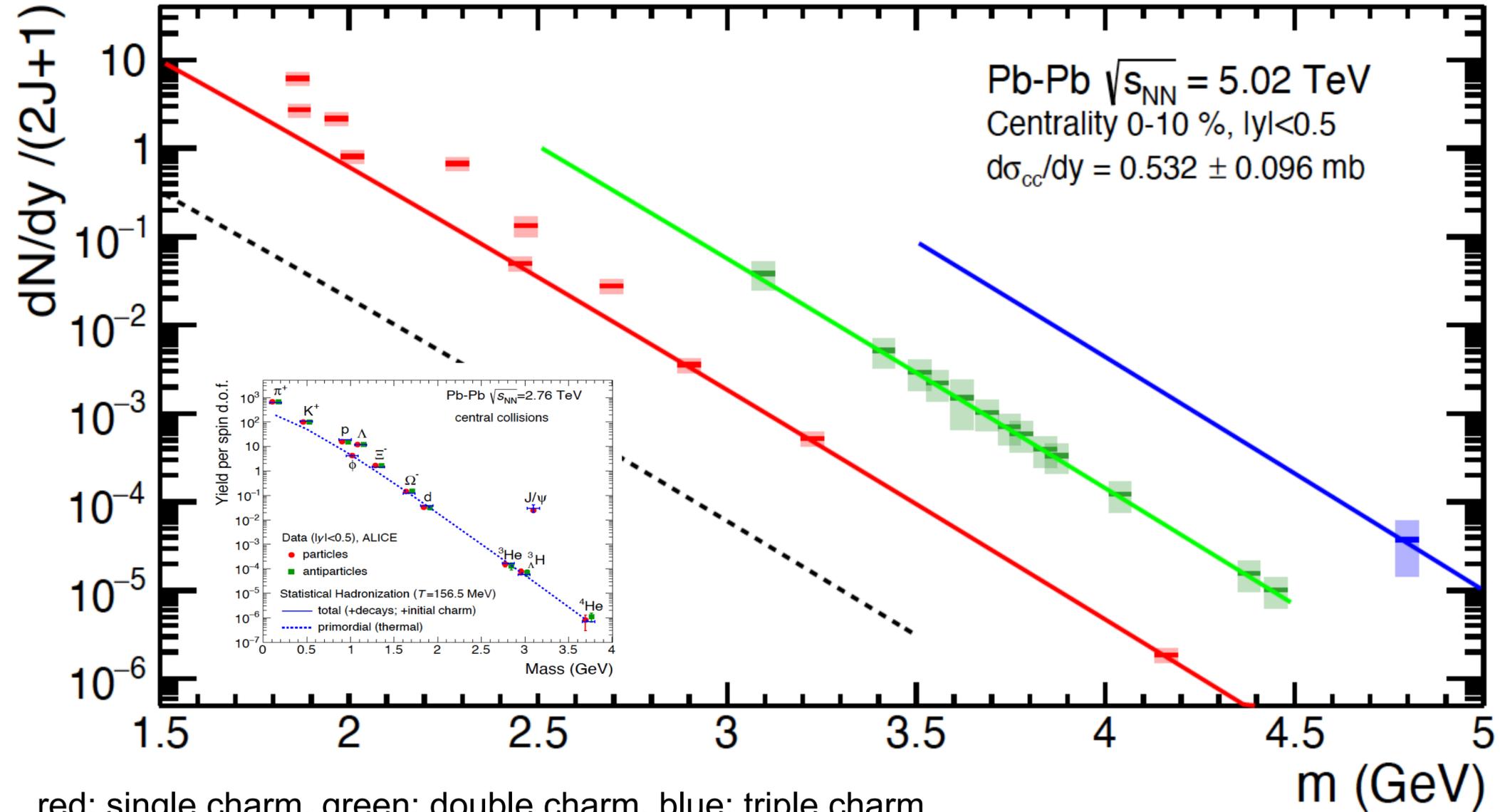
- Thermal production of ρ
- ρ sensitive to surrounding medium
- Modification of ρ spectral function related to CSR

⇒ ALICE 3 access to CSR mechanisms like ρ - a_1 mixing



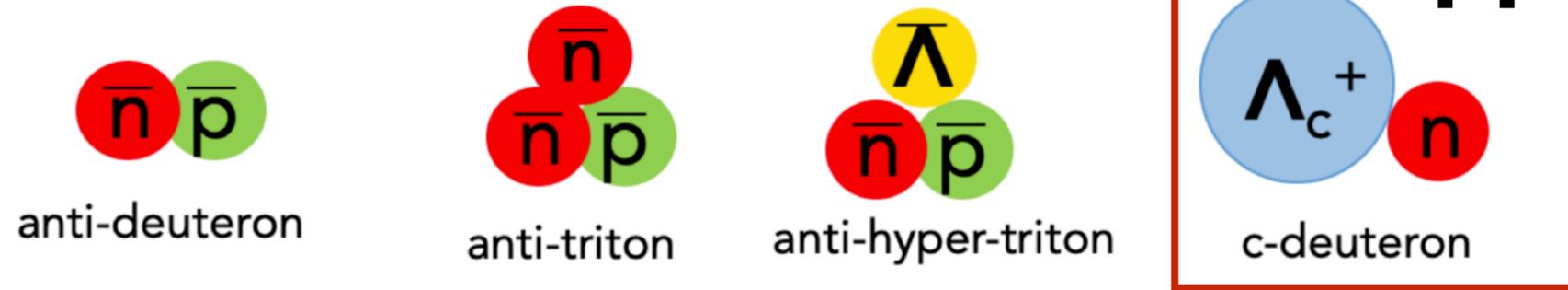
ALICE 3 mass spectrum



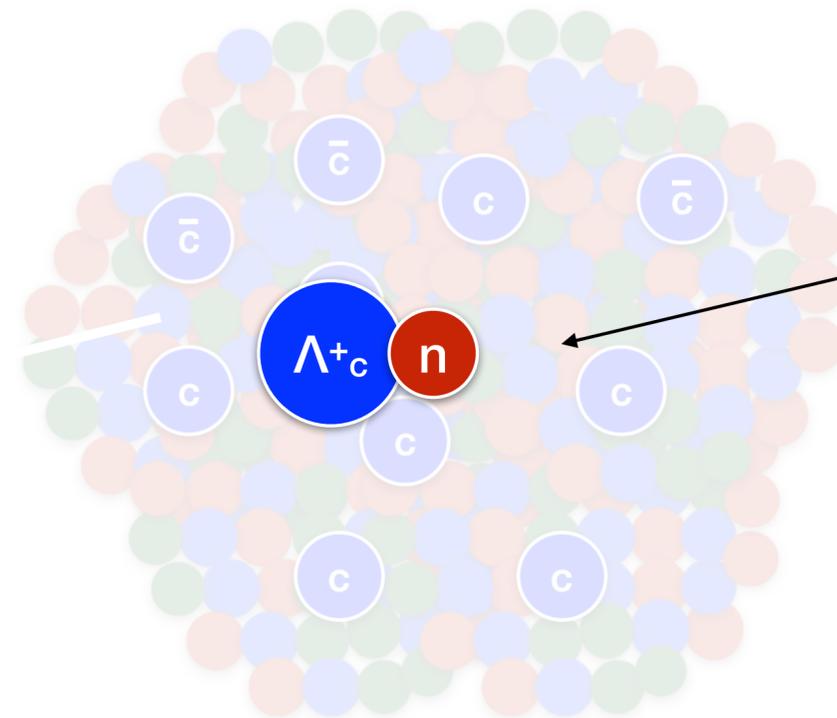


22

Search for charmed hyper nuclei “c-deuteron”



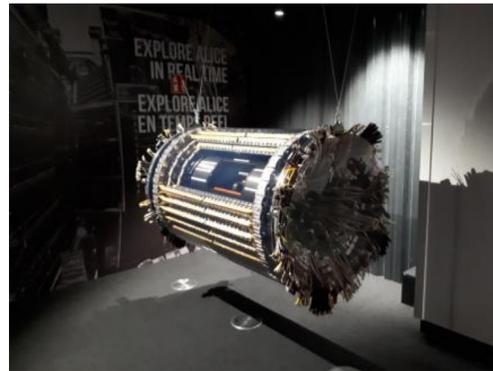
- c-deuteron d_{Λ_c}
 - bound state of neutron- Λ_c
 - lightest possible hyper-nucleus with charm
 - $c\tau \sim c\tau(\Lambda_c) \sim 60 \mu\text{m}$
 - Large uncertainty from branching ratio (0.18~0.6 %) and also from production model
 - mass = $3.226 \text{ GeV}/c^2$
 - decay channel: $d + K^- + \pi^+$



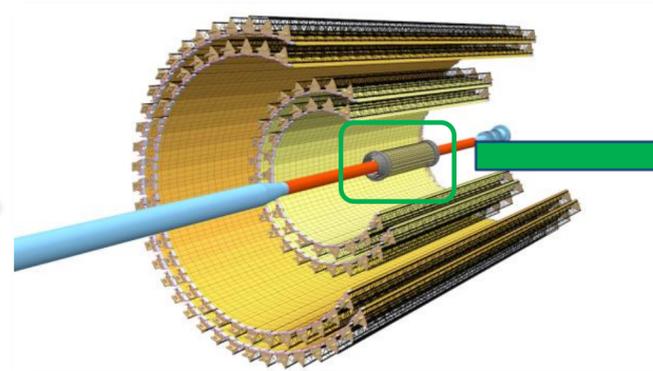
Weakly bound object: strong insights into the QGP and the hadronization phase
 → constraint for in-medium and molecular coalescence

ALICE ITS upgrades in Run 3 and 4

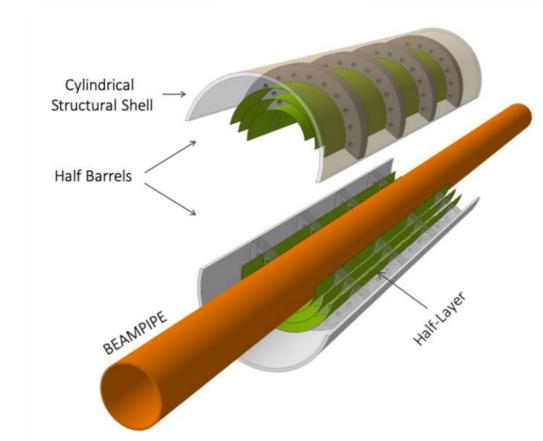
ITS 1 (ALICE exhibition)



ITS 2



ITS 3



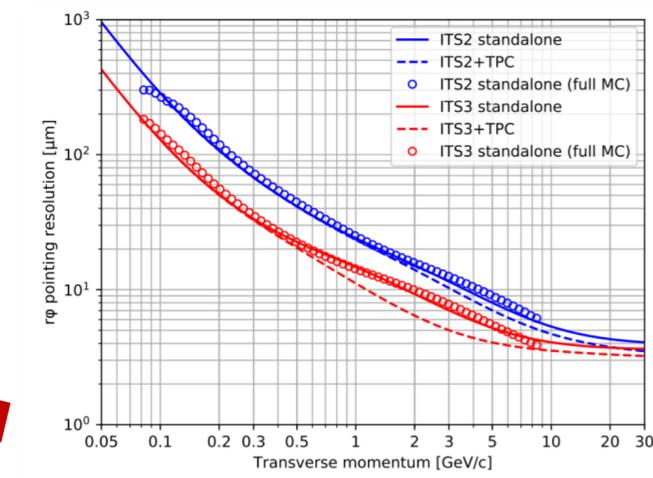
- 6 layers:
- 2 layers of Silicon Pixel Detector (SPD)
 - 2 layers of Silicon Drift Detector (SDD)
 - 2 layers of Silicon Strip Detector (SSD)

- 7 layers of ALPIDE Monolithic Active Pixel Sensors
- 10 m² active silicon area
 - 12.6 x 10⁹ pixels

- 3 truly cylindrical Si pixel layers
- ultra-thin wafer-sized curved sensors
 - no external connections air-flow cooling

	ITS 1	ITS 2	ITS3
Distance to interaction point (mm)	39	22	18
X_0 (innermost layer) (%)	~1.14	~0.35	0.05
Pixel pitch (μm^2)	50 x 425	27 x 29	0(15x 15)
Readout rate (kHz)	1	100	
Spatial resolution ($r\phi \times z$) (μm^2)	11 x 100	5 x 5	

- Closer to interaction point
- Lower material budget
- Improved granularity
- Faster readout
- Improved resolution



x ~2 improved in pointing resolution (ITS2 →ITS3)