

Light Cone 2022 conference

Non-perturbative insights into the properties of particles at finite temperature

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Local QFT beyond the vacuum

“Local QFT” → Define QFTs using a core set of physically-motivated assumptions, e.g. causality, Poincaré invariance, positive energy, etc.

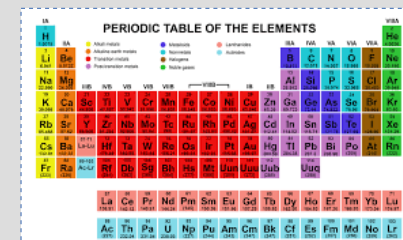
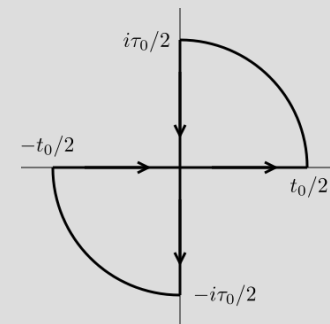
- This approach has led to numerous fundamental ***non-perturbative*** insights:

→ Relationship between Minkowski and Euclidean QFTs

→ *CPT* is a symmetry of *any* QFT

→ Connection between spin & particle statistics

→ Existence of dispersion relations

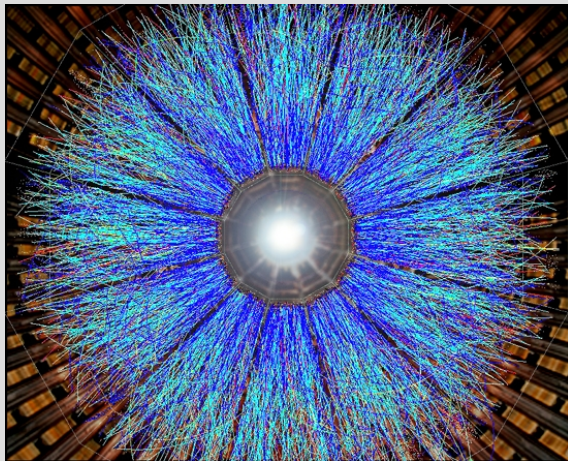


PERIODIC TABLE OF THE ELEMENTS

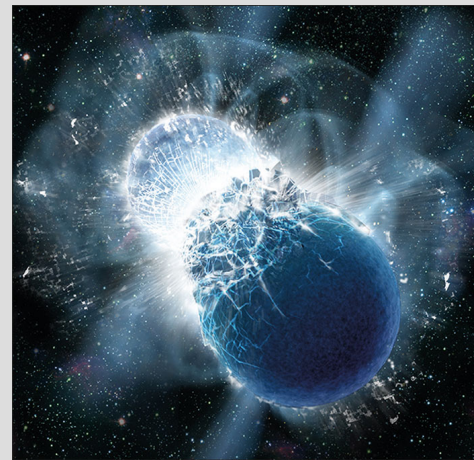
The image shows a standard periodic table of elements, color-coded by groups. It includes the title 'PERIODIC TABLE OF THE ELEMENTS' and a legend for the color coding: s-block (yellow), p-block (various colors), d-block (blue), f-block (red), and noble gases (green). The table lists elements from Hydrogen (H) to Oganesson (Og).

Local QFT beyond the vacuum

- But... local QFT only describes particle dynamics in the *vacuum* state
→ What about “extreme environments” where a system is in a state that is either hot, dense, or both?



[Brookhaven National Lab]



[Skyworks Digital Inc.]

- Understanding local QFT in such environments is essential, and yet has received relatively little attention. Some important progress was made by J. Bros and D. Buchholz for temperatures $T > 0$

→ See: [Z. Phys. C 55 (1992), Ann. Inst. H.Poincare Phys.Theor. 64 (1996), Nucl. Phys. B 429 (1994), Nucl. Phys. B 627 (2002)]

Non-perturbative implications

- By demanding fields to be **local** ($[\phi(x), \phi(y)] = 0$ for $(x-y)^2 < 0$) this imposes significant constraints on the structure of correlation functions

→ For $T = 1/\beta > 0$, the thermal commutator has the representation:

$$\rho(\omega, \vec{p}) := \mathcal{F} [\langle [\phi(x), \phi(y)] \rangle_\beta] = \int_0^\infty ds \int \frac{d^3 \vec{u}}{(2\pi)^2} \epsilon(\omega) \delta(\omega^2 - (\vec{p} - \vec{u})^2 - s) \tilde{D}_\beta(\vec{u}, s)$$

Note: this is a **non-perturbative** representation!

“Thermal spectral density”

- In the limit of vanishing temperature one recovers the well-known *Källén-Lehmann* spectral representation:

$$\rho(\omega, \vec{p}) \xrightarrow{\beta \rightarrow \infty} 2\pi \epsilon(\omega) \int_0^\infty ds \delta(p^2 - s) \rho(s)$$

e.g. $\rho(s) = \delta(s - m^2)$ for a massive free theory

Important question: what does the thermal spectral density $\tilde{D}_\beta(\mathbf{u}, s)$ look like?

Non-perturbative implications

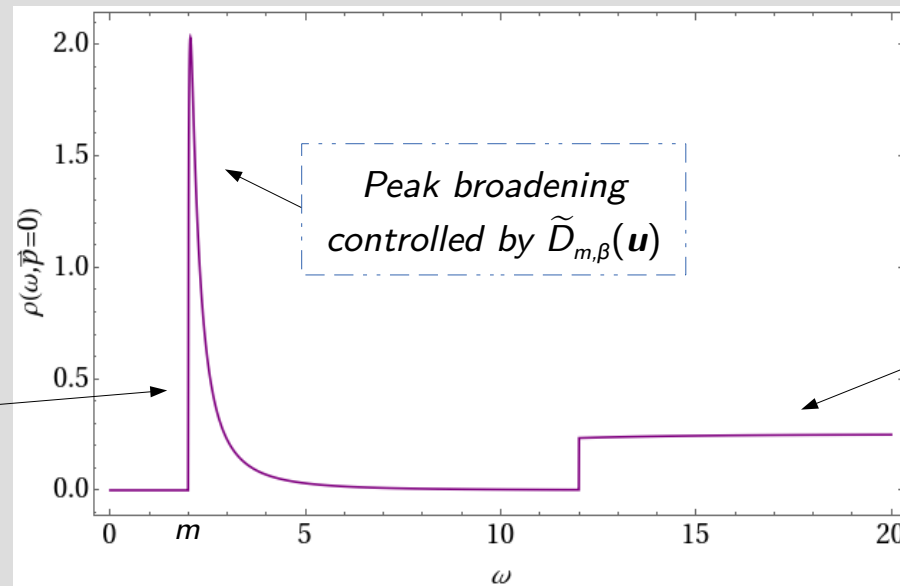
- A natural decomposition [Bros, Buchholz, *NPB* 627 (2002)] is:

$$\tilde{D}_\beta(\vec{u}, s) = \tilde{D}_{m,\beta}(\vec{u}) \delta(s - m^2) + \tilde{D}_{c,\beta}(\vec{u}, s)$$

“Damping factor”

Continuous component

Causes $T=0$ mass pole m to be screened by thermal effects



Fixes T -dependence of continuous spectral contributions

→ Damping factors hold the key to understanding in-medium effects!

In-medium observables from Euclidean data

- In many instances, $T > 0$ Euclidean data is used to calculate observables, e.g. spectral functions $\rho_r(\omega, \mathbf{p})$ from $C_\Gamma(\tau, \vec{x}) = \langle O_\Gamma(\tau, \vec{x}) O_\Gamma(0, \vec{0}) \rangle_T$ where O_Γ is some particle-creating operator

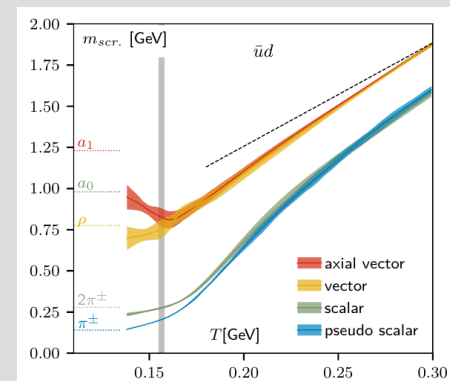
$$\tilde{C}_\Gamma(\tau, \vec{p}) = \int_0^\infty \frac{d\omega}{2\pi} \frac{\cosh \left[\left(\frac{\beta}{2} - |\tau| \right) \omega \right]}{\sinh \left(\frac{\beta}{2} \omega \right)} \rho_\Gamma(\omega, \vec{p})$$

→ Determine $\rho_r(\omega, \mathbf{p})$ given $C_\Gamma(\tau, \mathbf{p})$: *problem is ill-conditioned, need more information!*

- Another quantity of interest in lattice studies is the spatial correlator

$$C_\Gamma(x_3) = \int_{-\infty}^{\infty} dx_1 \int_{-\infty}^{\infty} dx_2 \int_{-\frac{\beta}{2}}^{\frac{\beta}{2}} d\tau C_\Gamma(\tau, \vec{x}) = \int_{-\infty}^{\infty} \frac{dp_3}{2\pi} e^{ip_3 x_3} \int_0^\infty \frac{d\omega}{\pi\omega} \rho_\Gamma(\omega, p_1 = p_2 = 0, p_3)$$

- Large- x_3 behaviour $C_\Gamma(x_3) \sim \exp(-m_{scr}|x_3|)$ used to extract “screening masses” $m_{scr}(T)$



[HotQCD collaboration,
Phys. Rev. D 100 (2019)]

Spectral functions from lattice data

Goal: Use the additional constraints imposed by *locality* to improve the extraction of spectral functions from Euclidean data

- Locality implies a general connection between the spatial correlator and thermal spectral density [P.L., PRD 106 (2022); P.L, O. Philipsen, 2207.14718]

$$C(x_3) = \frac{1}{2} \int_0^\infty ds \int_{|x_3|}^\infty dR e^{-R\sqrt{s}} D_\beta(R, s)$$

n particle states
with masses m_i

→ The lightest $T=0$ states dominate:

$$C(x_3) \approx \frac{1}{2} \sum_{i=1}^n \int_{|x_3|}^\infty dR e^{-m_i R} D_{m_i, \beta}(R)$$

- Once the damping factors of the dominant states are known, one can then use these to compute their contribution to $\rho(\omega, \mathbf{p})$
- In QCD, perhaps the simplest spatial correlator example is that of the light quark pseudo-scalar meson operator $\mathcal{O}_{\text{PS}}^a = \bar{\psi} \gamma_5 \frac{\tau^a}{2} \psi$

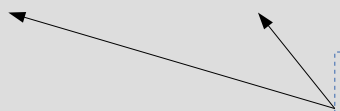
Spectral functions from lattice data

- In [P.L, O. Philipsen, 2207.14718] the pseudo-scalar correlator lattice data from [Rohrhofer et al. *PRD* **100** (2019)] was used to compute the spectral function $\rho_{PS}(\omega, \mathbf{p})$

- **Step 1:** Perform fits to the spatial correlator data $C_{PS}(x_3)$ to obtain the functional dependence at different temperatures ($T=220-960$ MeV)

→ The ansatz $A \exp(-Bx_3) + C \exp(-Dx_3)$ describes the data well

Contribution of 2 lowest-lying states, π and π^*



- **Step 2:** Calculate the π and π^* damping factors from $C_{PS}(x_3)$

→ Exponential contribution to $C_{PS}(x_3)$ implies: $D_{m_i, \beta}(\vec{x}) = \alpha_i e^{-\gamma_i |\vec{x}|}$

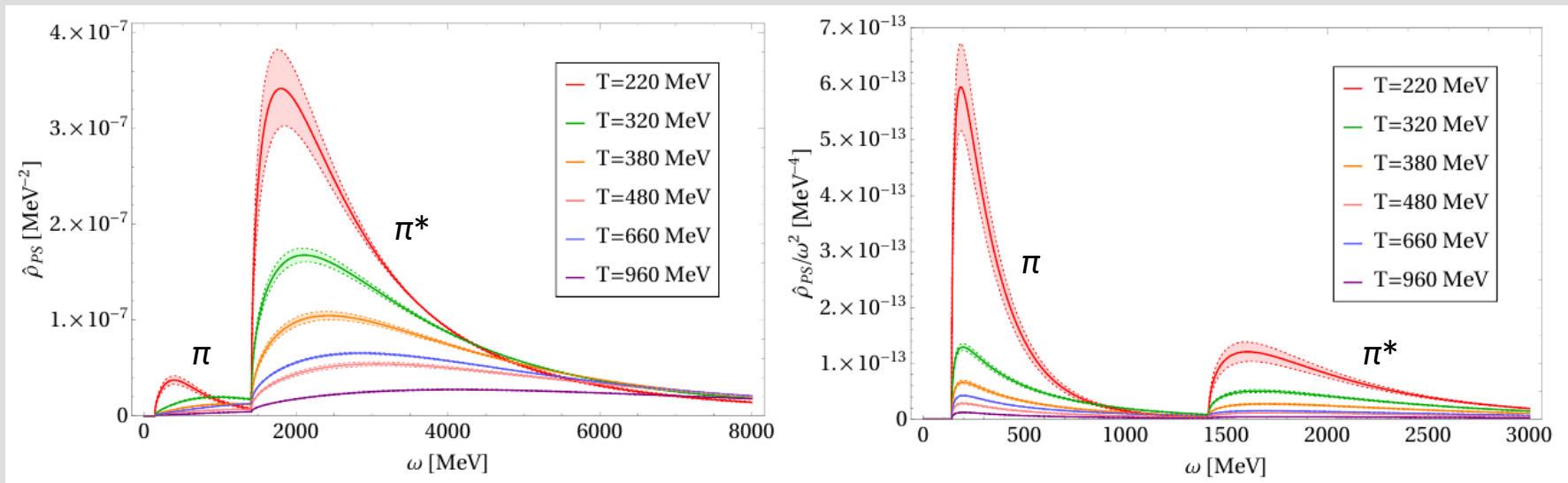
- **Step 3:** Use $D_{m, \beta}(\mathbf{x})$ and the spectral representation to compute $\rho_{PS}(\omega, \mathbf{p})$

→ Implies form:

$$\rho_{PS}(\omega, \vec{p}) = \epsilon(\omega) \left[\theta(\omega^2 - m_\pi^2) \frac{4 \alpha_\pi \gamma_\pi \sqrt{\omega^2 - m_\pi^2}}{(|\vec{p}|^2 + m_\pi^2 - \omega^2)^2 + 2(|\vec{p}|^2 - m_\pi^2 + \omega^2) \gamma_\pi^2 + \gamma_\pi^4} + \theta(\omega^2 - m_{\pi^*}^2) \frac{4 \alpha_{\pi^*} \gamma_{\pi^*} \sqrt{\omega^2 - m_{\pi^*}^2}}{(|\vec{p}|^2 + m_{\pi^*}^2 - \omega^2)^2 + 2(|\vec{p}|^2 - m_{\pi^*}^2 + \omega^2) \gamma_{\pi^*}^2 + \gamma_{\pi^*}^4} \right]$$

Spectral functions from lattice data

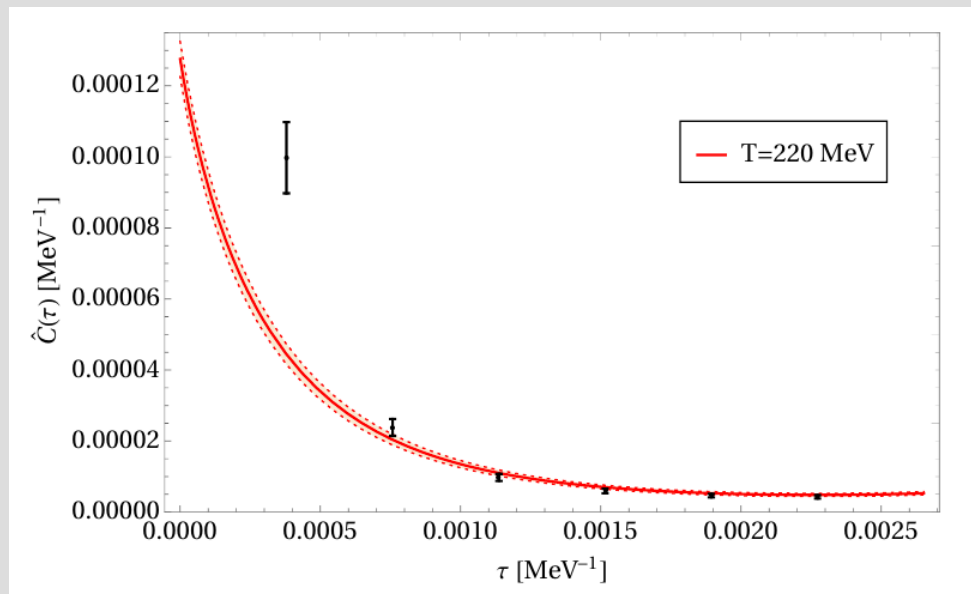
- Using the T -dependence of the lattice fit parameters one obtains:



- π and π^* dominate the spectral function at these temperatures
- The π has a pronounced peak at $T=220$ MeV, *non-perturbative effects are still important!*
- The π and π^* states gradually “melt away” as T increases due to the more frequent interactions with the thermal medium

A non-perturbative test

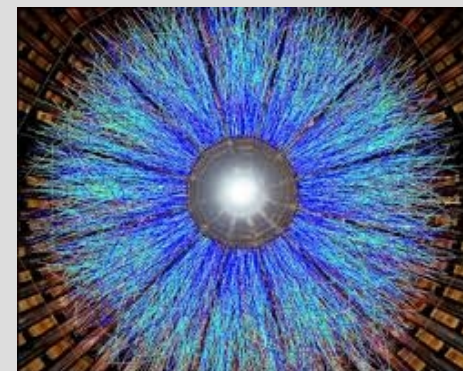
- Given the full analytic structure of $\rho_{PS}(\omega, \mathbf{p})$, one can use this to *predict* the form of the corresponding temporal correlator $\tilde{C}_{PS}(\tau, \mathbf{p}=0)$
- $\tilde{C}_{PS}(\tau, \mathbf{p}=0)$ has a very different $\rho_{PS}(\omega, \mathbf{p})$ dependence \rightarrow *non-trivial test!*
- Using the $T=220$ MeV temporal data from [Rohrhofer et al. *PLB* **802** (2020)] one obtains the following result:



- The prediction matches the data well for large τ , and then begins to undershoot \rightarrow missing contributions from higher excited states (π^{**} etc.)

Summary & outlook

- Local QFT can be extended to systems with $T > 0$, and this has important implications, including:
 - Spectral representations for thermal correlation functions
 - Ability to extract real-time observables from Euclidean data
 - Dynamical interpretation of in-medium effects
- Applying this framework to lattice QCD data enables one to calculate spectral functions in a new way → *evidence for distinct pion states, even at high T*
- So far only real scalar fields $\Phi(x)$ with $T > 0$ considered, but this approach can be generalised (higher spin, non-vanishing density). *Work in progress!*
 - This framework provides a way of obtaining ***non-perturbative*** insights into the phase structure of QFTs, and the resulting in-medium phenomena



[Brookhaven National Lab]

Backup: *Local QFT*

- In the 1960s, A. Wightman and R. Haag pioneered an approach which set out to answer the fundamental question “*what is a QFT?*”
- The resulting approach, *Local QFT*, defines a QFT using a core set of physically motivated axioms

Axiom 1 (Hilbert space structure). *The states of the theory are rays in a Hilbert space \mathcal{H} which possesses a continuous unitary representation $U(a, \alpha)$ of the Poincaré spinor group $\overline{\mathcal{P}}_+^\uparrow$.*

Axiom 2 (Spectral condition). *The spectrum of the energy-momentum operator P^μ is confined to the closed forward light cone $\nabla^+ = \{p^\mu \mid p^2 \geq 0, p^0 \geq 0\}$, where $U(a, 1) = e^{iP^\mu a_\mu}$.*

Axiom 3 (Uniqueness of the vacuum). *There exists a unit state vector $|0\rangle$ (the vacuum state) which is a unique translationally invariant state in \mathcal{H} .*

Axiom 4 (Field operators). *The theory consists of fields $\varphi^{(\kappa)}(x)$ (of type (κ)) which have components $\varphi_l^{(\kappa)}(x)$ that are operator-valued tempered distributions in \mathcal{H} , and the vacuum state $|0\rangle$ is a cyclic vector for the fields.*

Axiom 5 (Relativistic covariance). *The fields $\varphi_l^{(\kappa)}(x)$ transform covariantly under the action of $\overline{\mathcal{P}}_+^\uparrow$:*

$$U(a, \alpha) \varphi_l^{(\kappa)}(x) U(a, \alpha)^{-1} = S_{ij}^{(\kappa)}(\alpha^{-1}) \varphi_j^{(\kappa)}(\Lambda(\alpha)x + a)$$

where $S(\alpha)$ is a finite dimensional matrix representation of the Lorentz spinor group $\overline{\mathcal{L}}_+^\uparrow$, and $\Lambda(\alpha)$ is the Lorentz transformation corresponding to $\alpha \in \overline{\mathcal{L}}_+^\uparrow$.

Axiom 6 (Local (anti-)commutativity). *If the support of the test functions f, g of the fields $\varphi_l^{(\kappa)}, \varphi_m^{(\kappa')}$ are space-like separated, then:*

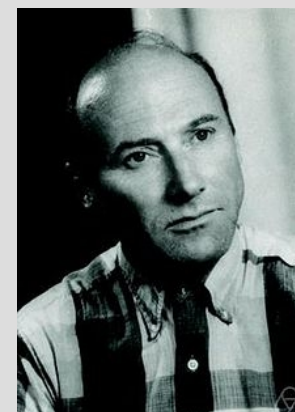
$$[\varphi_l^{(\kappa)}(f), \varphi_m^{(\kappa')}(g)]_\pm = \varphi_l^{(\kappa)}(f) \varphi_m^{(\kappa')}(g) \pm \varphi_m^{(\kappa')}(g) \varphi_l^{(\kappa)}(f) = 0$$

when applied to any state in \mathcal{H} , for any fields $\varphi_l^{(\kappa)}, \varphi_m^{(\kappa')}$.



A. Wightman

[R. F. Streater and A. S. Wightman, *PCT, Spin and Statistics, and all that* (1964).]



R. Haag

[R. Haag, *Local Quantum Physics*, Springer-Verlag (1992).]

Backup: *Local QFT beyond the vacuum*

- **Idea:** Look for a generalisation of the standard axioms that is compatible with $T > 0$, and approaches the vacuum case for $T \rightarrow 0$

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when applied to any state in \mathcal{H} , for any fields $\varphi_l^{(\kappa)}, \varphi_m^{(\kappa')}$.



H_β is defined for fixed $\beta=1/T$



Replaced by the KMS condition

$$\begin{aligned} & \langle \Omega_\beta | \phi(x_1) \cdots \phi(x_k) \phi(x_{k+1}) \cdots \phi(x_n) | \Omega_\beta \rangle \\ &= \langle \Omega_\beta | \phi(x_{k+1}) \cdots \phi(x_n) \phi(x_1 + i(\beta, \vec{0})) \cdots \phi(x_k + i(\beta, \vec{0})) | \Omega_\beta \rangle \end{aligned}$$



Instead, thermal background state $|\Omega_\beta\rangle$



Fields are still distributions



The fields no longer transform under general unitary Lorentz transformations



Locality is unaffected by the properties of the background state.
This is important!

Backup: *Damping factors from Euclidean FRG data*

- Locality constraints imply that particle damping factors $D_{m,\beta}(\mathbf{x})$ can also be calculated from Euclidean momentum space data [P.L., PRD 106 (2022)]

$$D_{m,\beta}(\vec{x}) \sim e^{|\vec{x}|m} \int_0^\infty \frac{d|\vec{p}|}{2\pi} 4|\vec{p}| \sin(|\vec{p}||\vec{x}|) \tilde{G}_\beta(0, |\vec{p}|).$$

p-space Euclidean propagator

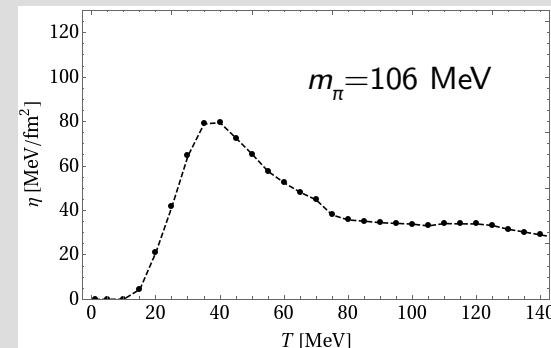
Holds for large separation $|\mathbf{x}|$

- In [P.L., R.-A. Tripolt, PRD 106 (2022)] pion propagator data from the quark-meson model (FRG calculation) was used to compute the damping factor at different values of T via the analytic relation above

- Fits to the resulting data were consistent with the form: $D_{m_\pi,\beta}(\vec{x}) = \alpha_\pi e^{-\gamma_\pi|\vec{x}|}$

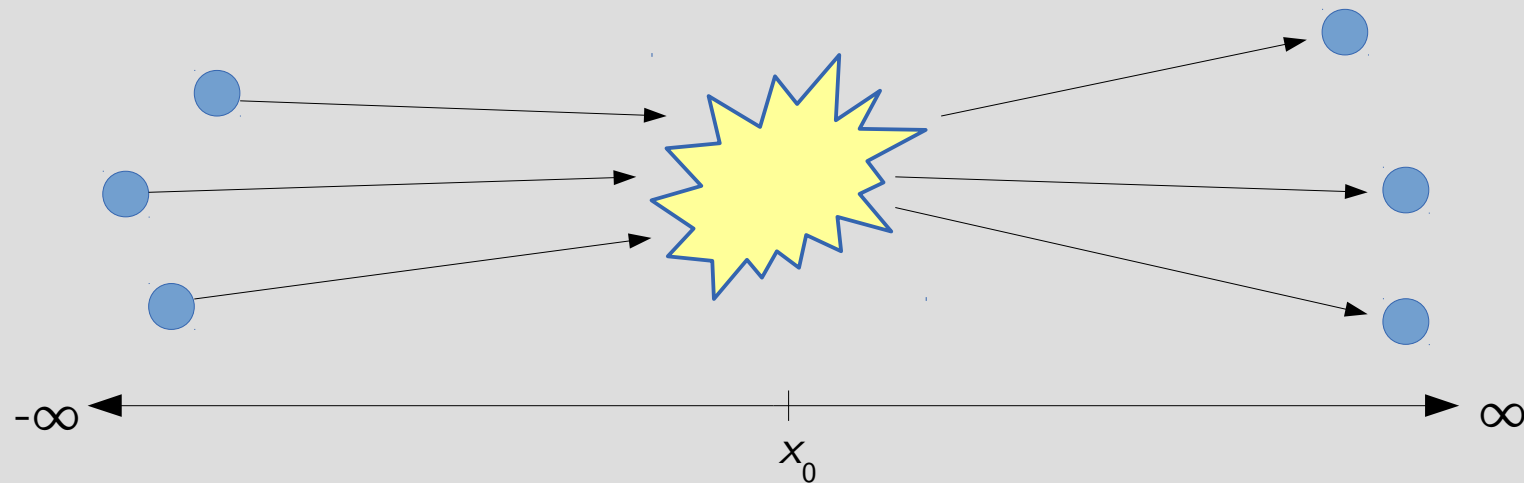
- $D_{m,\beta}(\mathbf{x})$ can then be used as input for calculations, e.g. shear viscosity

Similar qualitative features to results from chiral perturbation theory



Backup: *Damping factors from asymptotic dynamics*

- Since all observable quantities are computed using correlation functions, which are characterised by damping factors, one can use these to gain new insights into the properties of QFTs when $T > 0$
- It has been proposed [Bros, Buchholz, NPB 627 (2002)] that these quantities are controlled by the large-time x_0 dynamics of the theory



Important:

Interactions with the thermal background persist, even for large x_0

→ *Need to take this into account in definition of scattering states!*

Backup: *Damping factors from asymptotic dynamics*

- Idea: thermal scattering states are defined by imposing an asymptotic field condition [Bros, Buchholz, NPB 627 (2002)]:

Asymptotic fields ϕ_0 are assumed to satisfy dynamical equations, but only at large x_0

In ϕ^4 theory

$$(\partial^2 + m^2)\phi_0(x) + \frac{\lambda}{3!}\phi_0^3(x) \xrightarrow{|x_0| \rightarrow \infty} 0$$

“Asymptotic coupling”

“Asymptotic mass”

- Given that the thermal spectral density has the decomposition

$$\tilde{D}_\beta(\vec{u}, s) = \tilde{D}_{m,\beta}(\vec{u}) \delta(s - m^2) + \tilde{D}_{c,\beta}(\vec{u}, s)$$

it follows that: **1.** The continuous contribution to $\langle \Omega_\beta | \phi(x) \phi(y) | \Omega_\beta \rangle$ is **suppressed** for large x_0

2. The particle damping factor $\tilde{D}_{m,\beta}(\mathbf{u})$ is **uniquely fixed** by the asymptotic field equation

- This means that the non-perturbative thermal effects experienced by particle states are entirely controlled by the asymptotic dynamics!

Backup: ϕ^4 theory for $T > 0$

- Applying the asymptotic field condition for ϕ^4 theory, the resulting damping factors have the form [Bros, Buchholz, NPB 627 (2002)]:

$$\rightarrow \text{For } \lambda < 0: \quad D_{m,\beta}(\vec{x}) = \frac{\sin(\kappa|\vec{x}|)}{\kappa|\vec{x}|} \quad \rightarrow \text{For } \lambda > 0: \quad D_{m,\beta}(\vec{x}) = \frac{e^{-\kappa|\vec{x}|}}{\kappa_0|\vec{x}|}$$

where κ is defined with $r = m/T$:

$$\kappa = T\sqrt{|\lambda|}K(r), \quad K(r) = \sqrt{\int \frac{d^3\hat{q}}{(2\pi)^3 2\sqrt{|\hat{q}|^2 + r^2}} \frac{1}{e^{\sqrt{|\hat{q}|^2 + r^2}} - 1}}$$

\rightarrow The parameter κ has the interpretation of a thermal width: $\kappa \rightarrow 0$ for $T \rightarrow 0$, or equivalently κ^{-1} is mean-free path

- Now that one has the exact dependence of $D_{m,\beta}(\mathbf{x})$ on the external physical parameters, in this case T , m and λ , one can use this to calculate observables *analytically*

Backup: ϕ^4 theory for $T > 0$

- Of particular interest is the *shear viscosity* η , which measures the resistance of a medium to sheared flow

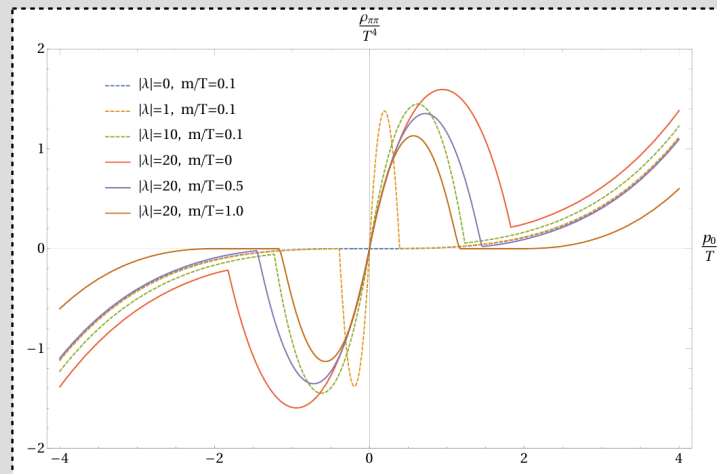
→ This quantity can be determined from the spectral function of the spatial traceless energy-momentum tensor

$$\rho_{\pi\pi}(p_0) = \lim_{\vec{p} \rightarrow 0} \mathcal{F}[\langle \Omega_\beta | [\pi^{ij}(x), \pi_{ij}(y)] | \Omega_\beta \rangle](p)$$

... and η is recovered via the *Kubo relation*

$$\eta = \frac{1}{20} \lim_{p_0 \rightarrow 0} \frac{d\rho_{\pi\pi}}{dp_0}$$

- Using $D_{m,\beta}(\mathbf{x})$ for $\lambda < 0$, the EMT spectral function $\rho_{\pi\pi}$ has the form:

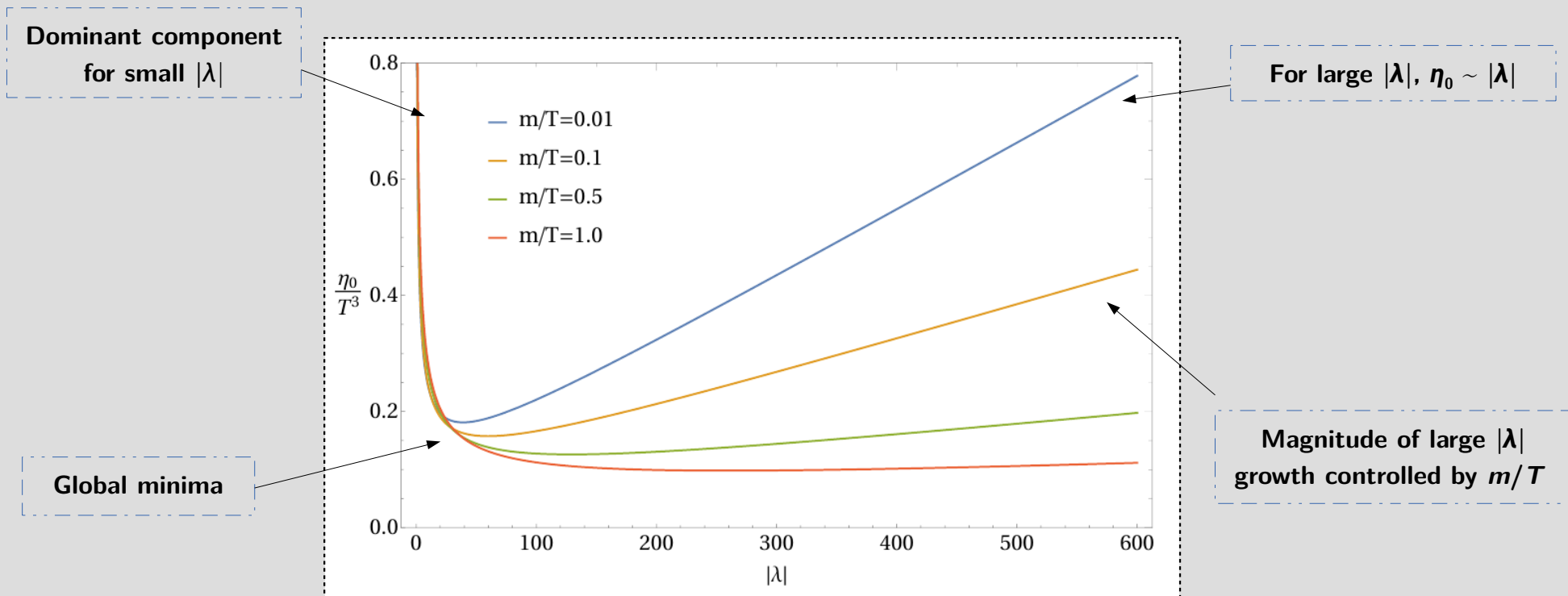


- The presence of interactions causes resonant peaks to appear → peaked when $p_0 \sim \kappa = 1/\ell$
- For $\lambda \rightarrow 0$ the free-field result is recovered, as expected
- The dimensionless ratio m/T controls the magnitude of the peaks

Backup: ϕ^4 theory for $T > 0$

- Applying Kubo's relation, the shear viscosity η_0 arising from the asymptotic states can be written [P.L., R.-A. Tripolt, J. M. Pawłowski, D. H. Rischke, PRD 104 (2021)]

$$\eta_0 = \frac{T^3}{15\pi} \left[\frac{\mathcal{K}_3\left(\frac{m}{T}, 0, \infty\right)}{\sqrt{|\lambda|}} + \sqrt{|\lambda|} \mathcal{K}_1\left(\frac{m}{T}, 0, \infty\right) + \frac{\mathcal{K}_4\left(\frac{m}{T}, \sqrt{|\lambda|}K\left(\frac{m}{T}\right), \sqrt{|\lambda|}K\left(\frac{m}{T}\right)\right)}{4|\lambda|} \right]$$



→ For fixed coupling, η_0/T^3 is entirely controlled by functions of m/T

Backup: ϕ^4 theory for $T > 0$

- What about the case $\lambda > 0$? $\rightarrow \eta_0$ diverges!

Why? – *The particle damping factor $D_{m,\beta}(\mathbf{u})$ does not decay rapidly enough at large momenta*

- This characteristic is related to the “bad” UV behaviour of the quartic interaction, i.e. the triviality of ϕ^4 appears to have an impact beyond $T=0$!
- In [PRD 104, 065010 (2021)] it was shown more generally that the finiteness of η_0 is related to the existence of thermal equilibrium

If the KMS condition holds $\implies \eta_0$ is finite

- This procedure demonstrates that asymptotic dynamics can be used to explore the non-perturbative properties of QFTs when $T > 0$
 - \rightarrow *Can also calculate other observables, e.g. transport coefficients, entropy density, pressure, etc.*

Backup: *spectral representations*

- For thermal asymptotic states, the spectral function $\rho_{\pi\pi}$ has the form

$$\rho_{\pi\pi}(p_0) = \sinh\left(\frac{\beta}{2}p_0\right) \int \frac{d^3\vec{q}}{(2\pi)^4} \frac{2}{3}|\vec{q}|^4 \int_{-\infty}^{\infty} dq_0 \frac{\tilde{C}_\beta(q_0, \vec{q}) \tilde{C}_\beta(p_0 - q_0, \vec{q})}{\sinh\left(\frac{\beta}{2}q_0\right) \sinh\left(\frac{\beta}{2}(p_0 - q_0)\right)}$$

... which after applying the generalised KL representation, together with the Kubo relation, implies

$$\begin{aligned} \eta_0 = & \frac{T^5}{240\pi^5} \int_0^\infty ds \int_0^\infty dt \int_0^\infty d|\vec{u}| \int_0^\infty d|\vec{v}| |\vec{u}||\vec{v}| \tilde{D}_\beta(\vec{u}, s) \tilde{D}_\beta(\vec{v}, t) \\ & \times \left[4[1 + \epsilon(|\vec{u}| - |\vec{v}|)] \left\{ \frac{|\vec{v}|}{T} \mathcal{I}_3\left(\frac{\sqrt{t}}{T}, 0, \infty\right) + \frac{|\vec{v}|^3}{T^3} \mathcal{I}_1\left(\frac{\sqrt{t}}{T}, 0, \infty\right) \right\} \right. \\ & \left. + \left\{ \mathcal{I}_4\left(\frac{\sqrt{t}}{T}, \frac{|\vec{v}|}{T}, \frac{s-t + (|\vec{u}| + |\vec{v}|)^2}{2(|\vec{u}| + |\vec{v}|)T}\right) + \epsilon(|\vec{u}| - |\vec{v}|) \mathcal{I}_4\left(\frac{\sqrt{t}}{T}, \frac{|\vec{v}|}{T}, \frac{s-t + (|\vec{v}| - |\vec{u}|)^2}{2(|\vec{v}| - |\vec{u}|)T}\right) \right\} \right] \end{aligned}$$

- The model dependence of η_0 factorises, and is controlled by the thermal spectral density $D_\beta(\mathbf{u}, s)$

Backup: *Euclidean spectral relations*

- One can use the assumptions of local QFT at finite T to put constraints on the structure of Euclidean correlation functions

→ From the KMS condition and locality:

$$\mathcal{W}_E(\tau, \vec{x}) = \frac{1}{\beta} \sum_{N=-\infty}^{\infty} w_N(\vec{x}) e^{\frac{2\pi i N}{\beta} \tau}$$

- The Fourier coefficients of the Euclidean two-point function are then related to the thermal damping factors as follows [P.L., PRD 106 (2022)]:

$$w_N(\vec{x}) = \frac{1}{4\pi|\vec{x}|} \left[D_m(\vec{x}) e^{-|\vec{x}| \sqrt{m^2 + \omega_N^2}} + \int_0^\infty ds e^{-|\vec{x}| \sqrt{s^2 + \omega_N^2}} D_c(\vec{x}, s) \right]$$

→ *The continuous component $D_c(\mathbf{x}, s)$ is exponentially suppressed!*

- $\omega_N = 2\pi NT$ are the Matsubara frequencies. For $N=0$ this leads to:

$$\int_{-\frac{\beta}{2}}^{\frac{\beta}{2}} d\tau \mathcal{W}_E(\tau, \vec{x}) \sim \frac{1}{4\pi|\vec{x}|} D_{m,\beta}(\vec{x}) e^{-|\vec{x}|m}$$