

N- \rightarrow Δ Transition GPDs with CLAS12

APCTP Workshop on Nuclear Physics 2022: Physics of
Excited Hadrons in the Present and Future Facilities

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 Jefferson Lab

 clas

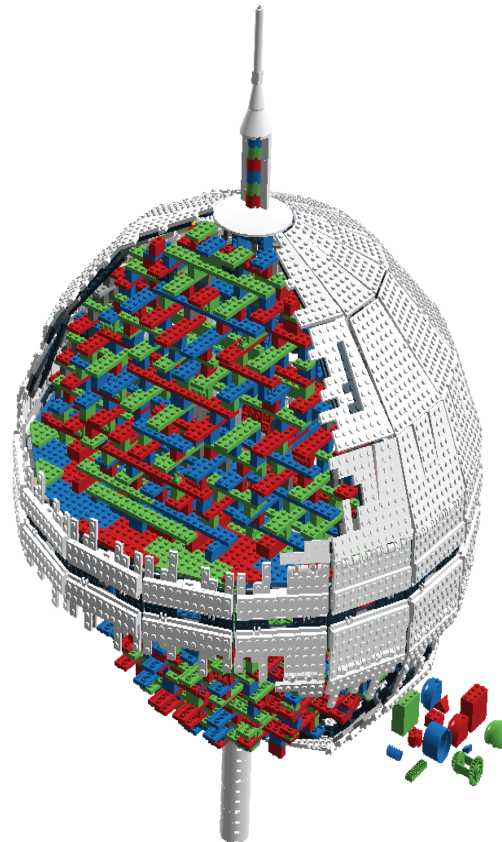
QCD Science Questions

How are the quarks and gluons, and their intrinsic spins distributed in space & momentum inside the nucleon?

How can we recover the well-known characteristics of the nucleon from the properties of its **colored building blocks**?

Mass?
Spin?
Charge?
...

What are the relevant **effective degrees of freedom** and **effective interaction** at large distance?

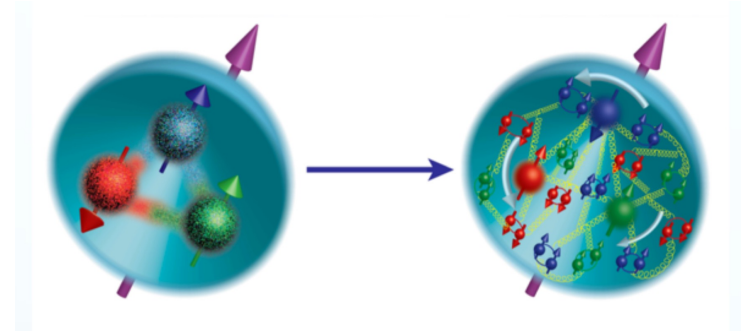


What is the role of orbital angular motion?

The Incomplete Nucleon Spin: Spin Puzzle

- Proton has spin-1/2
- Proton is a composite system consisting of spin-1/2 quarks and spin-1 gluons

$$J_N = \frac{1}{2} = \frac{1}{2} \sum_q + L_q + J_g$$



☐ Sum of angular momentum of quarks and gluons together must be 1/2

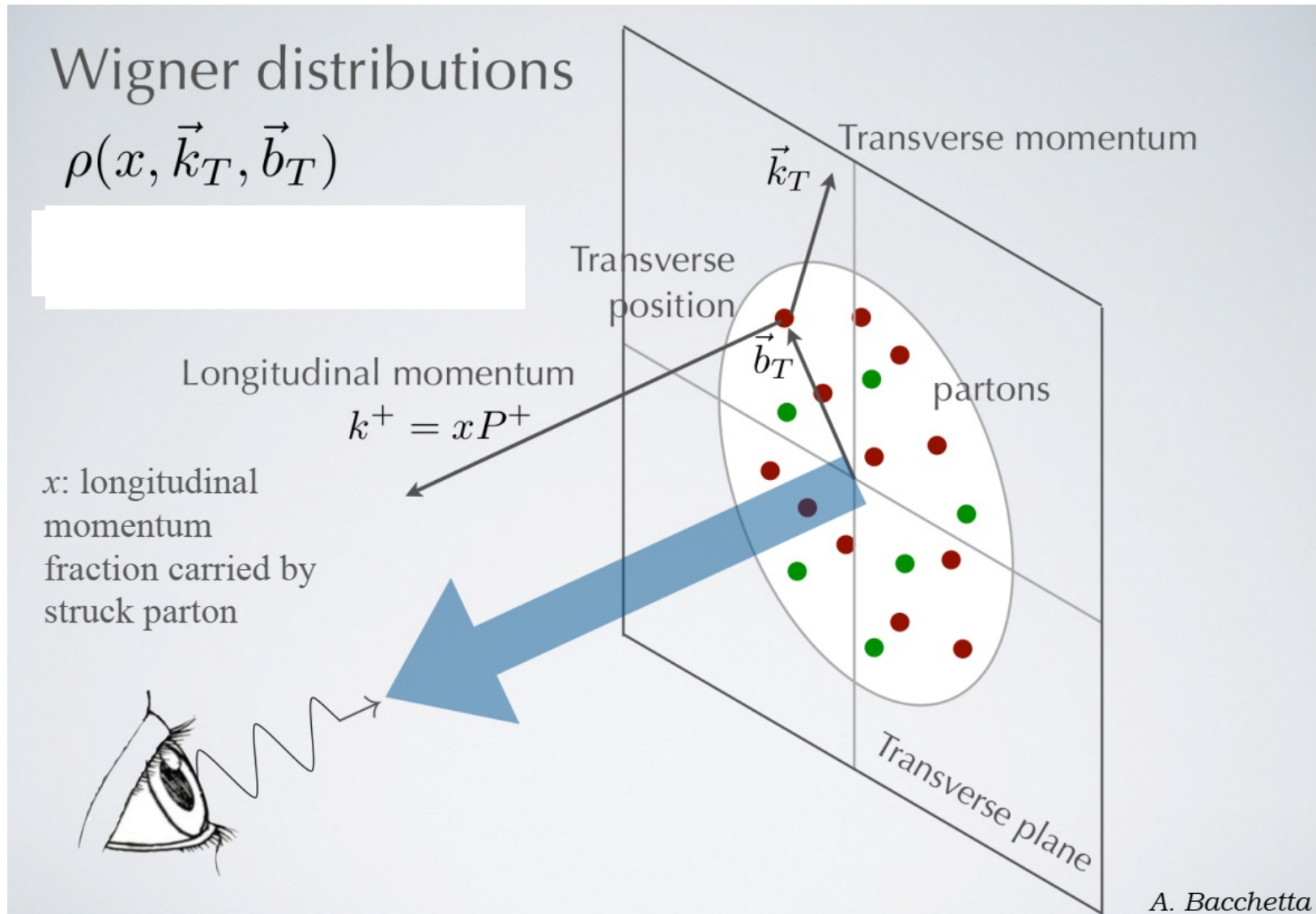
Possible contributions Quark spin, Quark orbital momentum
 Gluon spin, Gluon orbital momentum

Classical: $L \sim \mathbf{r} \times \mathbf{p}$

Needs a cross-product or something three-dimensional!

We need to investigate the 3D nucleon structure!

3-Dimensional Imaging of Quarks and Gluons



Generalized Parton Distributions (GPDs)

$$W_{\Gamma}(\mathbf{r}, k) = \frac{1}{2M_N} \int \frac{d^3\mathbf{q}}{(2\pi)^3} e^{-i\mathbf{q}\cdot\mathbf{r}} \left\langle \mathbf{q}/2 \left| \hat{\mathcal{W}}_{\Gamma}(0, k) \right| -\mathbf{q}/2 \right\rangle$$

Integrate over transverse
momentum space

Generalized Parton Distributions
(GPD)

3-D nucleon images in the
transverse coordinate and
longitudinal momentum space

quark pol.

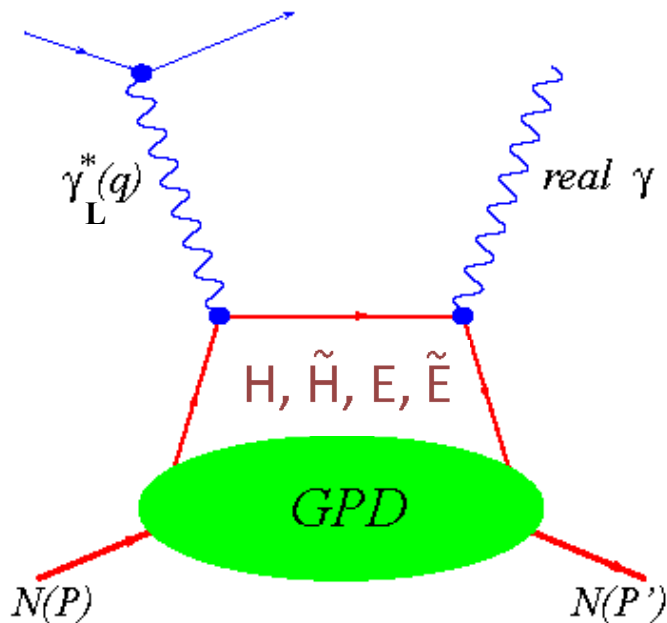
N/q	U	L	T
U	H		\bar{E}_T
L		\tilde{H}	\tilde{E}_T
T	E	\tilde{E}	H_T, \tilde{H}_T

nucleon pol.

$$\bar{E}_T = 2\tilde{H}_T + E_T$$

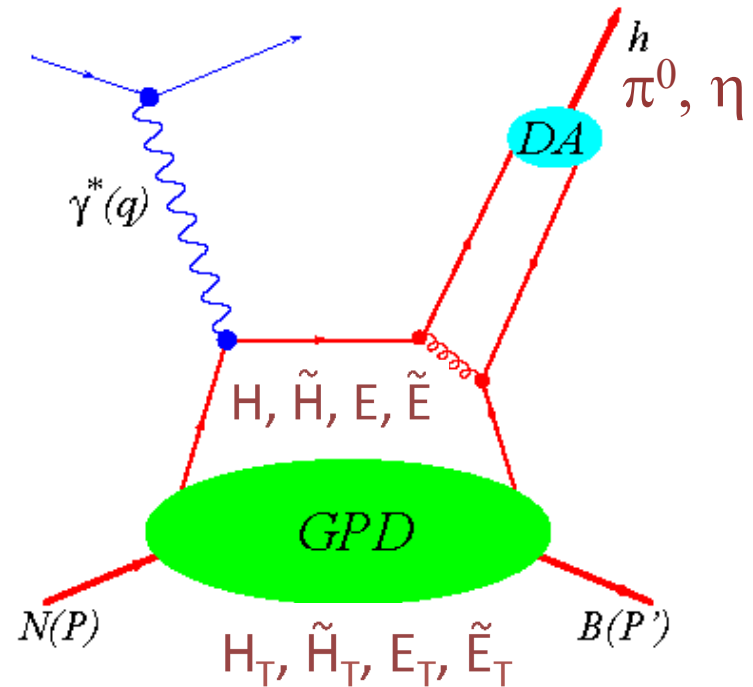
Study GPDs: Deeply Virtual Exclusive Processes

Deeply Virtual Compton Scattering (DVCS)



- + Clean process
- Only sensitive to chiral even GPDs

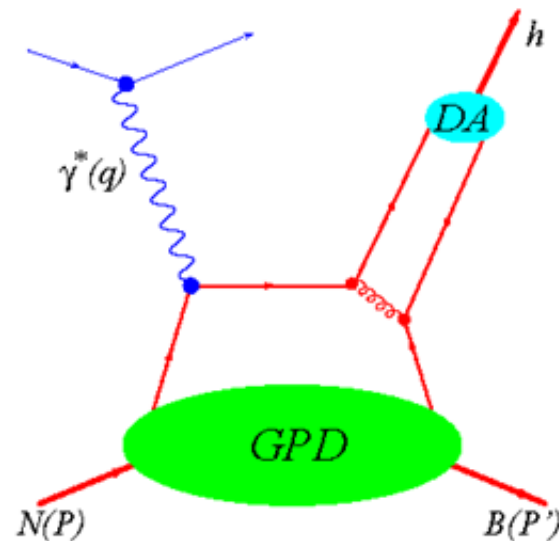
Deeply Virtual Meson Production (DVMP)



- + Enables Flavour decomposition of GPDs
- + Access to transversity degrees of freedom described by chiral-odd GPDs
- Distribution Amplitude (DA) is involved as additional soft non pert. quantity

Deeply Virtual Meson Production in the GPD regime

	Meson	Flavor
$\mathcal{H}_T, \mathcal{E}_T$	π^+	$\Delta u - \Delta d$
	π^0	$2\Delta u + \Delta d$
	η	$2\Delta u - \Delta d + 2\Delta s$
\mathcal{H}, \mathcal{E}	ρ^+	$u - d$
	ρ^0	$2u + d$
	ω	$2u - d$
	ϕ	g



$$\kappa_T^u = \int dx \bar{E}_T^u(x, \xi, t=0)$$

$$\kappa_T^d = \int dx \bar{E}_T^d(x, \xi, t=0)$$

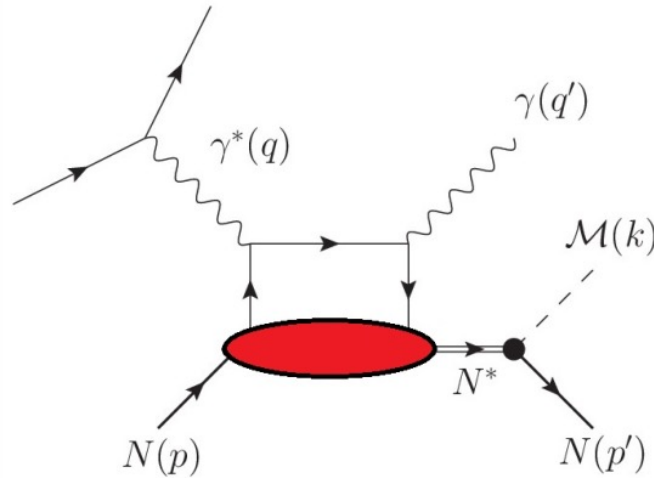
$$\delta_T^u = \int dx H_T^u(x, \xi, t=0)$$

$$\delta_T^d = \int dx H_T^d(x, \xi, t=0)$$

\bar{E}_T is related to the proton's
anomalous tensor magnetic moment

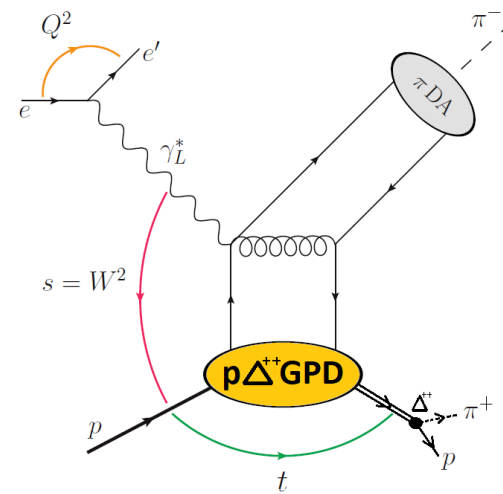
H_T is related to the proton's tensor charge
→ Absolute magnitude of transversely polarized
valence quarks inside a transv. polarized nucleon

Studies of Transition GPDs



non-diag. DVCS

- **8 helicity non-flip trans. GPDs (twist 2)**
 - 3 are dominating in the large N_c limit
 - Connection to proton-proton GPDs via symmetry considerations
 - ➔ Description of leading twist effects / longitudinal photons → σ_L
 - First theoretical works available

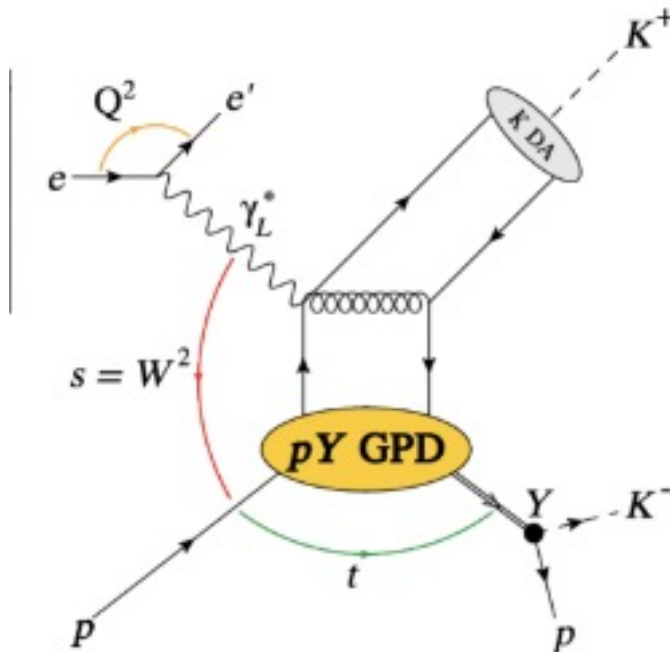


non-diag. DVMP

- **8 helicity flip trans. GPDs**
 - Needed for twist-3 sector (non-diag DVMP)
 - Theory in progress (no publ. so far)

Studies of Transition GPDs

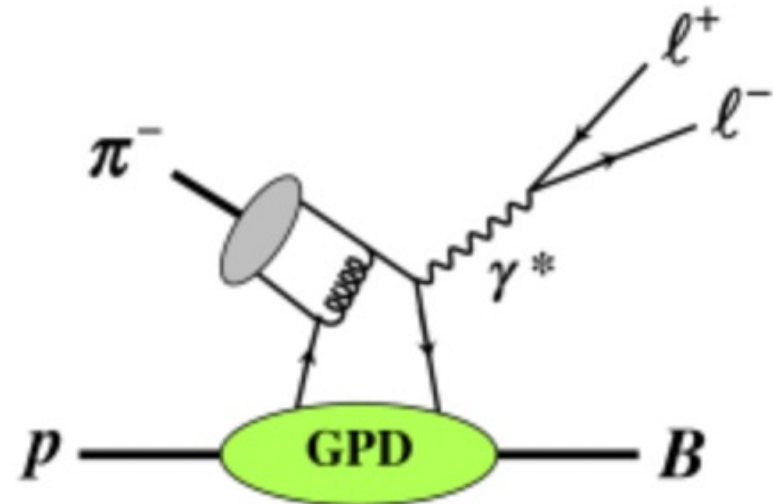
Jefferson Lab



Exclusive KY Production

$p \rightarrow Y$ Transition GPDs

J-PARC

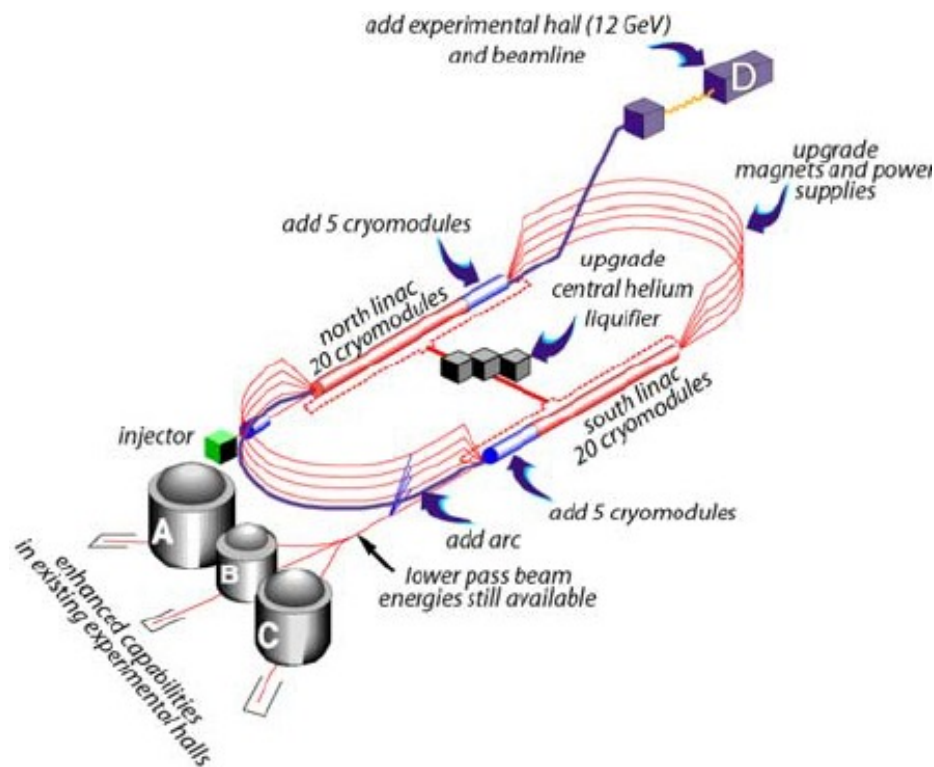
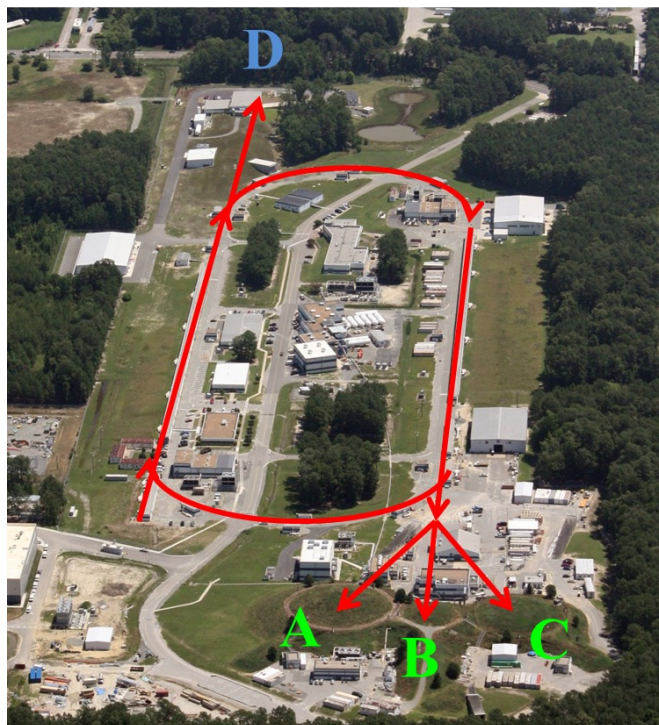


Exclusive Drell-Yan Process

If $B = Y$, $p \rightarrow Y$ Transition GPDs

J-PARC Letter of Intent

Thomas Jefferson National Accelerator Facility (Jefferson Lab)



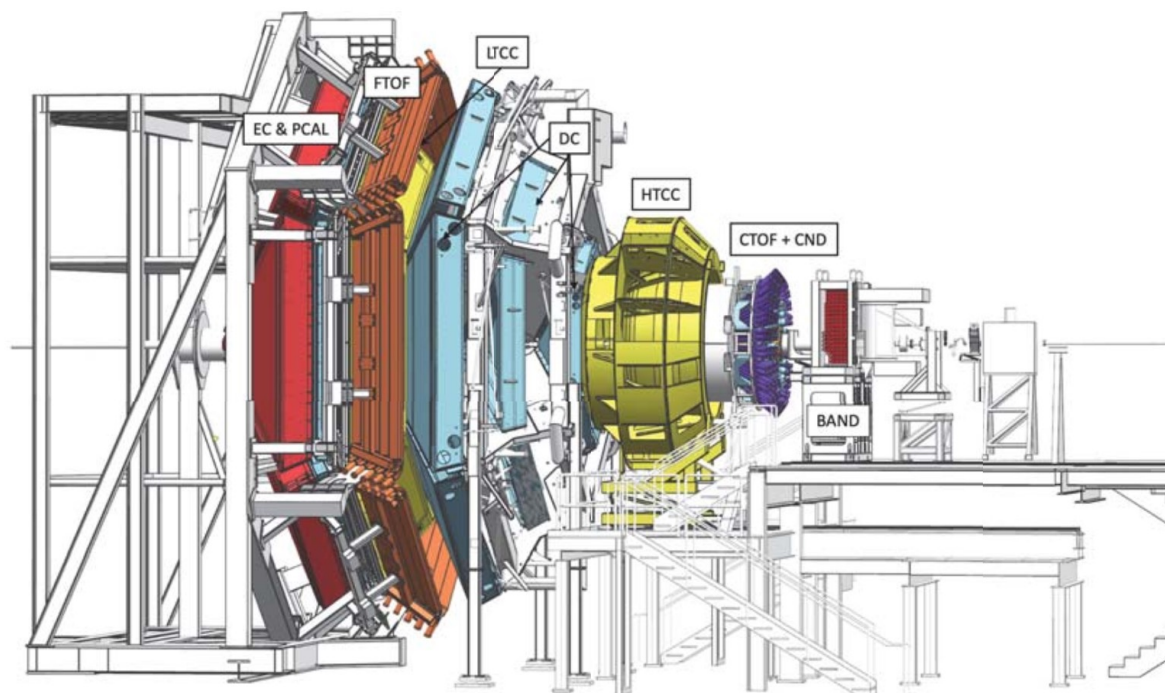
➡ CEBAF Upgrade completed in September 2017

- electron beam
- $E_{\text{max}} = 12 \text{ GeV}$
- $I_{\text{max}} = 90 \mu\text{A}$
- $\text{Pol}_{\text{max}} \sim 90\%$

Physics Operation

- 4 halls running simultaneously since January 2018

CLAS12 Experimental Setup in Hall B at JLAB



V. Burkert et al., Nucl. Instrum. Meth.A 959 (2020) 163419

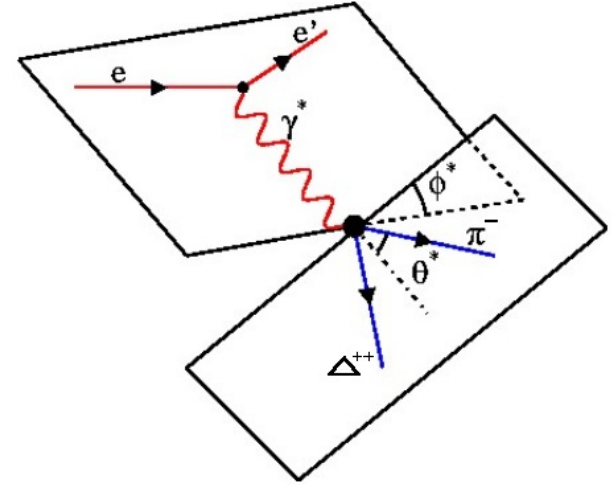
- Data recorded with CLAS12 during fall 2018 and spring 2019
- 10.6 / 10.2 GeV e^- beam
- $\sim 87\%$ average polarization
- liquid H_2 target
- Analysed data $\sim 35\%$ of the approved RG-A beam time

1. Hard exclusive pseudoscalar / vector meson
Electroproductions (GPDs)
2. $ep \rightarrow e\Delta^{++}\pi^{-} \rightarrow ep\pi^{+}\pi^{-}$ (N- \rightarrow Δ transition
GPDs)

Hard Exclusive Meson Electroproduction and Beam Spin Asymmetries (BSA)

Cross section (longitudinally pol. beam and unpol. target):

$$2\pi \frac{d^2\sigma}{dt d\phi} = \frac{d\sigma_T}{dt} + \epsilon \frac{d\sigma_L}{dt} + \epsilon \cdot \cos(2\phi) \frac{d\sigma_{TT}}{dt} \\ + \sqrt{2\epsilon(1+\epsilon)} \cdot \cos(\phi) \frac{d\sigma_{LT}}{dt} \\ + h \cdot \sqrt{2\epsilon(1-\epsilon)} \cdot \sin(\phi) \frac{d\sigma_{LT'}}{dt}$$



Beam Spin Asymmetry:

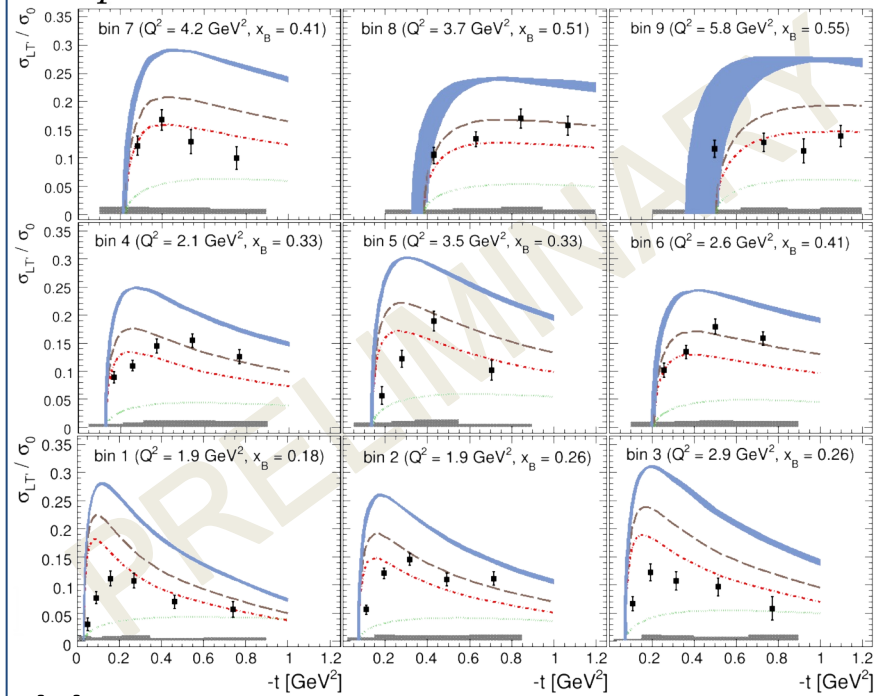
$$BSA(t, \phi, x_B, Q^2) = \frac{d\sigma^+ - d\sigma^-}{d\sigma^+ + d\sigma^-} = \frac{\sqrt{2\epsilon(1-\epsilon)} \frac{\sigma_{LT'}}{\sigma_0} \sin \phi}{1 + \sqrt{2\epsilon(1+\epsilon)} \frac{\sigma_{LT}}{\sigma_0} \cos \phi + \epsilon \frac{\sigma_{TT}}{\sigma_0} \cos 2\phi}$$

Pseudoscalar meson electroproduction with CLAS12

$$\sigma_{LT'} = \xi \sqrt{1 - \xi^2} \frac{\sqrt{-t'}}{2m} \times \text{Im} \left[\langle H_T \rangle^* \langle \tilde{E} \rangle + \langle \tilde{E}_T \rangle^* \langle \tilde{H} \rangle \right]$$

$ep \rightarrow en\pi^+$

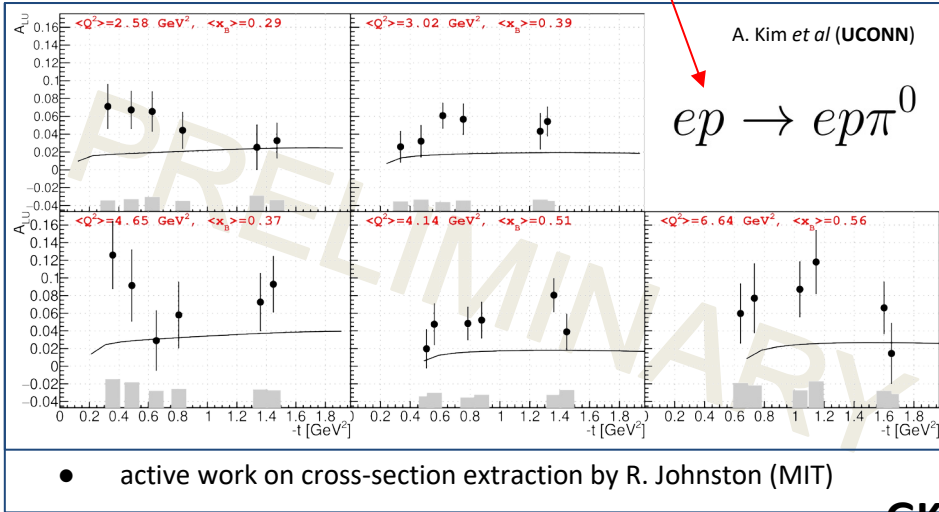
S. Diehl et al (UCONN)



A. Kim et al (UCONN)

$ep \rightarrow ep\pi^0$

GK model

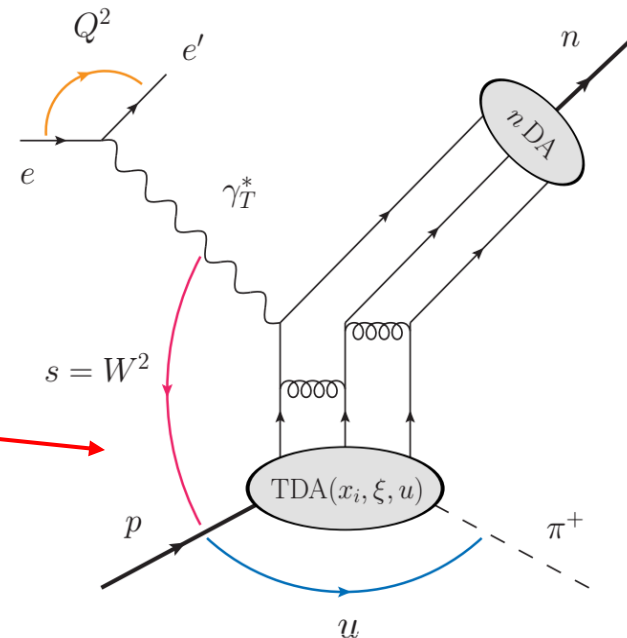
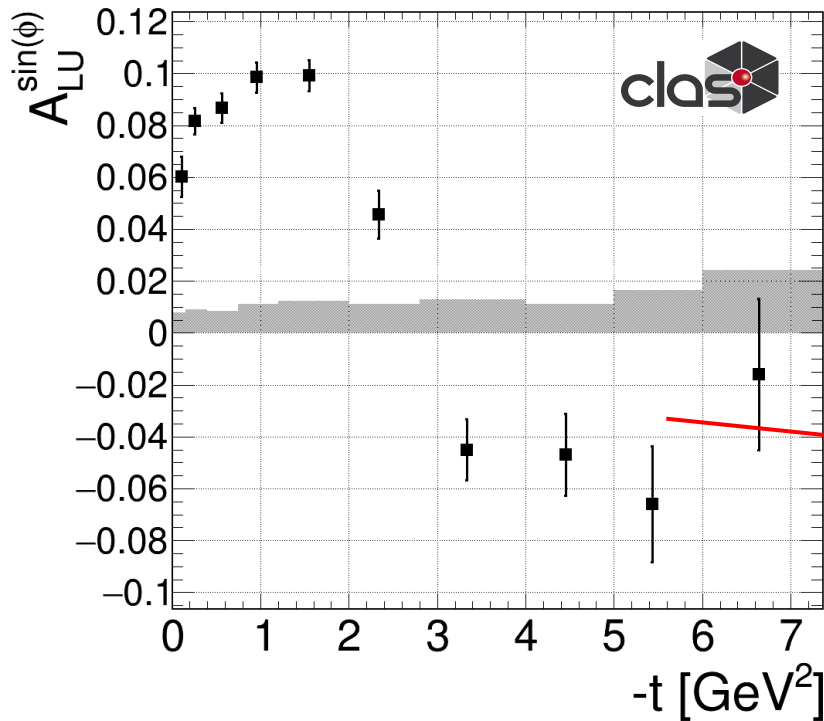


Additionally active work on η beam spin asymmetry and cross-section extraction

Beam spin asymmetry for $ep \rightarrow en\pi^+$

$$BSA_i = \frac{1}{P_e} \cdot \frac{N_i^+ - N_i^-}{N_i^+ + N_i^-}$$

$$BSA = \frac{A_{LU}^{\sin \phi} \sin \phi}{1 + A_{UU}^{\cos \phi} \cos \phi + A_{UU}^{\cos(2\phi)} \cos(2\phi)}$$



S. Diehl et al. (CLAS collaboration),
Phys. Rev. Lett. 125, 182001 (2020)

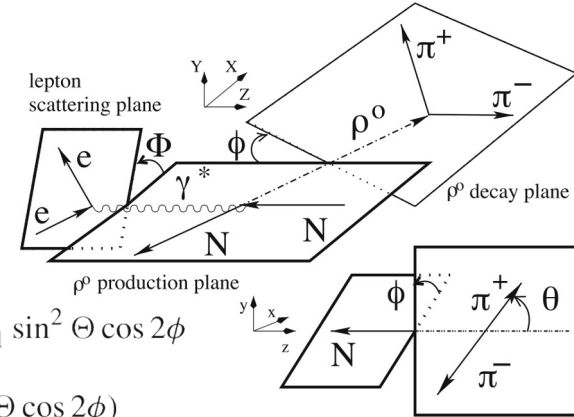
Vector meson production: Spin Density Matrix Elements (SDME)

$$\frac{d\sigma}{d\phi d\Phi d\Theta dQ^2 dx_B dt} = \Gamma(Q^2, x_B, E) \frac{1}{2\pi} \left\{ \frac{d\sigma_T}{dt} + \epsilon \frac{d\sigma_L}{dt} \right\} \mathcal{W}^{U+L}(\Phi, \phi, \cos \Theta)$$

$$\mathcal{W}^{U+L}(\Phi, \phi, \cos \Theta) = \mathcal{W}^U(\Phi, \phi, \cos \Theta) + P_b \mathcal{W}^L(\Phi, \phi, \cos \Theta),$$

$$\begin{aligned} \mathcal{W}^U(\Phi, \phi, \cos \Theta) = & \frac{3}{8\pi^2} \left[\frac{1}{2}(1 - r_{00}^{04}) + \frac{1}{2}(3r_{00}^{04} - 1) \cos^2 \Theta - \sqrt{2} \text{Re}\{r_{10}^{04}\} \sin 2\Theta \cos \phi - r_{1-1}^{04} \sin^2 \Theta \cos 2\phi \right. \\ & - \epsilon \cos 2\Phi (r_{11}^1 \sin^2 \Theta + r_{00}^1 \cos^2 \Theta - \sqrt{2} \text{Re}\{r_{10}^1\} \sin 2\Theta \cos \phi - r_{1-1}^1 \sin^2 \Theta \cos 2\phi) \\ & - \epsilon \sin 2\Phi (\sqrt{2} \text{Im}\{r_{10}^2\} \sin 2\Theta \sin \phi + \text{Im}\{r_{1-1}^2\} \sin^2 \Theta \sin 2\phi) \\ & + \sqrt{2\epsilon(1+\epsilon)} \cos \Phi (r_{11}^5 \sin^2 \Theta + r_{00}^5 \cos^2 \Theta - \sqrt{2} \text{Re}\{r_{10}^5\} \sin 2\Theta \cos \phi - r_{1-1}^5 \sin^2 \Theta \cos 2\phi) \\ & \left. + \sqrt{2\epsilon(1+\epsilon)} \sin \Phi (\sqrt{2} \text{Im}\{r_{10}^6\} \sin 2\Theta \sin \phi + \text{Im}\{r_{1-1}^6\} \sin^2 \Theta \sin 2\phi) \right], \end{aligned}$$

$$\begin{aligned} \mathcal{W}^L(\Phi, \phi, \cos \Theta) = & \frac{3}{8\pi^2} [\sqrt{1-\epsilon^2} (\sqrt{2} \text{Im}\{r_{10}^3\} \sin 2\Theta \sin \phi + \text{Im}\{r_{1-1}^3\} \sin^2 \Theta \sin 2\phi) \\ & + \sqrt{2\epsilon(1-\epsilon)} \cos \Phi (\sqrt{2} \text{Im}\{r_{10}^7\} \sin 2\Theta \sin \phi + \text{Im}\{r_{1-1}^7\} \sin^2 \Theta \sin 2\phi) \\ & + \sqrt{2\epsilon(1-\epsilon)} \sin \Phi (r_{11}^8 \sin^2 \Theta + r_{00}^8 \cos^2 \Theta - \sqrt{2} \text{Re}\{r_{10}^8\} \sin 2\Theta \cos \phi - r_{1-1}^8 \sin^2 \Theta \cos 2\phi)] \end{aligned}$$



Vector meson production: Spin Density Matrix Elements (SDME)

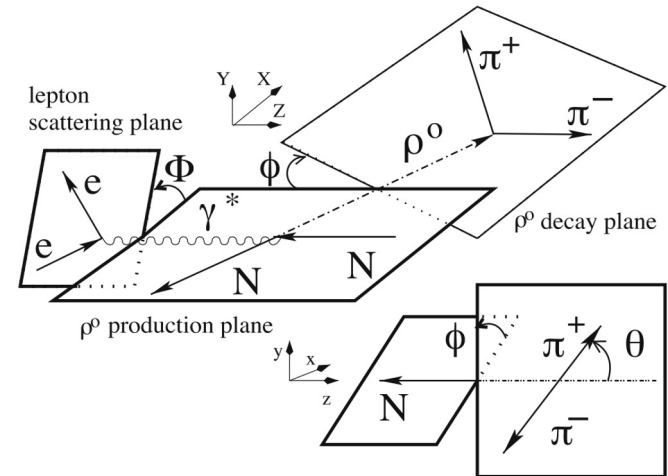
$$\frac{d\sigma}{d\phi \, d\Phi \, d\Theta \, dQ^2 \, dx_B \, dt} = \Gamma(Q^2, x_B, E) \frac{1}{2\pi} \left\{ \frac{d\sigma_T}{dt} + \epsilon \frac{d\sigma_L}{dt} \right\} \mathcal{W}^{U+L}(\Phi, \phi, \cos \Theta)$$

After simplifications from Eur. Phys. J. C (2014):

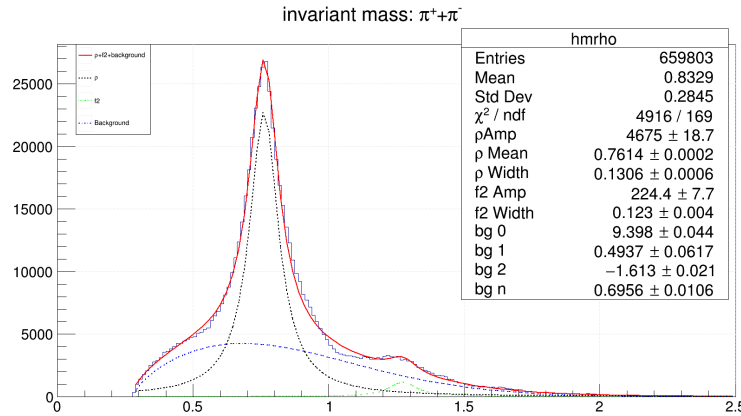
$$r_{00}^1 \sigma_0 \sim |\bar{E}_T|^2$$

$$r_{00}^5 \sigma_0 \sim \text{Re} [\langle \bar{E}_T \rangle \langle H \rangle + \langle H_T \rangle \langle E \rangle]$$

$$r_{00}^8 \sigma_0 \sim \text{Im} [\langle \bar{E}_T \rangle \langle H \rangle + \langle H_T \rangle \langle E \rangle]$$

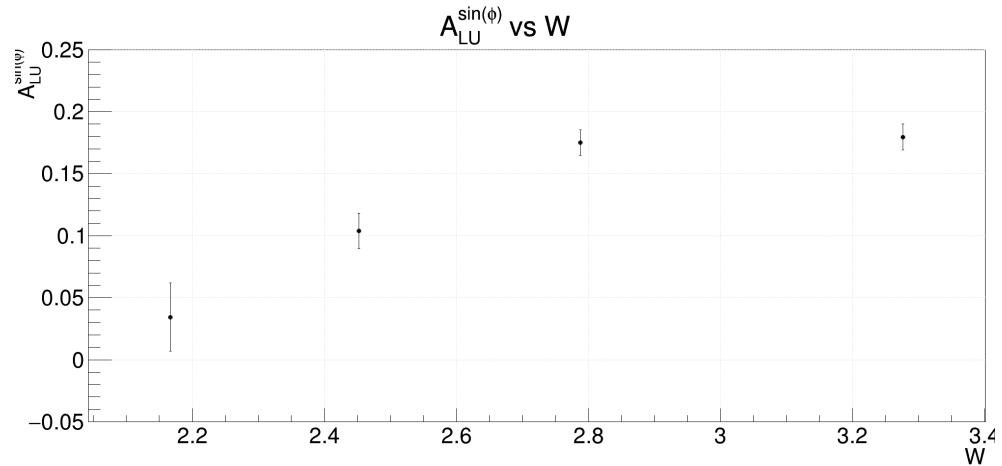
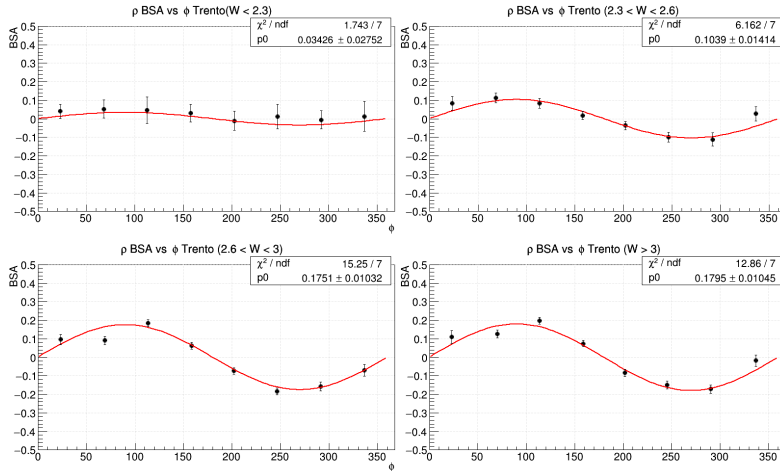


Exclusive ρ production with CLAS12



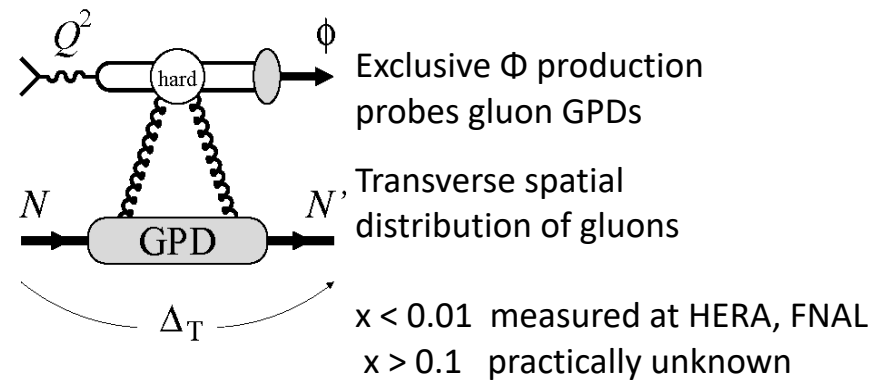
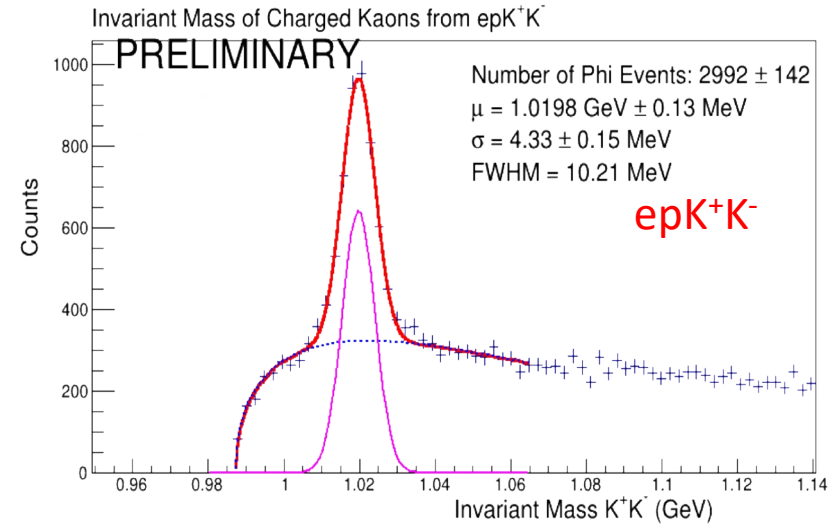
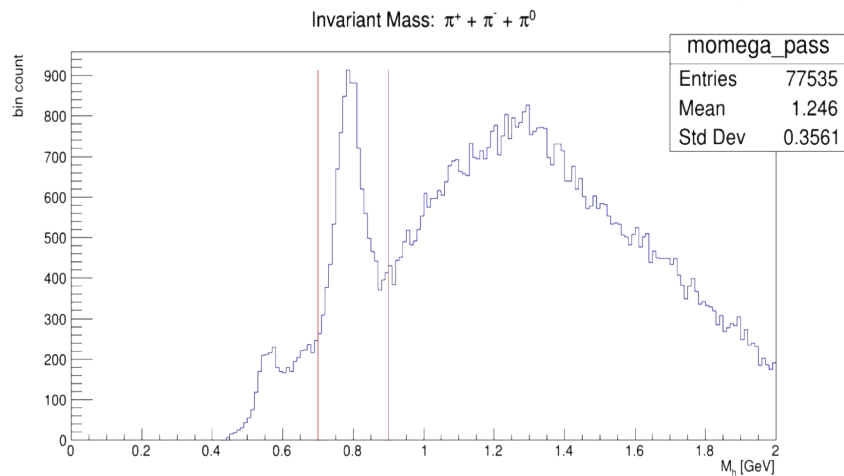
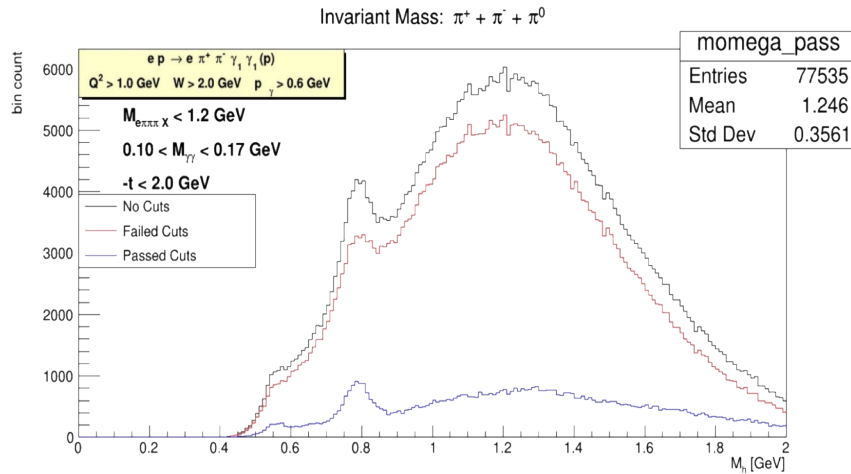
$$\sigma_{LT'} \sim r_{00}^8 \sim \text{Im} [\langle H_T \rangle^* \langle E \rangle + \langle \bar{E}_T \rangle^* \langle H \rangle]$$

$$BSA = \frac{A_{LU}^{\sin \phi} \sin \phi}{1 + A_{UU}^{\cos \phi} \cos \phi + A_{UU}^{\cos(2\phi)} \cos(2\phi)}$$

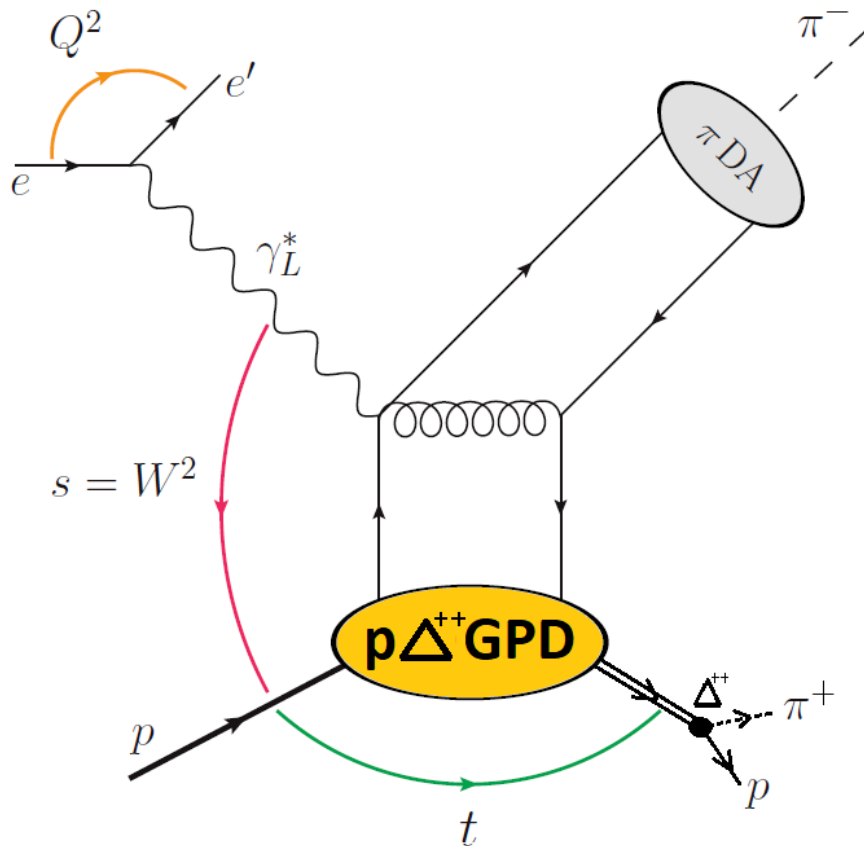


$$BSA_i = \frac{1}{P_e} \cdot \frac{N_i^+ - N_i^-}{N_i^+ + N_i^-}$$

Exclusive ω , ϕ production with CLAS12



$$ep \rightarrow e\Delta^{++}\pi^- \rightarrow ep\pi^+\pi^-$$



Factorization expected for:

$$-t / Q^2 \ll 1 \text{ and } Q^2 > M_{\Delta}^2$$

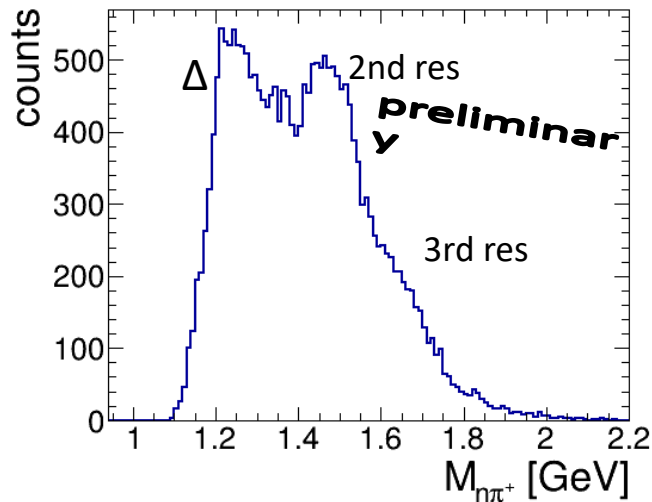
x_B fixed

- ➔ Provides access to p - Δ transition GPDs
- ➔ 3D structure of the Δ resonance and of the excitation process
- π^\pm is expected to be especially sensitive to the tensor charge of the resonance

Why is $\pi^- \Delta^{++}$ special?

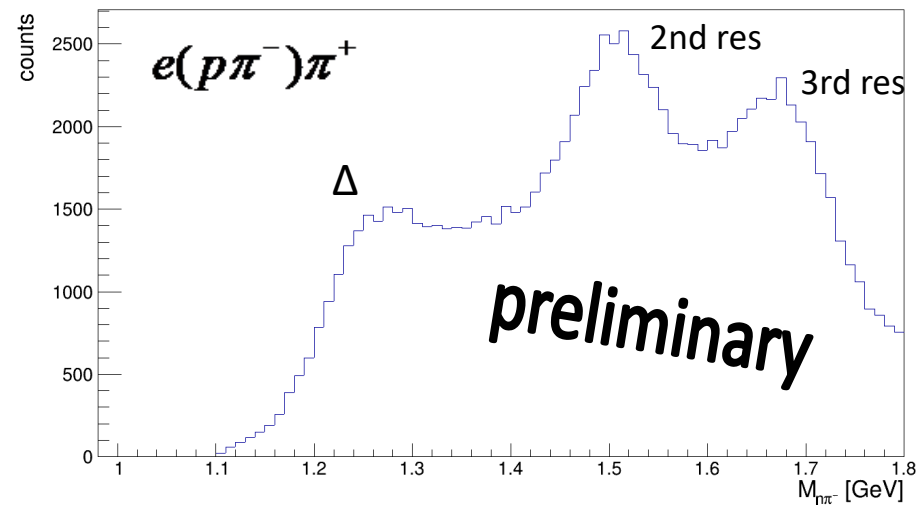
non-diagonal DVCS

$$\gamma^* p \rightarrow N^* \gamma \rightarrow p \text{ meson } \gamma$$



other non-diagonal DVMP channels

$$ep \rightarrow e \Delta^0 \pi^+ \rightarrow e(p \pi^-) \pi^+$$



$$ep \rightarrow e \Delta^{++} \pi^- \rightarrow e \underbrace{p \pi^+}_{I_z = +3/2} \pi^-$$

➔ The $p \pi^+$ final state can **only** be populated by **Δ -resonances**

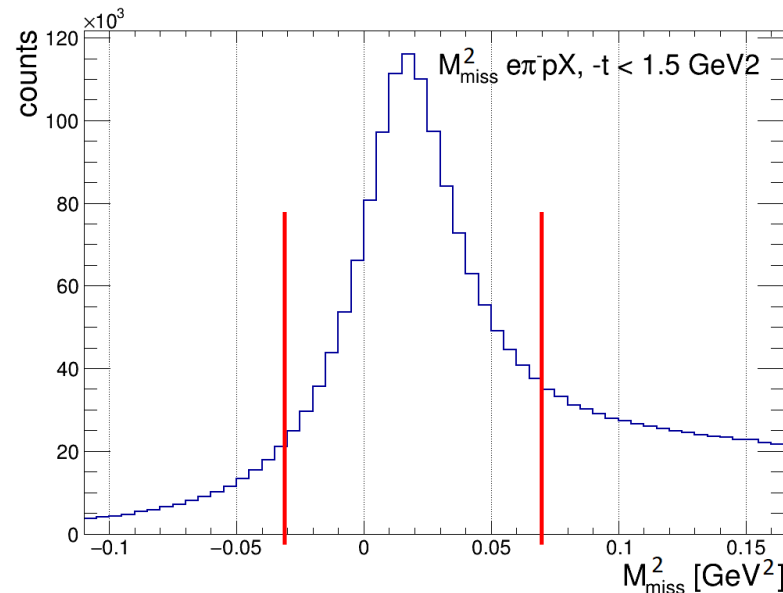
- Large gap between $\Delta(1232)$ and higher resonances

Event Selection and Kinematic Cuts

$$ep \rightarrow e\Delta^{++}\pi^{-} \rightarrow ep\pi^{-}X$$

$$X = \pi^{+}$$

- 2 σ cut around the missing π^{+}

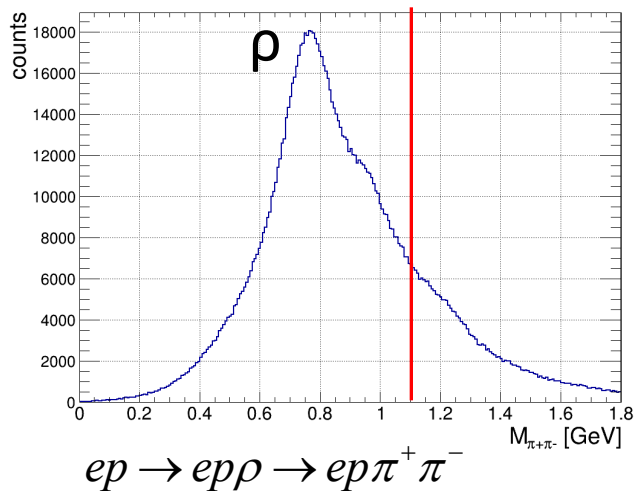
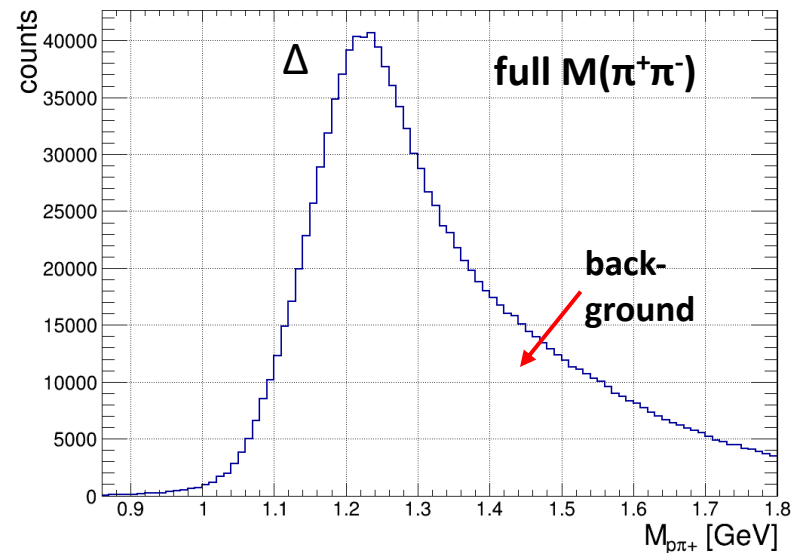
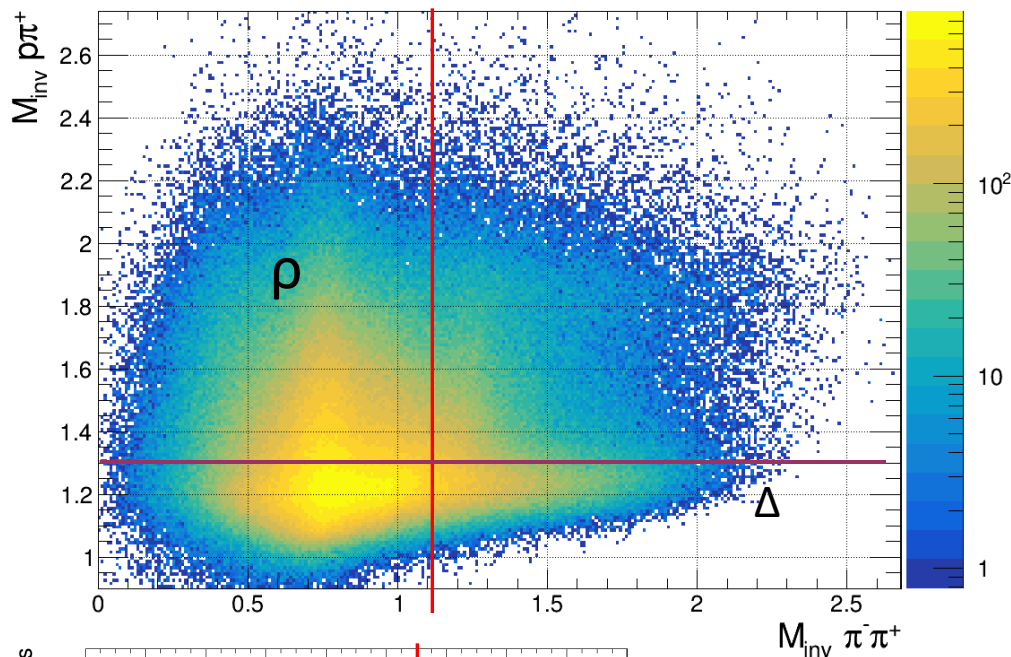


Kinematic cuts:

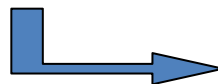
$$Q^2 > 1.5 \text{ GeV}^2 \quad W > 2 \text{ GeV} \quad y < 0.75$$

$$-t < 1.5 \text{ GeV}^2 \text{ (only the forward region)}$$

Event Selection and Background Rejection

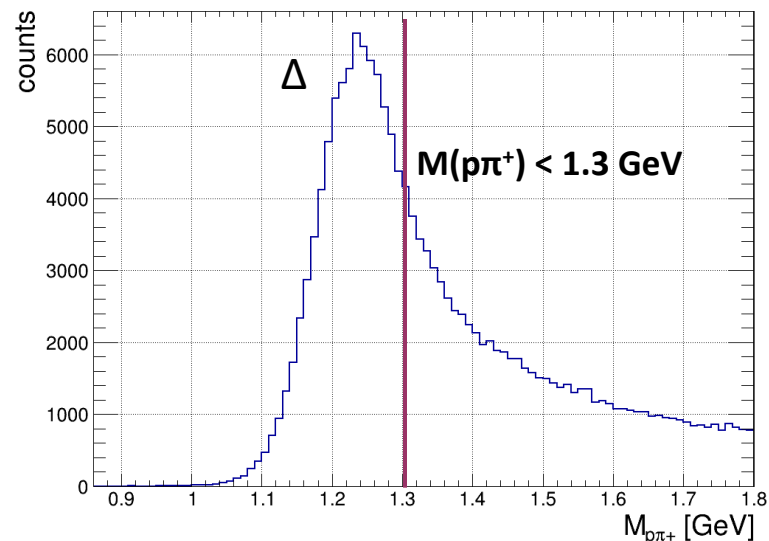


$M(\pi^+ \pi^-) > 1.1 \text{ GeV}$



ρ contamination

$< 0.8 \%$



Monte Carlo Simulations

2 MC samples have been used:

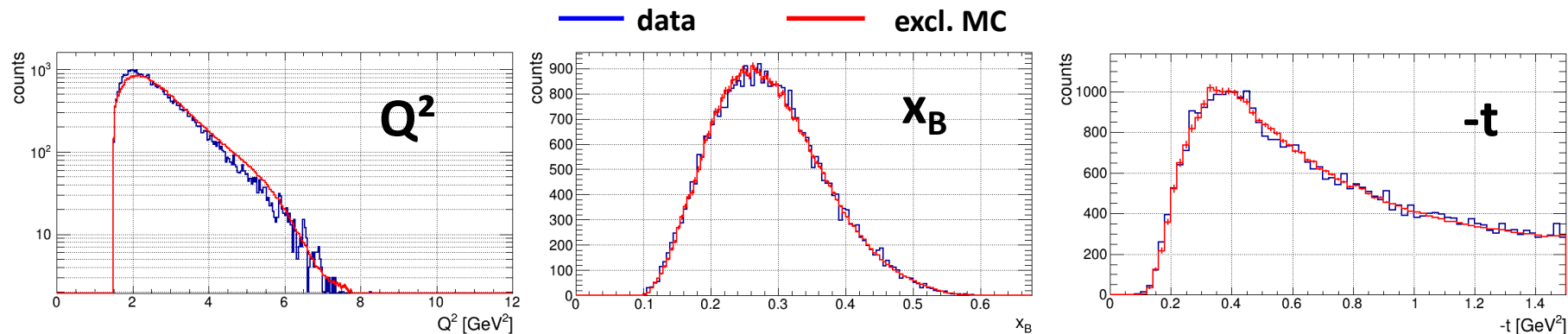
a) Semi-inclusive DIS MC

- ☐ Does not contain the $\pi^-\Delta^{++}$ production in „forward“ kinematics
- ☐ Contains nonres. background as well as p production and other potential BG channels
- ☐ Used to estimate background shape and contaminations

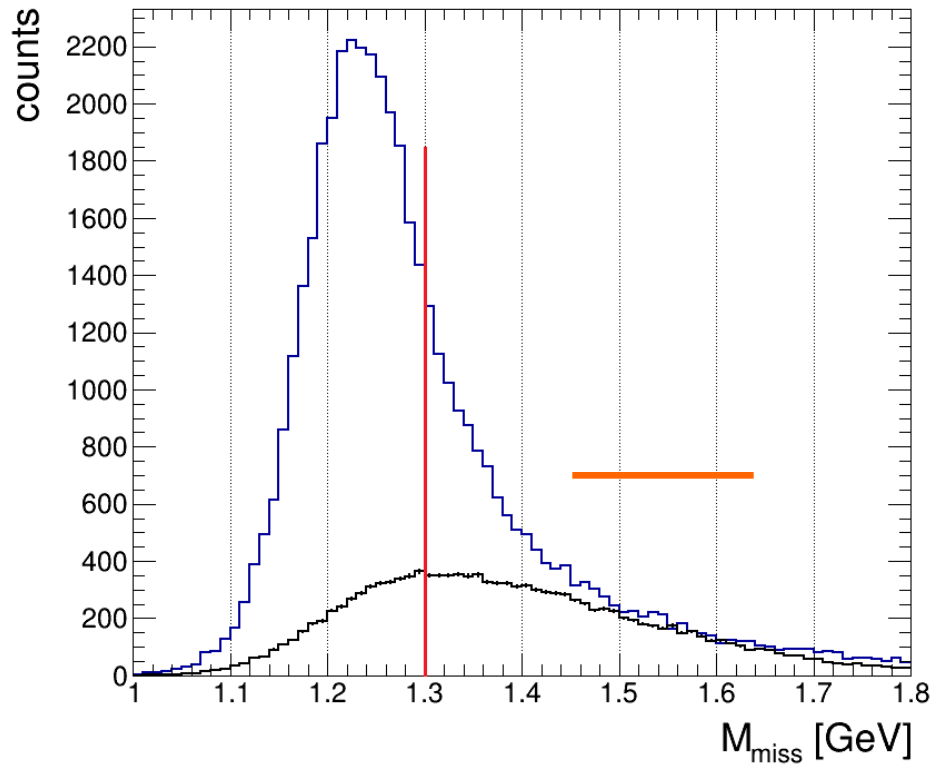
b) Exclusive $\pi^-\Delta^{++}$ MC

- ☐ Phase space simulation with a weight added to match experimental data
- ☐ Δ peak with PDG mass and FWHM

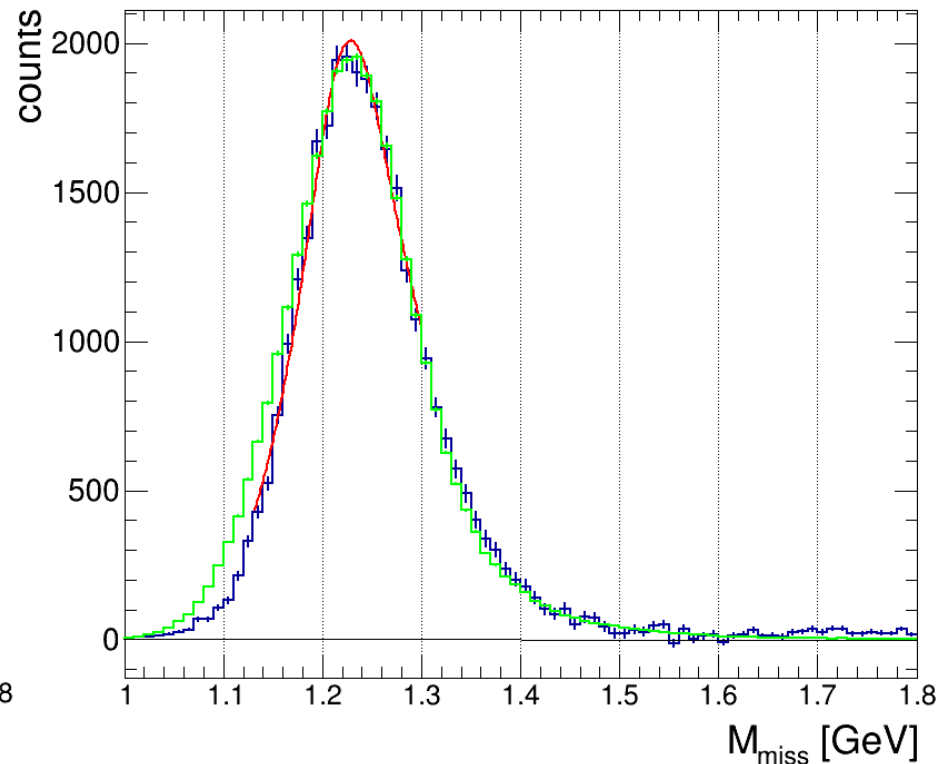
➔ Both MCs are processed through the full simulation and reconstruction chain



Event Selection and Background Estimate

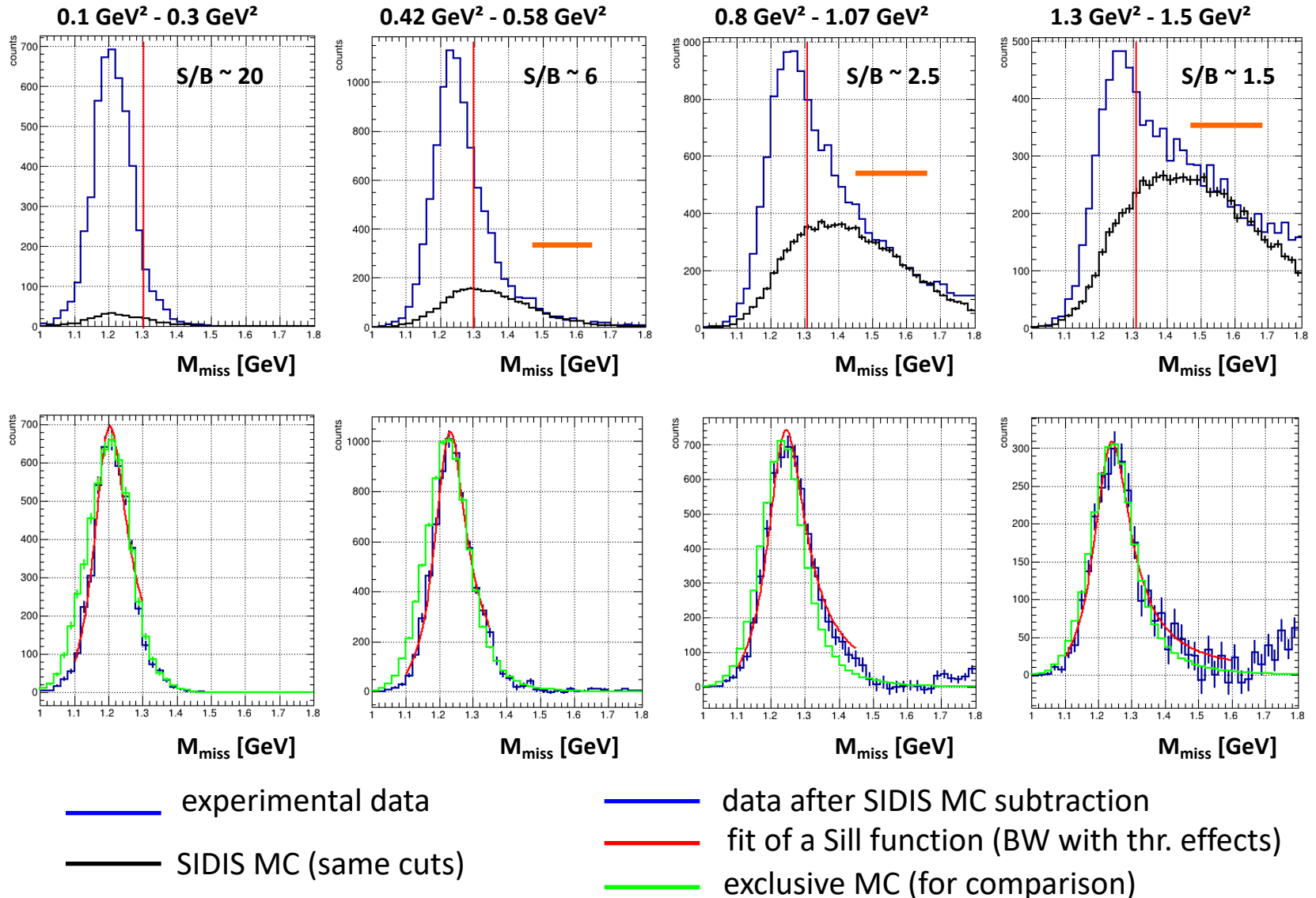


— experimental data
— SIDIS MC (same cuts)

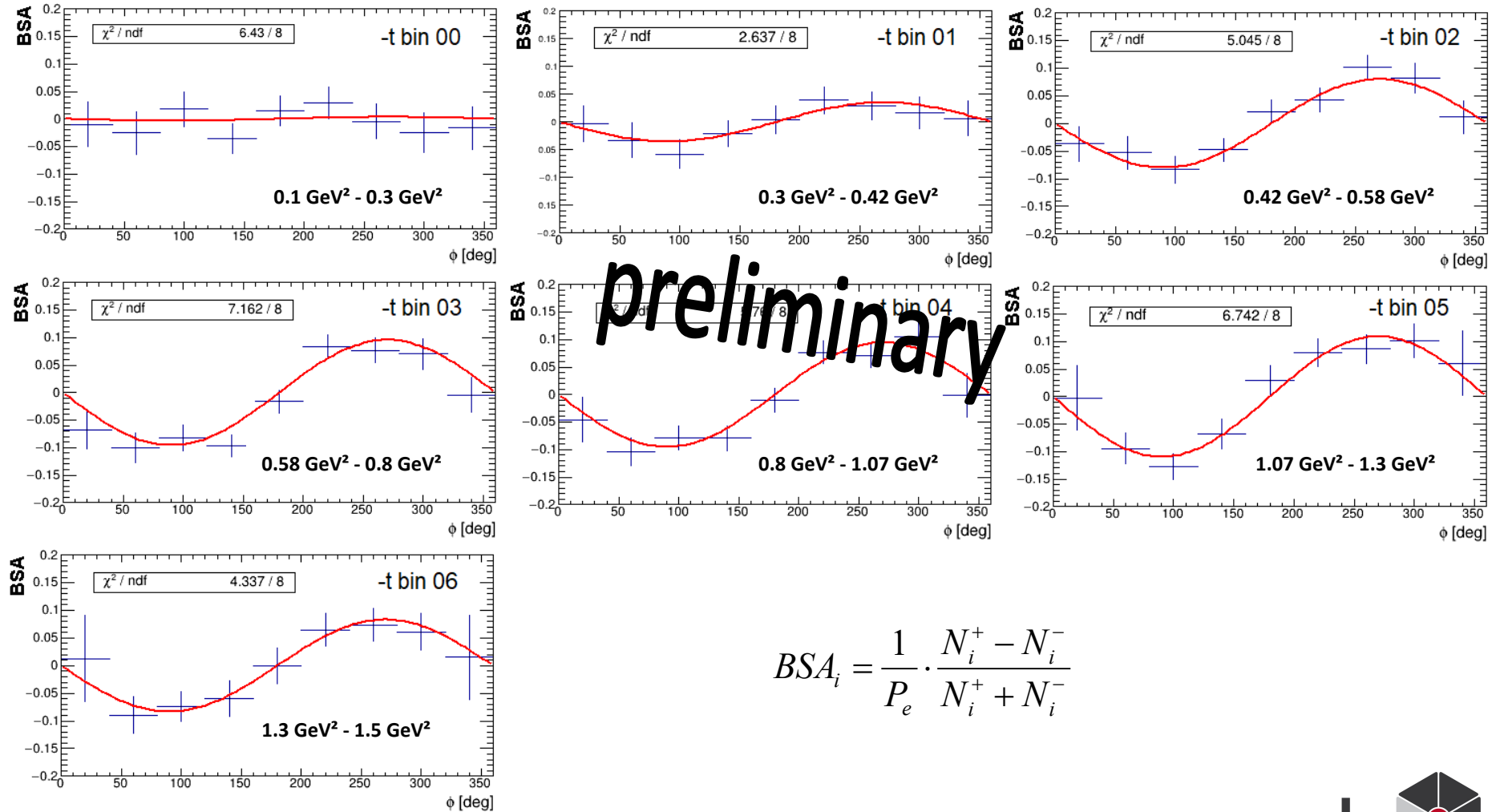


— data after SIDIS MC subtraction
— fit of a Sill function (BW with thr. effects)
— exclusive MC (for comparison)

Event Selection and Background Estimate

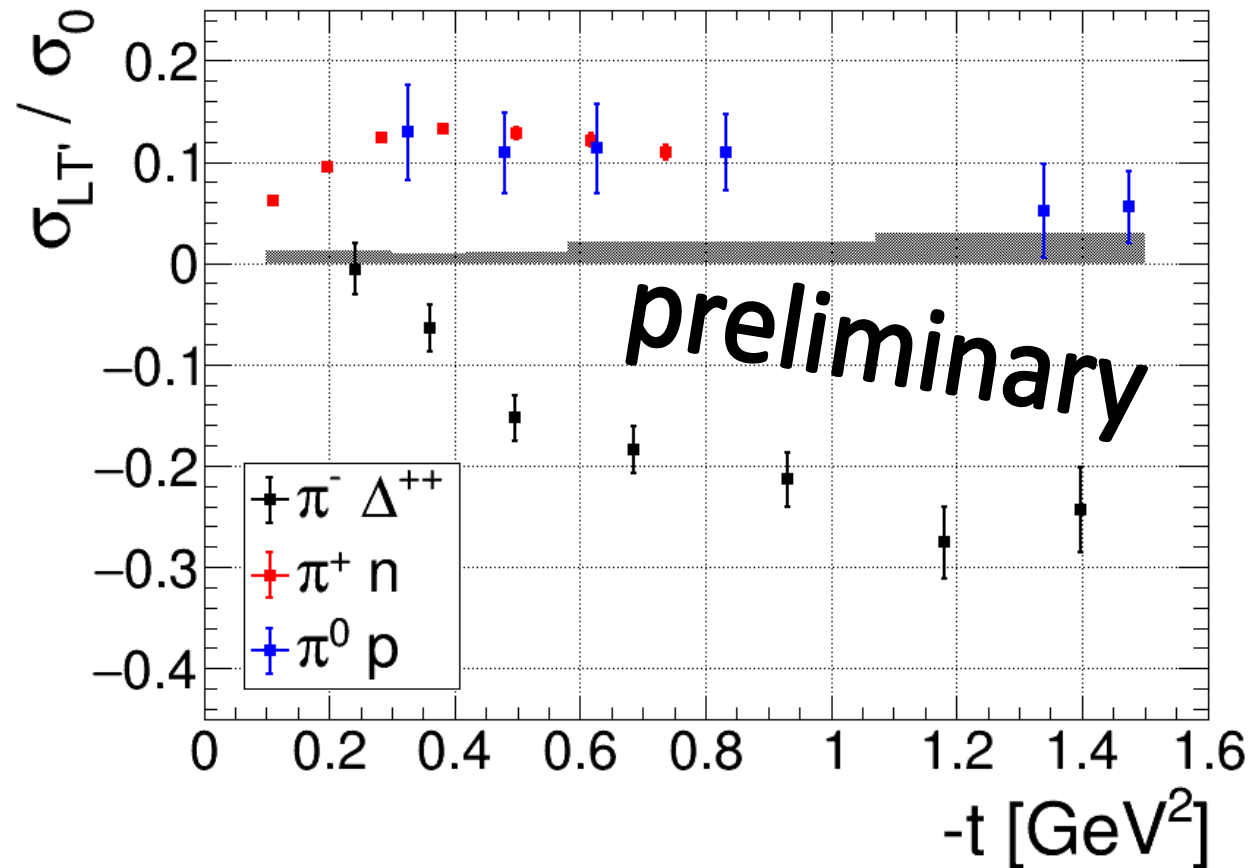


Resulting Beam Spin Asymmetries (Q^2 - x_B integrated)



$Q^2 - x_B$ Integrated Result

$$\langle Q^2 \rangle = 2.48 \text{ GeV}^2, \langle x_B \rangle = 0.27$$

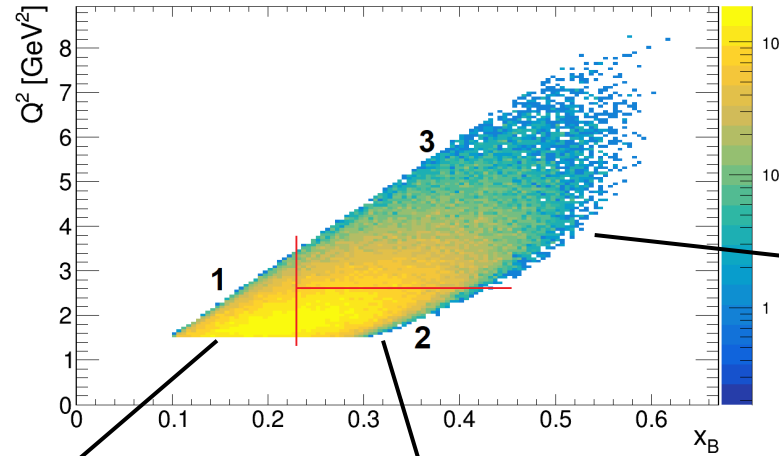


Different sources of systematic uncertainty have been studied:
beam polarisation, background subtraction, fiducial volume, extraction method,
acceptance, bin migration, radiative effects

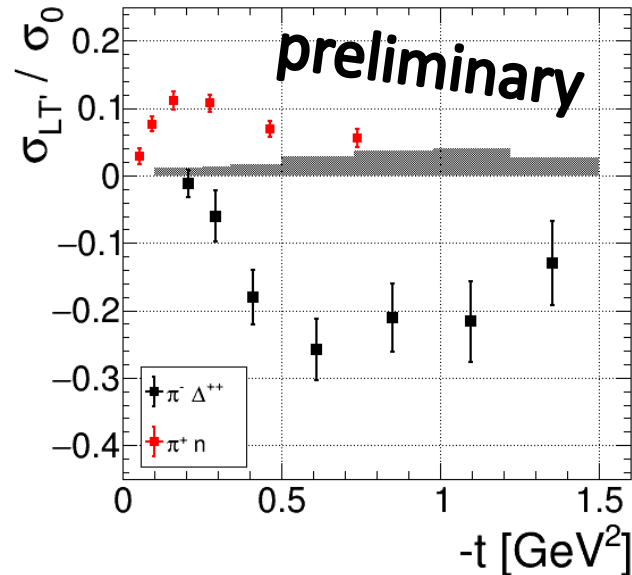
Multidimensional Results



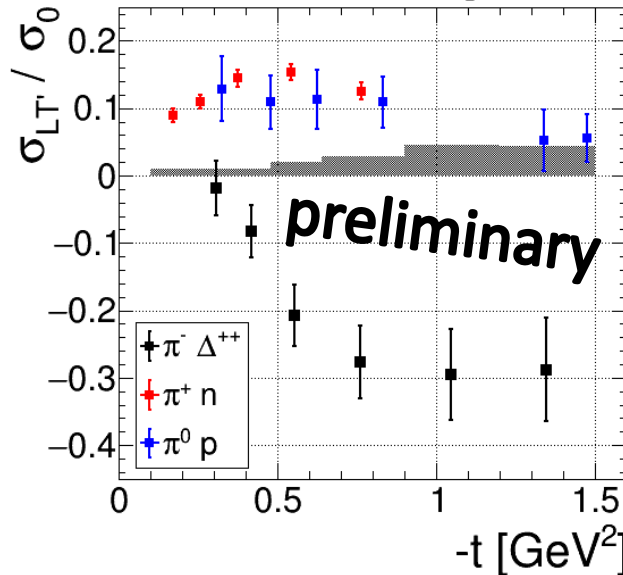
preliminary



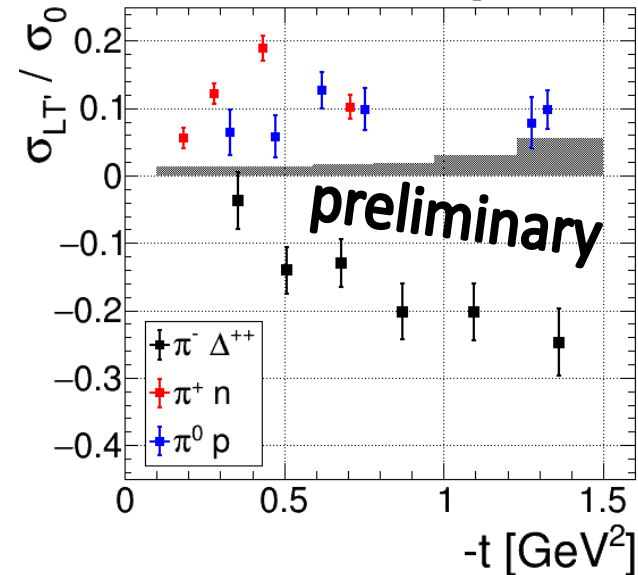
bin 1 ($Q^2 = 1.95$ GeV², $x_B = 0.19$)



bin 2 ($Q^2 = 2.11$ GeV², $x_B = 0.28$)



bin 3 ($Q^2 = 3.38$ GeV², $x_B = 0.34$)



Conclusion and Outlook

- CLAS12 has a comprehensive program in measuring hadr exclusive meson productions to access nucleon and transition GPDs.
- Hard exclusive $\pi^-\Delta^{++}$ production can be well measured with CLAS12 to study transition GPDs.
- The obtained BSA is clearly negative and ~ 2 times larger than for the hard exclusive π^+ production.
- The extracted BSA is a potential first „clean“ observable sensitive to p- Δ transition GPDs
- More comprehensive theoretical framework for the exclusive meson productions would be needed.

Wish Kai Brinkmann a full and speedy recovery.

Background Subtraction

- Based on the obtained S/B ratio and based on the asymmetry of the sideband, the contribution of the non-resonant background has been subtracted.
 - As a crosscheck, a bin-by-bin background subtraction has been performed with a fit of the signal and background function in each phi bin and for each helicity state.
- ☐ A good agreement of the two methods has been found.

