

APCTP Focus Program in
Nuclear Physics 2021: Part II



Proton mass decomposition

Cédric Lorcé



July 20, Hilton Gyeongju, Korea

Outline

- Relativistic definition of mass
- Energy-momentum tensor and mechanical properties
- Mass decompositions and their interpretation
 - a. Energy decomposition
 - b. EMT trace decomposition
 - c. J_i 's decomposition

What is mass?

Formal definition

$$p^\mu p_\mu = M^2$$

A global Lorentz-invariant quantity characterizing a physical system



Not additive!

$$p^\mu = p_q^\mu + p_g^\mu \quad \Rightarrow \quad M^2 = p_q^2 + p_g^2 + 2 p_q \cdot p_g$$

Physical interpretation

$$p^\mu u_\mu = M$$

Proper inertia (i.e. energy) of a system



CM four-velocity

$$u^\mu = p^\mu / M$$

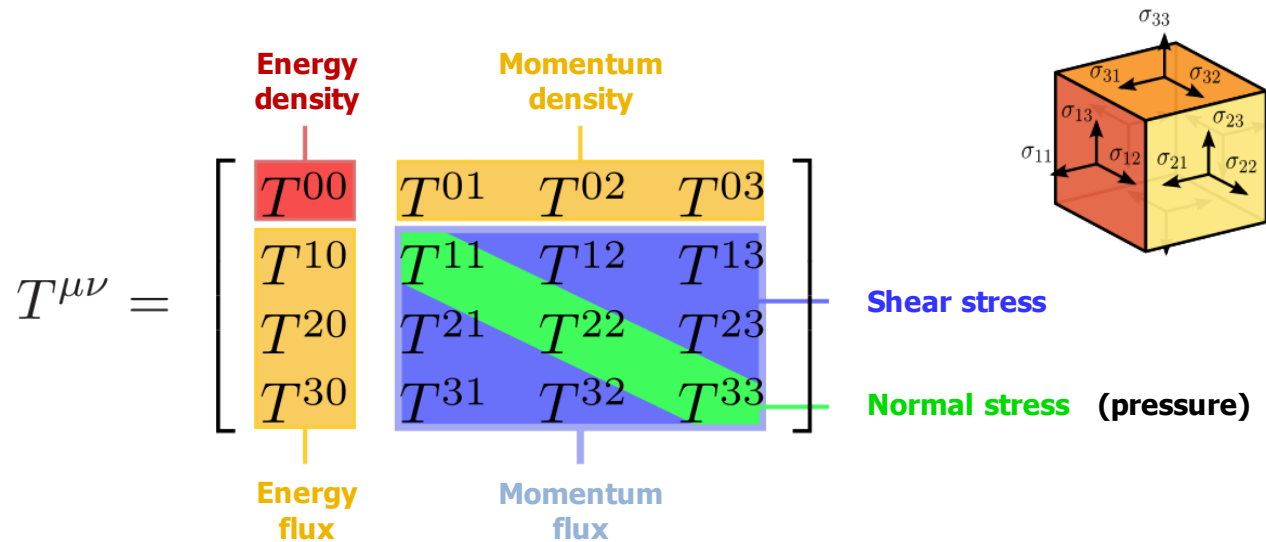
Additive

$$p^\mu = p_q^\mu + p_g^\mu \quad \Rightarrow \quad M = p_q \cdot u + p_g \cdot u$$

$$= p_q^0 + p_g^0 \quad \text{CM frame}$$

A mass decomposition is a proper energy sum rule

Link with the energy-momentum tensor (EMT)



Four-momentum operator

$$P_a^\mu = \int d^3r T_a^{0\mu}(r) \quad a = q, g$$

Expectation value

$$p_a^\mu \equiv \langle P_a^\mu \rangle = \frac{\langle p | P_a^\mu | p \rangle}{\langle p | p \rangle} = \frac{\int d^3r}{\underbrace{(2\pi)^3 \delta^{(3)}(\mathbf{0})}_{=1}} \frac{\langle p | T_a^{0\mu}(0) | p \rangle}{2p^0}$$

Poincaré constraints (spin-0 or spin-1/2 targets)

$$\langle p | T_a^{\mu\nu}(0) | p \rangle = 2p^\mu p^\nu A_a(0) + 2M^2 g^{\mu\nu} \bar{C}_a(0)$$

$$\Rightarrow \langle P_a^\mu \rangle = p^\mu A_a(0) + \frac{M^2}{p^0} g^{0\mu} \bar{C}_a(0)$$

Not a four-vector!
(unless state is massless)

Light-front
version

$$\langle P_{a,\text{LF}}^\mu \rangle = p^\mu A_a(0) + \frac{M^2}{p^+} g^{+\mu} \bar{C}_a(0)$$

$$\Rightarrow \langle P_{a,\text{LF}}^+ \rangle = \underbrace{A_a(0)}_{=\langle x \rangle_a} p^+$$

$$p^\pm = (p^0 \pm p^3)/\sqrt{2}$$

Four-momentum sum rules

$$p^\mu = \langle P_q^\mu \rangle + \langle P_g^\mu \rangle \Rightarrow$$

$$\begin{cases} A_q(0) + A_g(0) = 1 \\ \bar{C}_q(0) + \bar{C}_g(0) = 0 \end{cases}$$

[Ji, PRD58 (1998)]

From the experimental side, all we need is:

1. to measure *all* the coefficients and
2. to check the four-momentum sum rules

Mass decomposition

Once a decomposition of the EMT into quark and gluon contributions is determined, a well-defined mass decomposition follows automatically

$$M = \langle P_q \cdot u \rangle + \langle P_g \cdot u \rangle$$

Each term represents in a covariant way an energy contribution in the CM frame

$$\langle P_a \cdot u \rangle = [A_a(0) + \bar{C}_a(0)] M$$

[C.L., EPJC78 (2018)]

Renormalized QCD operators

$$T^{\mu\nu} = T_q^{\mu\nu} + T_g^{\mu\nu}$$

$$T_q^{\mu\nu} = \bar{\psi} \gamma^\mu \frac{i}{2} \overleftrightarrow{D}^\nu \psi$$

$$T_g^{\mu\nu} = -G^{\mu\lambda} G^\nu{}_\lambda + \frac{1}{4} g^{\mu\nu} G^2$$

Refinements of the mass decomposition

In CM frame

[C.L., EPJC78 (2018)]
 [Rodini, Metz, Pasquini, JHEP09 (2020)]
 [Metz, Pasquini, Rodini, PRD102 (2020)]

$$M = \underbrace{\langle \int d^3r \bar{\psi} \gamma^0 i D^0 \psi \rangle - \langle \int d^3r \bar{\psi} m \psi \rangle}_{\text{Quark kinetic and potential energy}} + \underbrace{\langle \int d^3r \bar{\psi} m \psi \rangle}_{\text{Quark rest mass energy}} + \underbrace{\langle \int d^3r \frac{1}{2} (\vec{E}^2 + \vec{B}^2) \rangle}_{\text{Gluon total energy}}$$

Spatial distribution in CM (or Breit) frame

$$M = \int d^3r T^{00}(r) = \sum_a \int d^3r T_a^{00}(r)$$

$$T^{00}(r) = M \int \frac{d^3\Delta}{(2\pi)^3} e^{-i\vec{\Delta}\cdot\vec{r}} \left\{ A(t) + \frac{t}{4M^2} [B(t) - 4C(t)] \right\}$$

[Donoghue, Holstein, Gambrecht, Konstandin, PLB529 (2002)]
 [Polyakov, PLB555 (2003)]
 [Polyakov, Schweitzer, IJMPA33 (2018)]

$$T_a^{00}(r) = M \int \frac{d^3\Delta}{(2\pi)^3} e^{-i\vec{\Delta}\cdot\vec{r}} \left\{ A_a(t) + \bar{C}_a(t) + \frac{t}{4M^2} [B_a(t) - 4C_a(t)] \right\}$$

[C.L., Moutarde, Trawinski, EPJC79 (2019)]

Trace decomposition

$$j_D^\mu = T^{\mu\nu} x_\nu \quad \Rightarrow \quad \partial_\mu j_D^\mu = T^\mu{}_\mu$$

Quantum corrections break conformal symmetry

$$T^\mu{}_\mu = \underbrace{\frac{\beta(g)}{2g} G^2}_{\text{Trace anomaly}} + (1 + \gamma_m) \underbrace{\bar{\psi} m \psi}_{\substack{\uparrow \\ \text{Quark mass} \\ \text{matrix}}}$$

[Crewther, PRL28 (1972)]
[Chanowitz, Ellis, PRD7 (1972)]
[Adler, Collins, Duncan, PRD15 (1977)]
[Collins, Duncan, Joglekar, PRD16 (1977)]
[Nielsen, NPB120 (1977)]

Textbook approach

$$\langle p | T^{\mu\nu}(0) | p \rangle = 2p^\mu p^\nu$$

[Shifman, Vainshtein, Zakharov, PLB78 (1978)]
[Donoghue, Golowich, Holstein, CMPPNPC2 (1992)]
[Kharzeev, PISPF130 (1996)]
[Roberts, FBS58 (2017)]

$$\langle p | \underbrace{\frac{\beta(g)}{2g} G^2}_{\sim 90\%} | p \rangle + \langle p | \underbrace{(1 + \gamma_m) \bar{\psi} m \psi}_{\sim 10\%} | p \rangle = 2M^2$$

$\mu = 2 \text{ GeV}$

(to be measured) (measurement to be improved)

Caveats:

- 1) Depends on **state normalization** (not an expectation value!)
- 2) Decomposition of **squared mass** and not mass
- 3) No explanation for the evaluation of EMT at a **single spacetime point**
- 4) Relation to mass only at the level of **matrix element of total trace** (meaning of individual terms?)

Trace decomposition

Expectation value (independent of state normalization)

[C.L., EPJC78 (2018)]
[Krein, Thomas, Tsushima, PPNP100 (2018)]

$$\frac{\langle p | \frac{\beta(g)}{2g} G^2 | p \rangle}{2p^0} + \frac{\langle p | (1 + \gamma_m) \bar{\psi} m \psi | p \rangle}{2p^0} = \frac{2M^2}{2p^0}$$
$$= \langle \int d^3r (T^\mu_\mu)_a \rangle \quad = \langle \int d^3r (T^\mu_\mu)_m \rangle$$

$$(T^\mu_\mu)_a = \frac{\beta(g)}{2g} G^2$$

$$(T^\mu_\mu)_m = (1 + \gamma_m) \bar{\psi} m \psi$$

Operator mixing

[Hatta, Rajan, Tanaka, JHEP12 (2018)]
[Tanaka, JHEP01 (2019)]

$$g_{\mu\nu} T_q^{\mu\nu} = c_a (T^\mu_\mu)_a + c_m (T^\mu_\mu)_m$$
$$g_{\mu\nu} T_g^{\mu\nu} = (1 - c_a) (T^\mu_\mu)_a + (1 - c_m) (T^\mu_\mu)_m$$

c_a, c_m are scheme and scale-dependent calculable coefficients

Quark-gluon separation and trace operation do not commute

Trace decomposition

$$T^{\mu\nu} = \begin{bmatrix} \text{Energy density} & & & \\ T^{00} & T^{01} & T^{02} & T^{03} \\ \text{Energy flux} & \text{Momentum density} & & \\ T^{10} & T^{11} & T^{12} & T^{13} \\ T^{20} & T^{21} & T^{22} & T^{23} \\ T^{30} & T^{31} & T^{32} & T^{33} \\ & \text{Momentum flux} & & \end{bmatrix}$$

Shear stress
Normal stress (pressure)

Physical interpretation in CM frame

$$\underbrace{\langle \int d^3r T^\mu{}_\mu \rangle}_{= M} = \underbrace{\langle \int d^3r T^{00} \rangle}_{= M} - \sum_i \underbrace{\langle \int d^3r T^{ii} \rangle}_{= 0} \quad \text{Virial theorem}$$

[von Laue, AP340 (1911)]
[Landau, Lifshitz, CTF (1962)]

Stable system \rightarrow Total pressure-volume work must vanish!

$$\underbrace{\langle \int d^3r T^\mu{}_{a\mu} \rangle}_{\text{Can be negative!}} = \underbrace{\langle \int d^3r T_a^{00} \rangle}_{= \langle P_a^0 \rangle} - \sum_i \underbrace{\langle \int d^3r T_a^{ii} \rangle}_{\neq 0} \quad a = q, g$$

[C.L., EPJC78 (2018)]
[C.L., Metz, Rodini, Pasquini (in preparation)]

**Trace decomposition combines
mass decomposition with mechanical equilibrium**

Ji's decomposition

Step 1


$$T^{\mu\nu} = \bar{T}^{\mu\nu} + \hat{T}^{\mu\nu}$$
$$\bar{T}^{\mu\nu} = T^{\mu\nu} - \frac{1}{4} g^{\mu\nu} T^\alpha{}_\alpha$$
$$\hat{T}^{\mu\nu} = \frac{1}{4} g^{\mu\nu} T^\alpha{}_\alpha$$

[Ji, PRL74 (1995)]
[Ji, PRD52 (1995)]

Step 2

$$\bar{T}^{\mu\nu} = \bar{T}_q^{\mu\nu} + \bar{T}_g^{\mu\nu}$$
$$\hat{T}^{\mu\nu} = (\hat{T}^{\mu\nu})_m + (\hat{T}^{\mu\nu})_a$$

NB: quark and gluon contributions also traceless

or $\hat{T}_q^{\mu\nu} + \hat{T}_g^{\mu\nu}$  Operator mixing

Physical interpretation in CM frame

$$T_a^{00} = \underbrace{\bar{T}_a^{00}}_{= \frac{3}{4} T_a^{00} + \frac{1}{4} \sum_i T_a^{ii}} + \underbrace{\hat{T}_a^{00}}_{= \frac{1}{4} T_a^{00} - \frac{1}{4} \sum_i T_a^{ii}} \quad a = q, g \quad [\text{C.L., EPJC78 (2018)}]$$

Ji's decomposition is a sum of terms representing physical quantities of different nature

Ji's decomposition

Step 1

$$T^{\mu\nu} = \bar{T}^{\mu\nu} + \hat{T}^{\mu\nu}$$
$$\bar{T}^{\mu\nu} = T^{\mu\nu} - \frac{1}{4} g^{\mu\nu} T^\alpha{}_\alpha$$
$$\hat{T}^{\mu\nu} = \frac{1}{4} g^{\mu\nu} T^\alpha{}_\alpha$$

$\bar{T}^{\mu\nu}, \hat{T}^{\mu\nu}$ belong to different Lorentz representations \Rightarrow separately renormalized

$$T^{00} = \psi^\dagger i \vec{D} \cdot \vec{\alpha} \psi + \bar{\psi} m \psi + \frac{1}{2} (\vec{E}^2 + \vec{B}^2) + \frac{1}{4} \gamma_m \bar{\psi} m \psi + \frac{1}{4} \frac{\beta(g)}{2g} G^2$$

[Ji, PRL74 (1995)]
[Ji, PRD52 (1995)]
[Ji, Liu, Schaefer, arXiv:2105.03974]

... but the total EMT is conserved and should not contain the **anomalous terms**

[Hatta, Rajan, Tanaka, JHEP12 (2018)]
[Tanaka, JHEP01 (2019)]
[Rodini, Metz, Pasquini, JHEP09 (2020)]
[Metz, Pasquini, Rodini, PRD102 (2020)]
[C.L., Metz, Rodini, Pasquini (in preparation)]



Breaking of translation symmetry by regulator creates artifacts!



No quantum anomalous energy survives
at the level of **renormalized** operator

To sum up

Fundamental sum rules

Mass (i.e. CM energy)

$$M = [A_q(0) + \bar{C}_q(0)] M + [A_g(0) + \bar{C}_g(0)] M$$

Momentum

$$\vec{p} = A_q(0) \vec{p} + A_g(0) \vec{p}$$

Mechanical equilibrium

$$0 = [-\bar{C}_q(0)] M + [-\bar{C}_g(0)] M \quad \text{in CM frame}$$

Trace decomposition (i.e. energy – 3 x pressure-volume work)

$$\frac{M^2}{p^0} = [A_q(0) + 4\bar{C}_q(0)] \frac{M^2}{p^0} + [A_g(0) + 4\bar{C}_g(0)] \frac{M^2}{p^0}$$

To sum up

Ji's decomposition (obscure mix of energy and pressure-volume work in CM frame)

$$M = M_q + M_g + M_m + M_a$$
$$\begin{aligned} M_q &= \frac{3}{4} \left(a - \frac{b}{1+\gamma_m} \right) M && \neq \langle \int d^3r \psi^\dagger i \vec{D} \cdot \vec{\alpha} \psi \rangle && \triangle! \\ M_g &= \frac{3}{4} (1 - a) M && \neq \langle \int d^3r \frac{1}{2} (\vec{E}^2 + \vec{B}^2) \rangle && \triangle! \\ M_m &= \frac{4 + \gamma_m}{4(1 + \gamma_m)} b M && = \langle \int d^3r (1 + \frac{1}{4} \gamma_m) \bar{\psi} m \psi \rangle \\ M_a &= \frac{1}{4} (1 - b) M && = \langle \int d^3r \frac{1}{4} \frac{\beta(g)}{2g} G^2 \rangle \end{aligned}$$

NB: one has $M_q - \frac{3\gamma_m}{4+\gamma_m} M_m + M_g - 3M_a = 0$ as a result of mechanical equilibrium!

Relations between Ji's parameters and gravitational FFs

$$\begin{aligned} A_q(0) &= a & A_q(0) + 4\bar{C}_q(0) &= c_a(1 - b) + c_m b \\ A_g(0) &= 1 - a & A_g(0) + 4\bar{C}_g(0) &= (1 - c_a)(1 - b) + (1 - c_m)b \end{aligned}$$